



A structured operational semantics for UML-statecharts

- Shadi Al-Dehni
LMU München

- Jan Jürjens
TU München



A structured operational semantics for UML-statecharts

We will discuss in this Seminar the following concepts:

- UML Diagrams (Unified Modeling Language).
- UML- Statecharts
- Syntax of UML-statechart
- Configurations on UML-statechart diagram



A structured operational semantics for UML-statecharts

We will discuss in this Seminar the following concepts:

- Semantics definition.
- Entry & exit action Semantics.
- Next state semantics.
- SOS rules of the auxiliary semantics.
- Case study will carry on during the whole Seminar.



UML Diagrams

- **A use case Diagram:** Use cases with actors and their relationships.
- **Interaction Diagram:** Objects and their relationships including the message, that may be dispatched among them. → (sequence Diagram, collaboration Diagram)
- **Activity Diagram:** Flow from activity to activity within a system.
- **Statechart Diagram:** State machine, (states, transitions, events, and activities).



UML Diagrams

- **Class Diagram:** Classes, interfaces, collaborations and their relationships.
- **Object Diagram:** Objects and their relationships.
- **Component Diagram:** Organizations and dependencies among a set of components.
- **Deployment Diagram:** Configuration of run-time processing nodes and the components that live on them.

UML Statechart Diagram



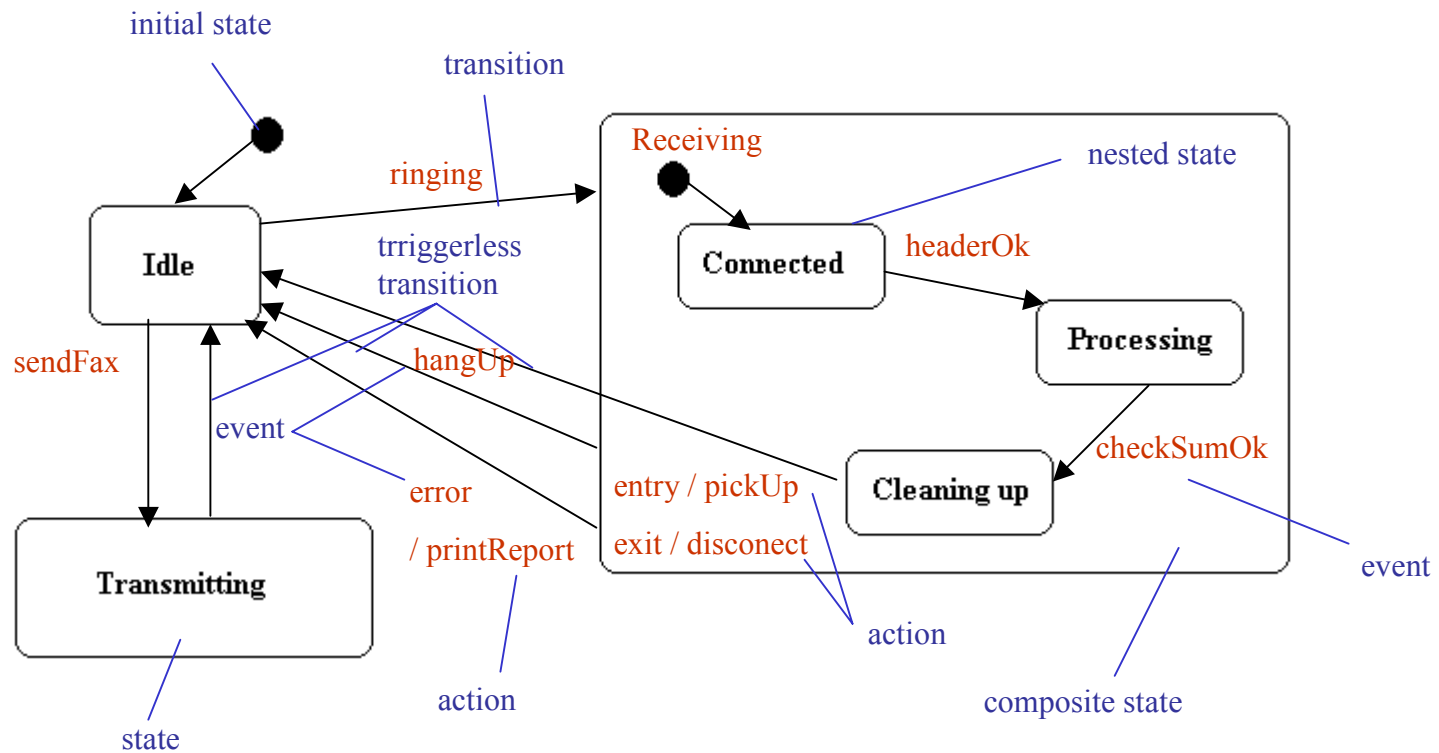
- **A statechart diagram** A state machine, emphasizing the flow of control from state to state. Which is a behavior that specifies the sequences of states an object goes through during its lifetime in response to events, together with its responses to those events.
- **A state:** Condition or situation in the life of an object, satisfies some condition, performs some activity, or waits for some event.



UML Statechart Diagram

- **An event:** Occurrence of a stimulus that can trigger a state transition.
- **A transition:** Relationship between two states where an object in the first state will perform certain actions and enter the second state when a specified event occurs and specified conditions are satisfied.
- **An activity:** Ongoing nonatomic execution within a state machine.
- **An action:** Executable atomic computation that results in a change in state of the model or the return of a value.

UML Statechart Diagrams





Syntax of UML statechart

- Basic term.
- Or term.
- And term.
- Case study.
- Configurations.



Syntax of UML statechart

Basic term.

N set of state names,

T set of transition names,

Π set of events,

A set of actions.

a, b, c, \dots events or actions. α, β, γ sequence of events or sequence of actions

$en, ex \in A, n \in N$

$s = [n, (en, ex)]$

UML-statechart term with $\text{type}(s) = \text{basic}$.



Syntax of UML statechart

Or term.

s_1, \dots, s_k UML-statechart terms $\rho = \{1, \dots, k\}, l \in \rho,$
 $HT = \{\text{none, deep, shallow}\}$

$TR =_{df} T \times \rho \times 2^{\mathbb{N}} \times \prod A^* \times 2^{\mathbb{N}} \times \rho \times HT$

$s = [n, (s_1, \dots, s_k), l, T, (en, ex)]$

UML-statechart term with $\text{type}(s) = \text{or}$

$t = (\hat{t}, i, sr, e, \alpha, td, j, ht)$

$sr \in \text{conf}(s_i), td \in \text{conf}(s_j),$

$\text{sou}(t) =_{df} s_i, \text{tar}(t) =_{df} s_j, \text{historyType}(t) =_{df} ht$



Syntax of UML statechart

And term.

s_1, \dots, s_k UML-statechart terms for $k > 0$

$$s = [n, (s_1, \dots, s_k), (en, ex)]$$

UML-statechart term with $type(s) = \text{and}$

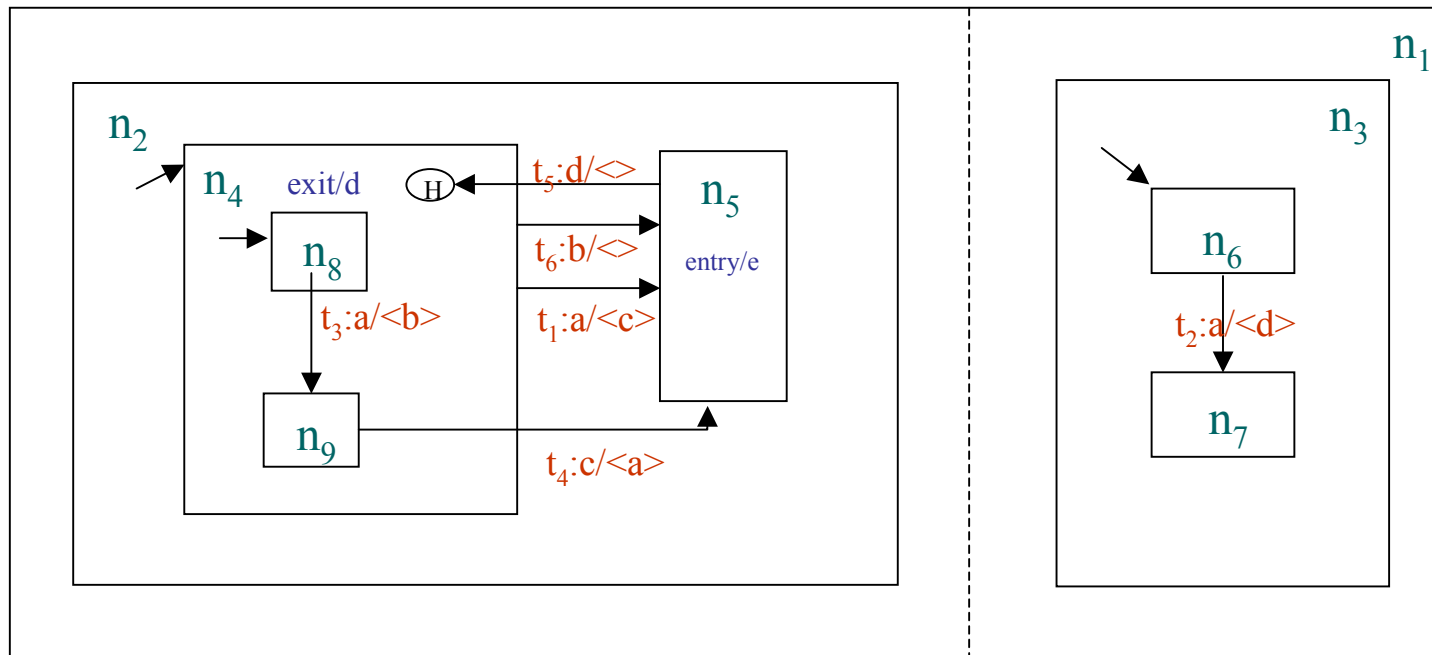
s_1, \dots, s_k called subterms of s

sequence of action $a_1, \dots, a_k \longrightarrow \langle a_1, \dots, a_k \rangle$

Syntax of UML statechart

Case study, Basic term

$$s = [n, (en, ex)]$$

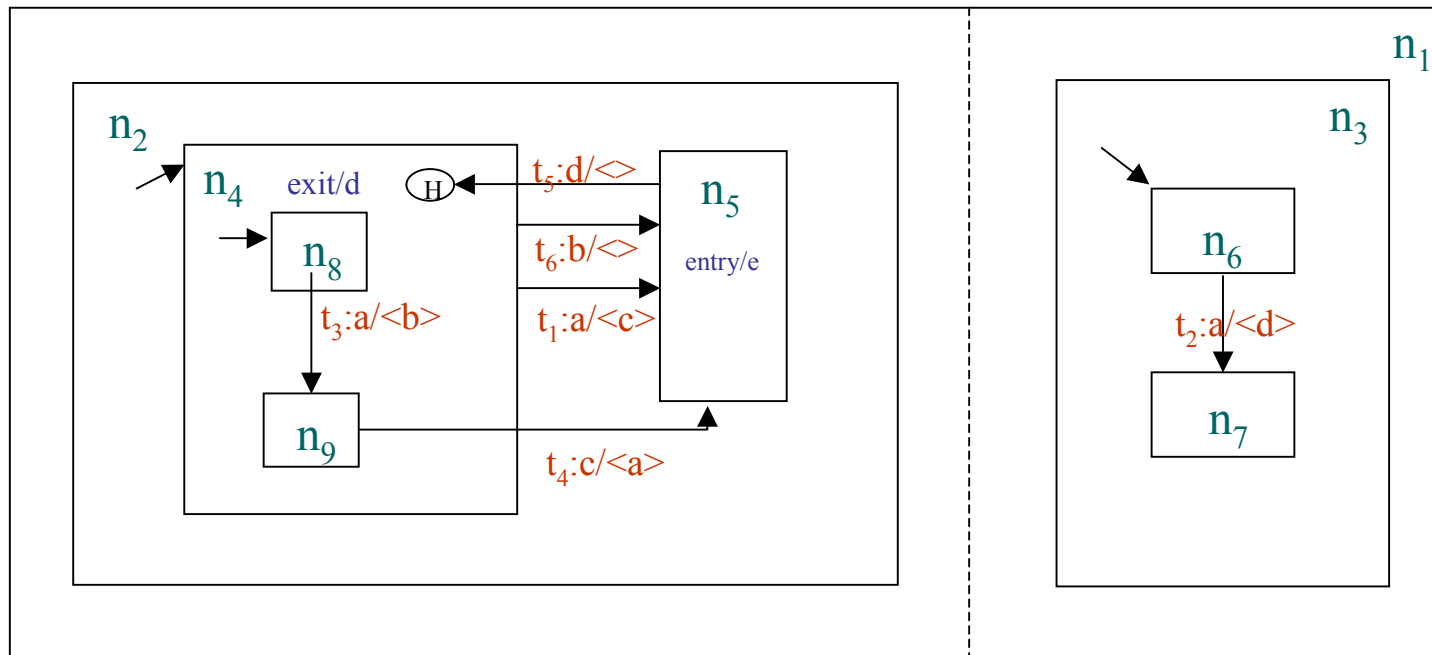


$$s_5 = [n_5, (<e>, <>)]$$

$$s_i = [n_i, (<>, <>)] \quad (6 \leq i \leq 9)$$

Syntax of UML statechart

Case study, Or term $s = [n, (s_1, \dots, s_k), l, T, (en, ex)]$

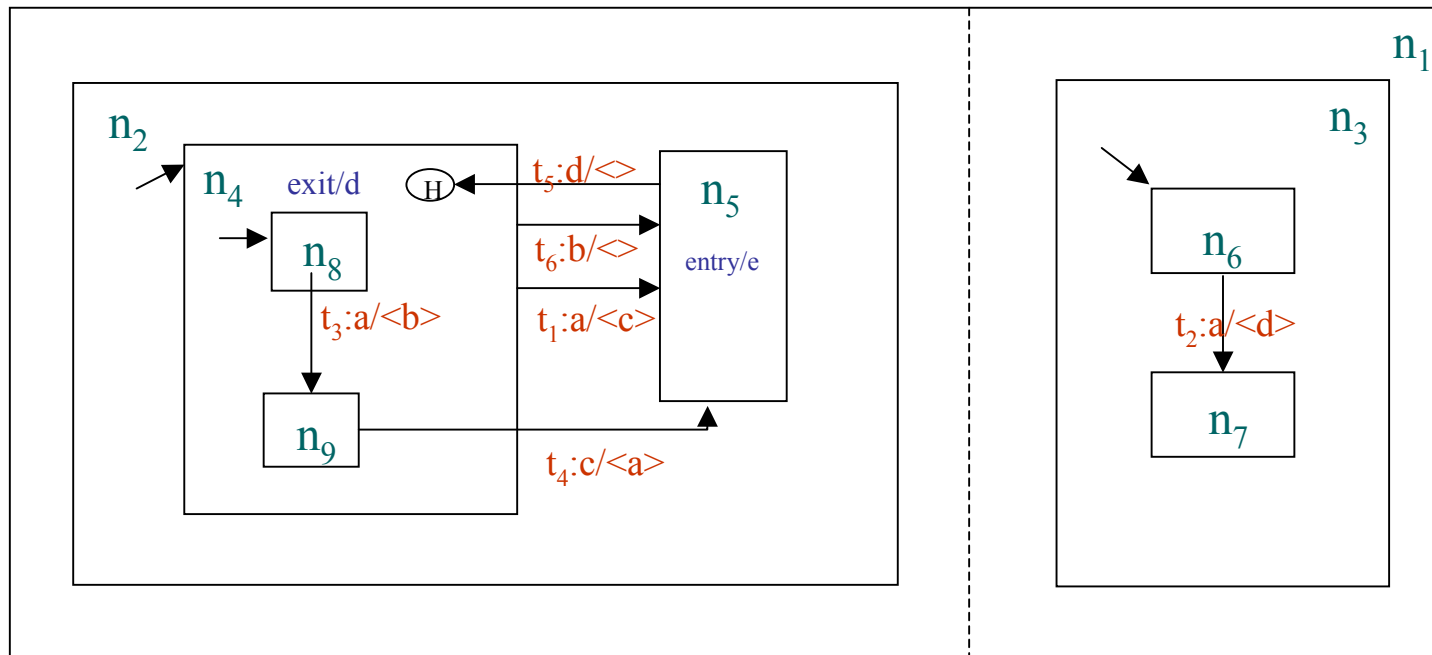


$$s_2 = [n_2, (s_4, s_5), l, \{t_1, t_4, t_5, t_6\}, (<>, <>)]$$

$$s_3 = [n_3, (s_6, s_7), l, \{t_2\}, (<>, <>)] \quad s_4 = [n_4, (s_8, s_9), l, \{t_3\}, (<>, <d>)]$$

Syntax of UML statechart

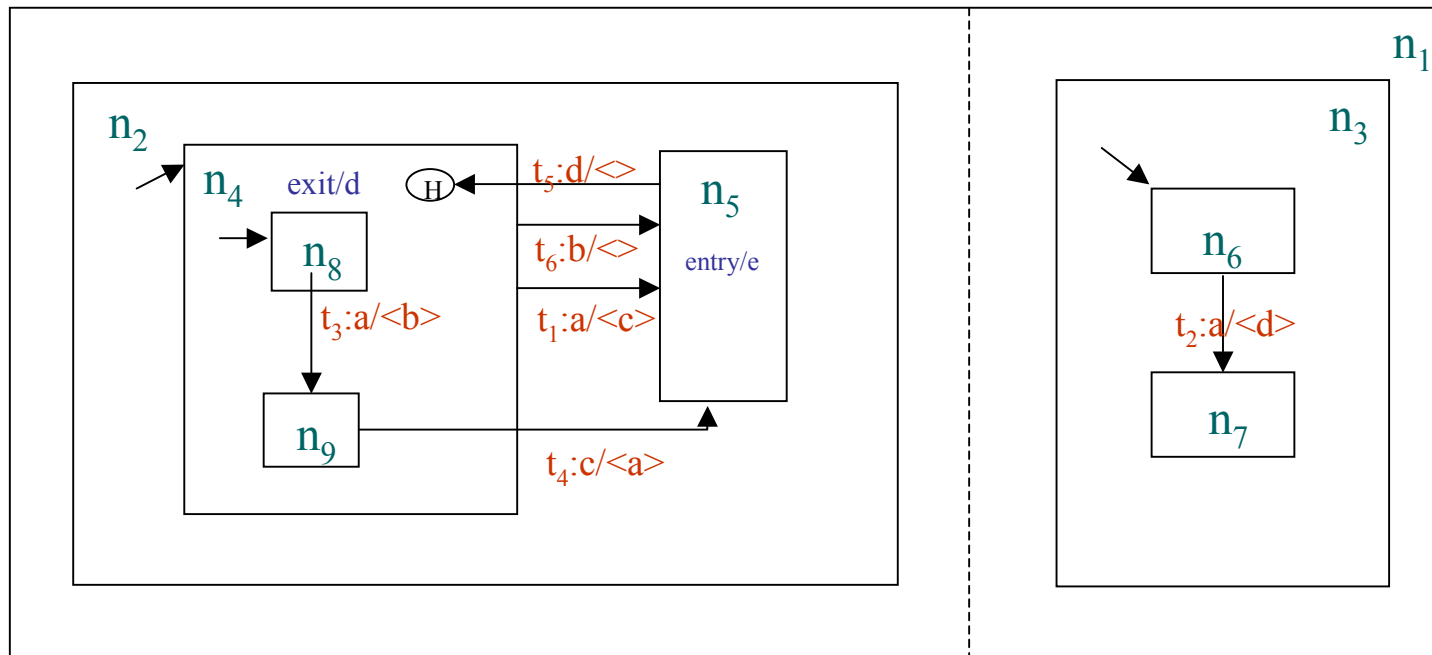
Case study, And term $s = [n, (s_1, \dots, s_k), (en, ex)]$



$$s_1 = [n_1, (s_2, s_3), (<>, <>)]$$

Syntax of UML statechart

Case study, transition $t = (\hat{t}, i, sr, e, \alpha, td, j, ht)$

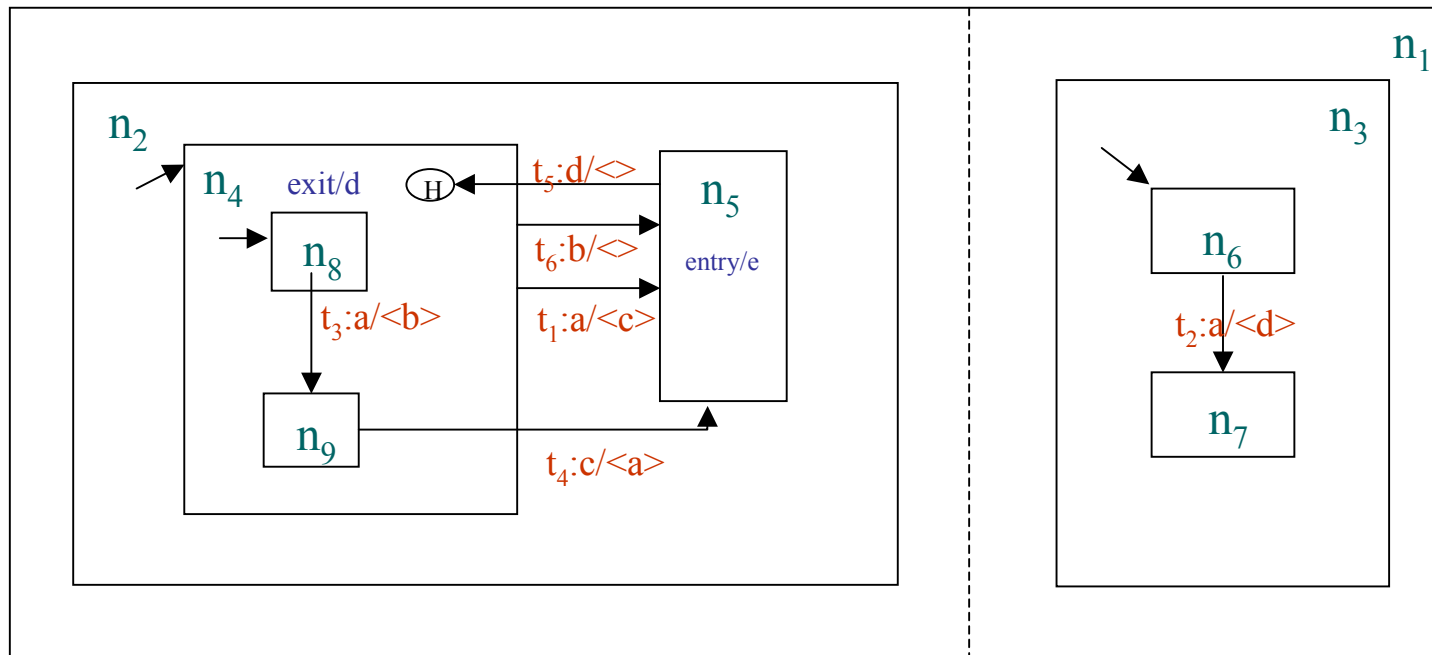


$$t_1 = (\hat{t}_1, 1, \square, a, <c>, \square, 2, \text{none})$$

$$t_2 = (\hat{t}_2, 1, \square, a, <d>, \square, 2, \text{none})$$

Syntax of UML statechart

Case study, transition $t = (\hat{t}, i, sr, e, \alpha, td, j, ht)$



$$t_3 = (\hat{t}_3, 1, \square, a, , \square, 2, \text{none})$$

$$t_4 = (\hat{t}_4, 1, \{n_9\}, c, <a>, \square, 2, \text{none})$$

Syntax of UML statechart

Case study, transition $t = (\hat{t}, i, sr, e, \alpha, td, j, ht)$



$$t_5 = (\hat{t}_5, 2, \square, d, \langle \rangle, \square, 1, \text{shallow})$$

$$t_6 = (\hat{t}_6, 1, \square, b, \langle \rangle, \square, 2, \text{none})$$



Syntax of UML statechart

Configuration

$\text{conf: UML-SC} \rightarrow 2^N$

The set of the root names of all currently active substates within s , also including the root name of s

$\text{conf}([n, _]) =_{\text{df}} \{n\}$

$\text{conf}([n, (s_{1..k}), I, T, _]) =_{\text{df}} \{n\} \cup \text{conf}(s_i)$

$\text{conf}([n, (s_{1..K}), _]) =_{\text{df}} \{n\} \cup \bigcup_{i=1}^k \text{conf}(s_i)$



Syntax of UML statechart

Subconfiguration $\text{subconf: UML-SC} \rightarrow 2^N$

The set of all root names in the configuration of s which denote basic states.

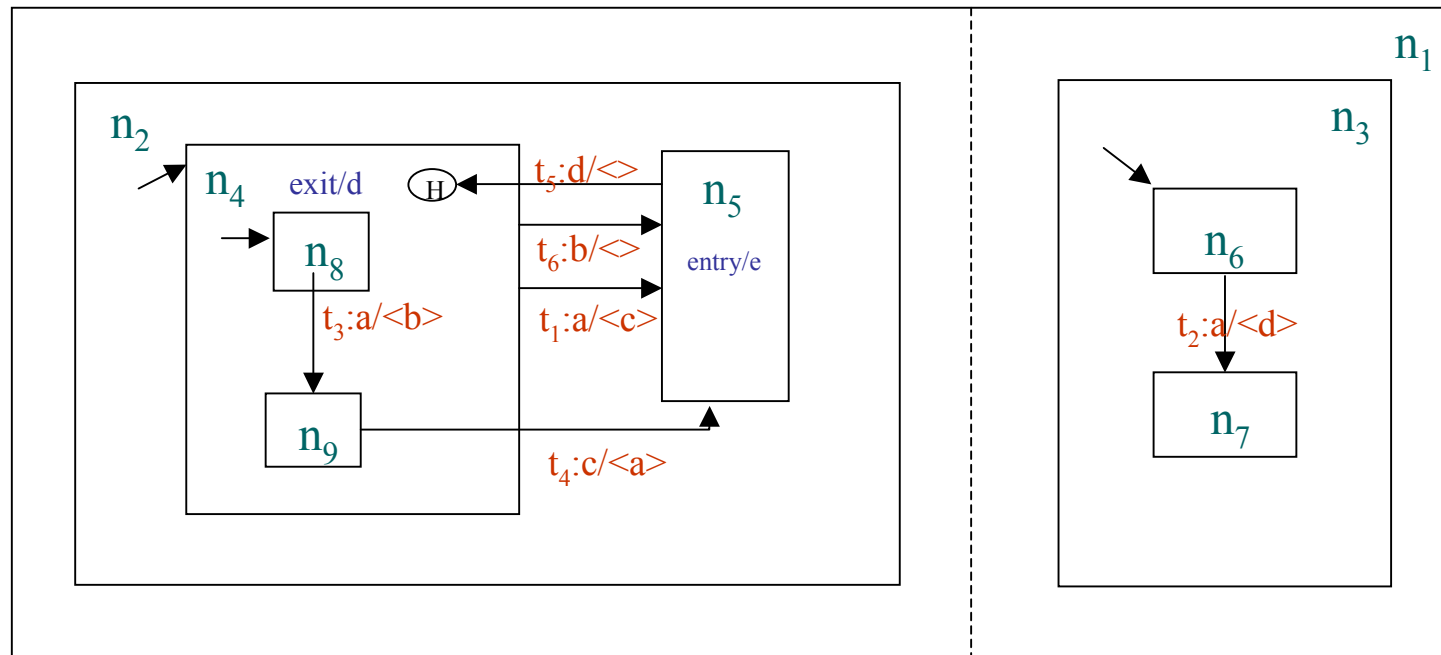
$\text{subconf}([n, _]) =_{\text{df}} \{n\}$

$\text{subconf}([n, (s_{1..k}), I, T, _]) =_{\text{df}} \text{subconf}(s_i)$

$\text{subconf}([n, (s_{1..k}), _]) =_{\text{df}} \bigcup_{i=1}^k \text{subconf}(s_i)$

Syntax of UML statechart

Case study, Subconfiguration



$$\text{subconf}(s_1) = \{n_8, n_6\}$$

$$\text{subconf}(s_2) = \{n_8\}$$



Semantic of UML statechart

- Semantic of entry and exit actions.
- Semantics of the next state.
- Auxiliary UML-statechart semantics.
- UML-statechart semantics.

Semantic of UML statechart

Exit actions

a transition $(_,l,_, \alpha, _,i,_)$ from s_i to s_j is taken

→ A sequence $ex::\alpha::en$ of actions is executed

$exit(s_i)$ is the set of all possible sequences of exit action of s_i

$$exit: \text{UML-SC} \rightarrow 2^{A^*}$$

$$exit([n, (en, ex)]) =_{df} \{ex\}$$

$$exit([n, (s_{1..k}), l, T, (en, ex)]) =_{df} \{ex\# : ex \in exit(s_i)\}$$

$$exit([n, (s_{1..k}), (en, ex)]) =_{df} \{m_1:: \dots :: m_k:: ex \mid \exists \text{bijection } b : \{1..k\} \rightarrow \{1..k\}. m_i \in exit(s_{b(i)}) \forall i \in \{1..k\}\}$$

Semantic of UML statechart

Entry actions

a transition $(_,l,_, \alpha, _,i,_)$ from s_i to s_j is taken

→ A sequence $ex::\alpha::en$ of actions is executed

$entry(s_i)$ is the set of all possible sequences of entry action of s_i

$entry: \text{UML-SC} \rightarrow 2^{A^*}$

$entry([n, (en, ex)]) =_{df} \{ex\}$

$entry([n, (s_{1..k}), l, T, (en, ex)]) =_{df} \{en:: en \nexists en \nexists \in entry(s_i)\}$

$entry([n, (s_{1..k}), (en, ex)]) =_{df} \{en:: m_1:: \dots :: m_k \mid \exists \text{ bijection } b : \{1..k\} \rightarrow \{1..k\}. m_i \in entry(s_{b(i)}) \forall i \in \{1..k\}\}$

Semantic of UML statechart

Semantics of the next state

t UML-statechart transition

ht historyType(t)

N = tarDet(t) of t

next: HT \times N \times UML-SC \rightarrow UML-SC

$s \neq \text{next}(\text{ht}, \text{tarDet}(t), s)$

$\text{next}(\text{ht}, N, [n]) =_{\text{df}} [n]$

$\text{next}(\text{ht}, N, [n, (s_{1..k}), l, T])$

if $\exists n' \in N,$

$\left\{ \begin{array}{l} [n, (s_{1..k})_{[s_j / \text{next}(\text{ht}, N, s_j)]}, j, T] \end{array} \right.$

$j \in \{1, \dots, k\}. n \neq \text{name}(s_j)$

$=_{\text{df}}$

$\text{next_stop}(\text{ht}, [n, (s_{1..k}), l, T])$

otherwise

$\text{next}(\text{ht}, N, [n, (s_{1..k})])$

$=_{\text{df}} [n, (\text{next}(\text{ht}, N, s_1), \dots, \text{next}(\text{ht}, N, s_k))]$



Semantic of UML statechart

Semantics of the next state

In the definition of the function `next_stop`, the following case distinction occurs:

$$\text{next_stop}(\text{ht}, [n, (s_{1..k}), I, T]) =_{\text{df}} \begin{cases} [n, (s_{1..k}), I, T] & \text{if } \text{ht} = \text{deep} \\ [n, (s_{1..k})_{[s1 / \text{default}(s1)]}, 1, T] & \text{if } \text{ht} = \text{none} \\ [n, (s_{1..k})_{[s1 / \text{default}(s1)]}, I, T] & \text{if } \text{ht} = \text{shallow} \end{cases}$$



Semantic of UML statechart

Semantics of the next state

In the definition of the function `next_stop`, the following case distinction occurs:

$$\text{default}([n]) =_{df} [n]$$

$$\text{default}([n, (s_{1..k}), I, T]) =_{df} [n, (s_{1..k})_{[s_1 / \text{default}(s_1)]}, 1, T]$$

$$\text{default}([n, (s_{1..k})]) =_{df} [n, (\text{default}(s_1), \dots, \text{default}(s_k))]$$

Semantic of UML statechart

Auxiliary UML-statecharts semantics

Deals with processing single input events

$$[[\cdot]]_{\text{aux}} : \text{UML-SC} \longrightarrow \text{LTS}$$

$s \in \text{UML-SC}$ given by Labeled transition system $(\text{UML-SC}, L, \rightarrow, s) \in \text{LTS}$: UML-SC set of states.

$L = \Pi \times A^* \times \{0, 1\}$ set of labels. s start state.

$\rightarrow \subseteq \text{UML-SC} \times L \times \text{UML-SC}$ transition relation.

$$(s, (e, \alpha, f), s') \in \rightarrow \quad \longrightarrow \quad s \xrightarrow[\alpha]{e, f} s'$$

$f = 1$ (positive flag)

$f = 0$ (negative flag)

\longrightarrow stuttering step

Semantic of UML statechart

Auxiliary UML-statecharts semantics, transition relation \rightarrow

name $\frac{\text{premise}}{\text{conclusion}}$ (condition)

basic state BAS (stuttering)

BAS $\frac{\text{true}}{[n] \xrightarrow[\alpha]{}_0 [n]}$

Or-state s OR-1 (progress)

OR-1 $\frac{(_, l, sr, e, \alpha, td, i, ht) \in T, sr \subseteq \text{conf}(s_l), s_l \xrightarrow{e} s_1}{[n, (s_{1..k}), l, T] \xrightarrow[\text{ex}::\alpha::\text{en}]{}_1 [n, (s_{1..k})_{[s_i / \text{next}(ht, td, s_i)]}, i, T]}$ $\left[\begin{array}{l} \text{ex} \in \text{exit}(s_l), \\ \text{en} \in \text{entry}(\text{next}(ht, td, s_i)) \end{array} \right]$

Semantic of UML statechart

Auxiliary UML-statecharts semantics, transition relation \rightarrow

Or-state s OR-2 (propagation of progress)

$$\text{OR-2} \frac{s_i \xrightarrow[\alpha]_1^e s_i'}{[n, (s_{1..k}), l, T] \xrightarrow[\alpha]_1^e [n, (s_{1..k})_{[sl / sl']}, l, T]}$$

Or-state s OR-3 (propagation of stuttering)

$$\text{OR-3} \frac{s_i \xrightarrow[\alpha]_0^e s_i, [n, (s_{1..k}), l, T] \xrightarrow[\alpha]_1^e [n, (s_{1..k}), l, T]}{[n, (s_{1..k}), l, T] \xrightarrow[\alpha]_0^e [n, (s_{1..k}), l, T]}$$

Semantic of UML statechart

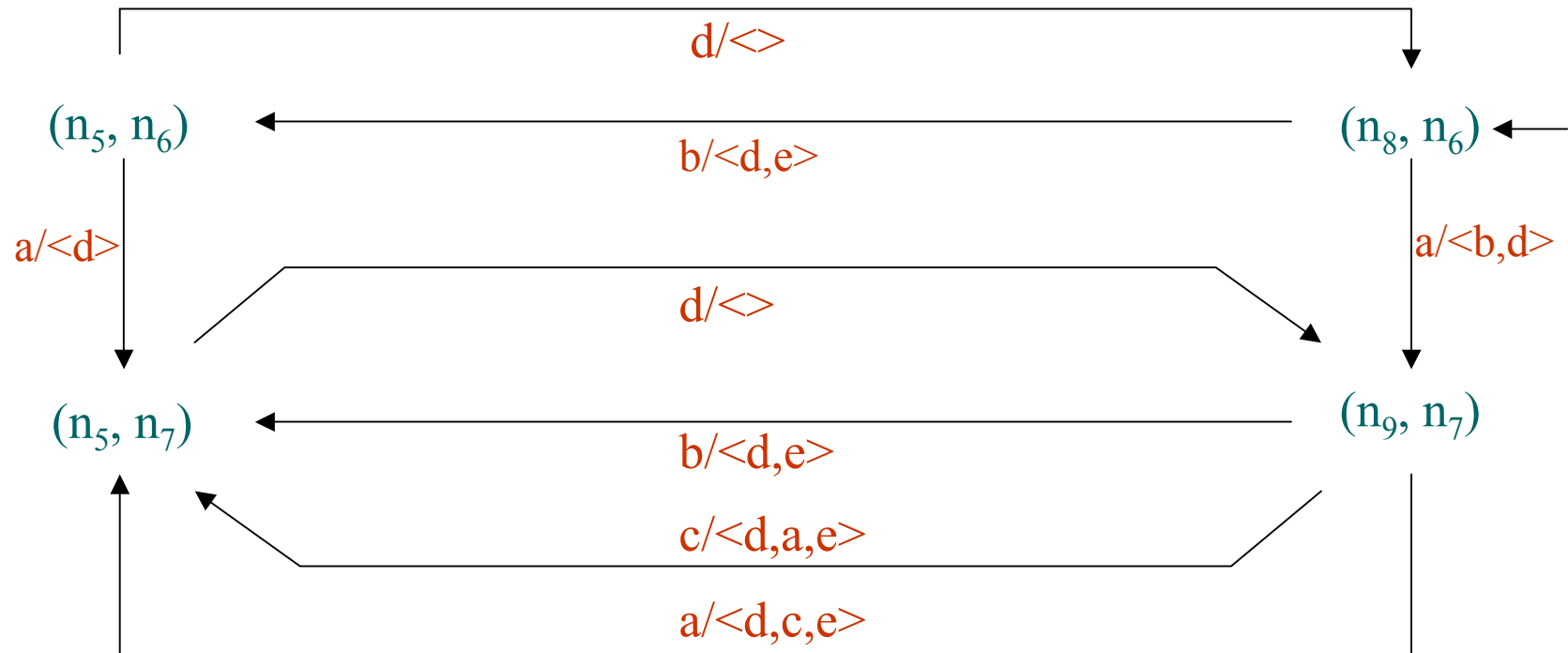
Auxiliary UML-statecharts semantics, transition relation \rightarrow

And-state s AND (composition)

$$\text{AND} \frac{\forall j \in \{1, \dots, k\}. s_j \xrightarrow[\alpha_j]{e} f_j \quad s \neq \perp_j}{[n, (s_{1..k})] \xrightarrow[\alpha]{e} \prod_{j=1}^k f_j \quad [n, (s'_{1..k})]} \left(\alpha \in \{ \alpha_{b(1)} \dots \alpha_{b(k)} \mid \exists \text{ bijection } b: \{1..k\} \rightarrow \{1..k\} \} \right)$$

Semantic of UML statechart

Example for Auxiliary UML-statecharts semantics,



Semantic of UML statechart

Complete UML-statechart semantics,

complete semantics $[[s]]$ of $s \in \text{UML-SC} \rightarrow$ Kripke structure $K = (S, st, \rightarrow) \in \mathcal{K}$,

$S = \text{UML-SC} \times \prod^*$ set of Kripke states of K .

$st = (s, \varepsilon_0) \in S$ start state of K ; $\varepsilon_0 \in \prod^*$

$\rightarrow \subseteq S \times S$ transition relation of K

get-inp $\frac{s \xrightarrow[\alpha f]{e} s\#}{(s, \varepsilon) \rightarrow (s\#, \varepsilon\#)} (\exists(\varepsilon, e, \varepsilon\#) \in \text{sel}, \exists(\alpha, \varepsilon\#, \varepsilon\#\#) \in \text{join})$



Semantic of UML statechart

Conclusions and further work,

- UML-statechart features.
- Syntax of UML-statechart.
- Entry and exit semantics.
- Next-state semantics.
- SOS rules of auxiliary semantics.
- Complete semantics of UML-statecharts.

Model checking

Refinement checking

Transformation between tools

Additional features of UML-statechart



Semantic of UML statechart

Vielen Dank