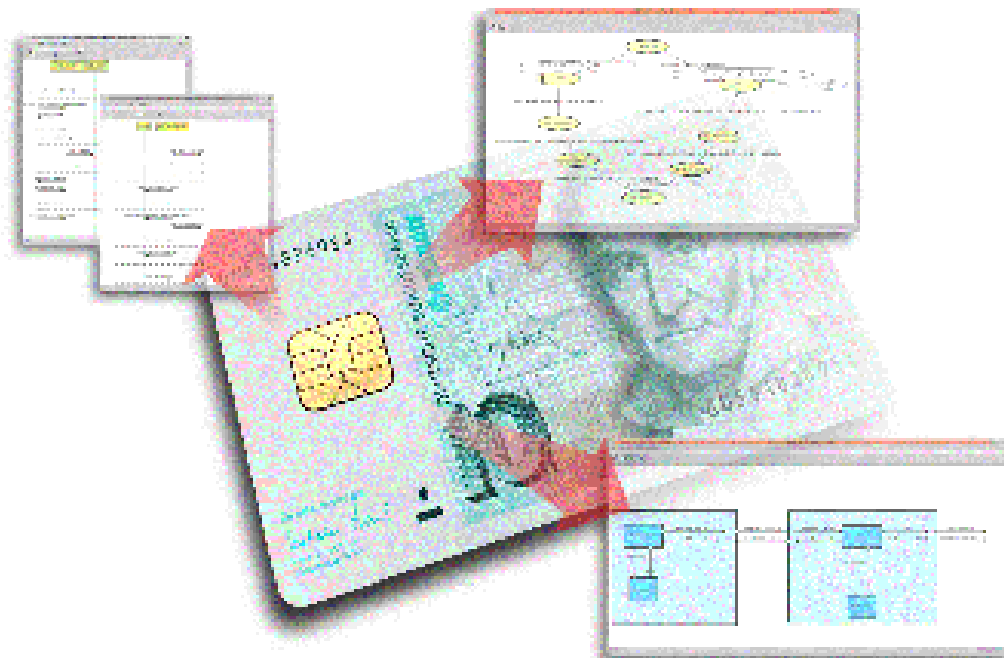




## Validator Manual

Validas Model Validation AG

Version 1.2 of May 25, 2001





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# Chapter 1

## Introduction

The Validas Validator is the implementation of the software quality framework (see Figure 1.1). It provides many validation techniques that can be applied in different stages of the development process (see Section 1.3). In the center of the framework is the model browser (Validator) that allows you to manage your models and to start the different validation steps. The framework provides you with a rich set of features (see Section 1.4.2), and it is constantly extended by new features (see Section 1.5).

### 1.1 Software Quality Framework

The framework has several connections to other tools and languages currently (SMV, SATO, Mucke, ECLIPSE, VSE, CTE, Java, C). This architecture allows you to use efficient third party tools, and the other tools benefit from this integration. Therefore the list of connected tools is increasing (see Section 1.4.2).

In this manual we describe the usage of the validation steps and give a brief introduction to the validation methods. For more details on methods and concepts we refer to scientific publications.

### 1.2 General

This manual uses different fonts to represent different types of information. Shell commands appear in a monospaced font. For example:

```
command [-optional-argument] <filename>
```

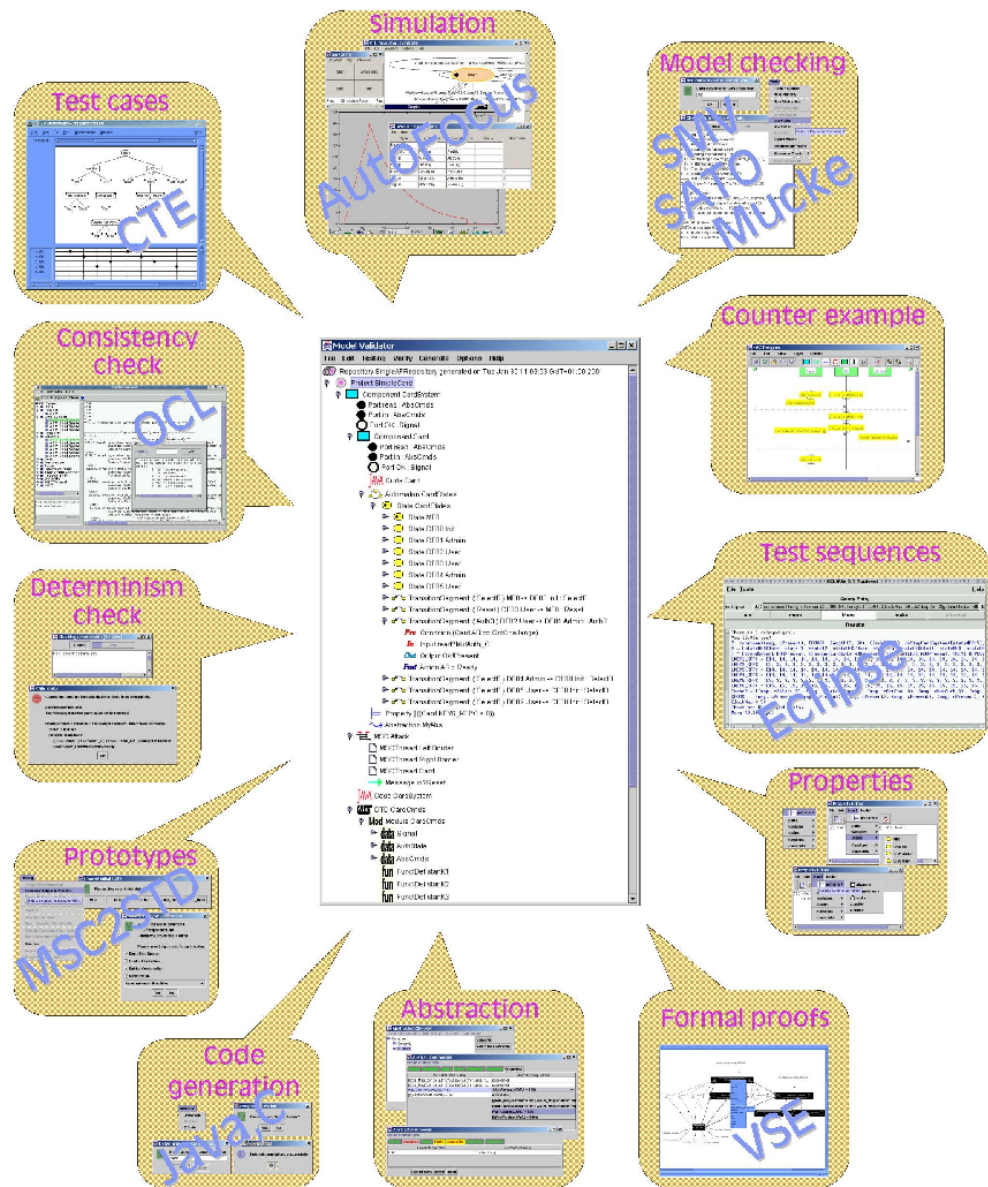


Figure 1.1: Structure of Validas Validation Framework

In this example you have to type `command` in your command-shell and you can give it an `-optional-argument` and you have to specify a filename. Menus also appear in a monospaced font. For example: `File` Buttons are presented like this: `OK`, `Cancel`.

## 1.3 Validation Process

The validation process is modular, and supplements your development process according to your needs during your development process. This ensures you a smooth introduction to your development process. A more detailed description of the combination of the development process and formal methods can be found in [BS99].

The Validas Validation Framework consists of several modules/features that support different validation steps for different stages of your development process. The validation steps (and the structure of this manual) is grouped according to the development process.

In Chapter 2 we describe the usage of the Validator (model browser) and some general steps for managing the models and checking their consistency.

Chapter 3 contains the supported steps for system development. These steps allow you to build models from requirements and to generate code from the models. If you prefer manual implementation, or the use of `AUTOFOCUS` to build your models, the Validas development modules/features can be easily omitted from your process, and you can select bundles of validation features so that you do not have to pay for development features (see Section 1.4 for features and bundles).

In Chapter 4 we describe the validation steps for model based testing. These steps can be grouped into three areas:

- classification of variables to select interesting values,
- determination of test sequences, and
- execution of tests.

All areas are based on the models, especially the traces (EETs/MSCs) are used to describe test sequences. The testing methods use the precise formal foundation of the models, but they are not completely formal.

In contrast to the testing methods, the verification methods described in Chapter 5 are formal methods that can be applied for very critical systems or parts of them.

The complexity of the models and the required quality assurance level<sup>1</sup> determine the selection of best suited verification modules. The validation framework supports the following verification features:

- bounded model checking (using SATO),
- model checking (using SMV, Mucke), and
- interactive verification (using VSE II).

In addition the framework contains features to define system properties, define and execute consistency checks, and an abstraction mechanism to reduce complex systems to simpler ones.

An appendix with examples concludes this manual.

## 1.4 Licenses: Features and Bundles

In this section we describe the license concept of Validas (see Section 1.4.1). The lists of available features and bundles is in Section 1.4.2). Usage of registration is presented in Section 1.4.3)

### 1.4.1 Validas License Concept

The Validas Validation Framework (see Section 1.1) consists of several modules (features) that can be used in different development steps (see Section 1.3). Every feature can be obtained separately (see our list of prices). Several bundles, i.e. combinations of features are meaningful, and have special prices (see again our list of prices).

The single features do not have single version numbers. There exists only one version number for the whole validation framework. The current Version is Version 1.2., the actual release is Release 1.2.0.

The licenses are for single users, however it is easy to migrate with the framework to new computers (i.e. the licenses are not restricted to specific computers). The license refers to a version, and includes updates for all releases of this version.

For each feature there exists a key that allow to enable the feature. The keys will be provided to you, when you buy features. For bundles also exists keys, that

---

<sup>1</sup>Note that you can achieve EAL7, the highest level of Common Criteria, using AUTOFOCUS and the Validas Validation Framework.

enable all features of the bundle. If you are asked to enter the key for a feature (e.g. for the validator), you might also enter a valid bundle key that contains this feature. In this case all other features of the bundle will also be enabled.

For many features there is a demo version available that allows to use the feature in a restricted mode. Therefore there is only one configuration of the validation framework, and different features can be enabled using different keys. This allows you to enable additional features without installing additional software.

The Validas Validation Framework does not include licenses for other tools. We tried to select public domain tools like SATO and SMV, as far as possible, however some commercial tools like VSE, CTE and ECLIPSE have to be purchased independently.

A cooperation of with distributors of some connected tools is likely to come.

## **1.4.2 Validas Features and Bundles**

The following features can be obtained from Validas

- Validator: model browser
- OCL Consistency Check: allows to define and execute using the UML object constraint language
- MSC Editor: allows to edit MSCs for prototyping or visualization of counter examples
- MSC2STD: generates prototype automatons (STDs) from MSCs
- Deterministic Check: checks if the models are deterministic (complete)
- Transition Tour: computes a transition tour MSC on an automaton
- ECLIPSE: exports prolog constraint code for the ECLIPSE constraint solver
- CTE: connection to classification tree editor
- Test Driver: executes test sequences by loading Java code
- Test data Generation: Exports MSCs in test data format for running batch tests
- Property Editor: allows to specify (temporal) system properties
- SATO: connects to bounded model checker

- Transition Sequence Checker: checks if transition sequences can be executed
- SMV: connects to model checker SATO
- Mucke: Exports to the modal  $\mu$  calculus model checker Mucke.
- Abstraction Chooser: help to define abstraction functions between models
- VSE: connects to the verification support environment (VSE II)
- Java Code Generation: generates Java code
- C Code Generation: generates C code

Note that the validator (model browser) is required for almost all features, however there is a demo version, that can be used. The only exception is the C code generator, it is also available as a plug-in to AUTOFOCUS.

The following features have restricted demo versions:

- Validator: The Validas Validator does not allow to save and to export models
- Deterministic Check: The Deterministic Check does not work for more than 20 pairs of transitions
- Transition Tour: The Transition Tour does not generate tours for automata with more than three states
- ECLIPSE: works only for atomic components and does not allow to store protocols
- Test data Generation: The Test data Generation does not generate test data for more than 20 messages
- Property Editor: The Property Editor does not allow to save properties
- SATO: The Validas SATO connection does not allow a maximal time greater than 60 s
- Transition Sequence Checker: Transition Sequence Checker does not allow a maximal time greater than 60 s
- SMV: The Validas SMV connection does not allow a maximal time greater than 60 s

- **Java Code Generation:** The Validas Java Code generator does not generate code for more than three components
- **C Code Generation:** The Validas C Code generator does not generate code for more than three components

The following bundles are available:

- **New Editors:** for developing new models and properties
  - Validator
  - MSC Editor
  - Property Editor
- **BSI Features:** the features developed for the Bundesamt für Sicherheit in der Informationstechnik during the project Quest:
  - Validator
  - SMV
  - SATO
  - Mucke
  - VSE
  - Java Code Generation
  - Transition Tour
  - CTE
  - Property Editor
- **Prototyping:** for developing models
  - Validator
  - MSC Editor
  - MSC2STD
  - Java Code Generation
  - Test data Generation
- **All Validation Features (no Prototyping):**
  - Deterministic Check

- C Code Generation
- Test Driver
- CTE
- Property Editor
- Abstraction Chooser
- SATO
- Transition Sequence Checker
- SMV
- Java Code Generation
- OCL Consistency Check
- VSE
- Validator
- ECLIPSE
- Test data Generation
- Transition Tour
- MSC Editor
- Mucke

● **Deluxe:** All Features:

- Deterministic Check
- C Code Generation
- Test Driver
- CTE
- Property Editor
- Abstraction Chooser
- SATO
- Transition Sequence Checker
- SMV
- Java Code Generation
- OCL Consistency Check
- VSE

- Validator
  - ECLIPSE
  - MSC2STD
  - Test data Generation
  - Transition Tour
  - MSC Editor
  - Mucke
- **Advanced Model Checking:** model checking and abstractions (without VSE)
    - Validator
    - SMV
    - SATO
    - Mucke
    - Property Editor
    - MSC Editor
    - Transition Sequence Checker
    - Deterministic Check
    - Abstraction Chooser
- **Testing:**
    - Validator
    - CTE
    - Transition Tour
    - Test data Generation
    - Test Driver
    - ECLIPSE
- **Very Formal Verification:**
    - Validator
    - VSE
    - Abstraction Chooser

- OCL Consistency Check

- **Code Generation:**

- Validator
- C Code Generation
- Java Code Generation
- Test data Generation
- Test Driver

- **Model Checking:**

- Validator
- SMV
- SATO
- Property Editor
- MSC Editor

### 1.4.3 Using the Licenses

The list of activated features is maintained in a file `val.lic` in the directory where the program is started. Do not edit this list. If you start a unregistered feature, you will be asked to register or to use a demo mode (if present) as in Figure 1.2. If you use the demo mode you will be informed on the restriction of

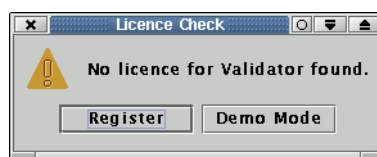


Figure 1.2: License Question

the selected features as depicted in Figure 1.3 before the feature is started in demo mode, and you are always asked to register, if you want to perform a restricted feature (in the example you are prompted for registration, when you want to save the model). if you register, you will be displayed the license condition, which you should accept for further registration. The next step is to enter your company name. After these steps, you will be asked to enter the registration key for that



Figure 1.3: Restriction for Demo Mode

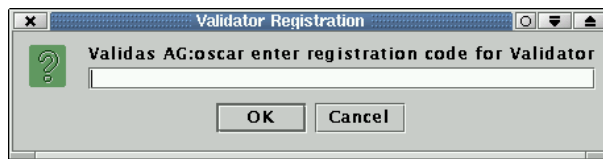


Figure 1.4: Registration

feature as depicted in Figure 1.4. Now you have to enter the valid key for this feature, or a key for a bundle that contains the feature. Otherwise registration will fail.

## 1.5 Future Work

The Validas Validation Framework has a modular architecture, centered around the component oriented models of AUTOFOCUS. The framework offers close connections to third party tools that thus can be integrated into the development process.

Validas integrates more tools into the framework, especially the following features will be available

- Ada code generator
- connection to ATOL Ada test tools
- Database foundation
- Connection to the SPIN model checker
- new editors
- more plugins into AUTOFOCUS

- DOORS connection (import, RMI, export)
- improved installers, especially for windows

In a second extension step Validas will provide a Java API, that allows to access the models and to write arbitrary connections. Using this API many other tools can be connected from partners.

# Chapter 2

## Validas Validator

The Validas Validator (model browser) is the center of the Validas Software Quality Framework. In this chapter general functions of the Validas Validator are described (see Section 2.2). Furthermore installation (see Section 2.1) and other general functions are described.

The component oriented models consist of two important parts:

- the structures (models and views), and
- the underlying data modeling language `QUESTF` for the description of data items and atomic functions.

The structures for SSDs, STDs, EETs are stored in the `.qml` files, the DTDs are stored in `_Module` files. The integration into `AUTOFOCUS` is described in Section 2.4, the language `QUESTF` in Section 2.3.

Furthermore there are consistency checks available that can be applied to ensure the static consistency of models (see Section 2.5).

### 2.1 Installation

This section describes the installation of the Validator. Currently there is only an installer for Unix systems. Since the validator (and many connected tools) are also available for windows systems, the validator also runs on these systems, however installation has to be done manually. For this purpose a script file `Validator.bat` is available into which the paths of your systems have to be set.

The third party tools (except C and Java which are available on most computers) can be achieved from the following locations:

- AUTOFOCUS freely available from <http://autofocus.informatik.tu-muenchen.de>)
- SMV freely available from <http://www.cs.cmu.edu/~char126/relaxmodelcheck/>
- SATO freely available from <http://www.cs.uiowa.edu/~hzhang/sato.html>
- ECLIPSE freely available (only for research) from <http://www.icparc.ic.ac.uk/eclipse/>
- CTE from ATS Software GmbH and Daimler Chrysler Research
- VSE II from DFki GmbH <http://www.dfki.de>

In the reminder of this section the requirements and the installation for the Validas Validation Framework under Linux are described. The framework is provided in zip archives and has a self installable archive included. See the readme file contained in the archive for latest informations.

The installation of the ECLIPSE connection is described in Section 4.2.

### 2.1.1 System Requirements

The Validas Validation Framework run under Unix platforms and Windows NT. Requirement are:

- preferable an Unix-like platform or with some shortcomings a PC running Windows NT. The operating system must be capable to run Java 2. The Validation Framework is tested with Solaris 2.6, Solaris 7, Linux (Kernel 2.2) and Windows NT 4.0. For the connection to VSE Solaris 2.5 (or later) is needed. For the connection to CTE Solaris 2.5 (or later) or Linux (Kernel 2.2) is needed.
- a run-time version of Java 2. The Validas Validation Framework is tested with the Sun version of Java 2 (JDK 1.2.2).
- an unzip commando to unpack the archive containing the validator.

Optional requirements are:

- for the connection to the theorem prover: VSE II version 1.02c or later.

- for the connection to the model checkers:
  - SMV version 2.5
  - SATO version 3.2
- for the testing environment: CTE

## 2.1.2 Installation

For further notes on installation and configuration of AUTOFOCUS see the readme file contained in the zip archive.

For the installation of the framework follow these steps:

1. Unpack the archive `validator.zip` with your favorite unzipper to a temporary directory. E.g. on Unix-like platforms:

```
cd /tmp
unzip validator.zip
```

This will create a directory `Quest-Install` with two files: `Readme.txt` and `install.class`.

2. Please readme file for up to date instructions.
3. Change to the directory `Quest-Install` and start the installer<sup>1</sup>:

```
cd Quest-Install
java install
```

4. After a while you should see the “Welcome to the Quest Setup program” (see Fig. 2.1).
5. The steps through the Quest setup should be self explanatory. The main thing is, that you have to enter a directory in which the Quest tools will be installed (see Fig. 2.2). That directory is the Quest-Home.
6. After the installation process the Quest tools reside in `Quest-Home/bin`. It is recommended, that you expand your path-settings appropriate.

---

<sup>1</sup>On Unix systems the installer stores some information in the directory `/bin` or `/usr/bin`, e.g. an uninstall program.

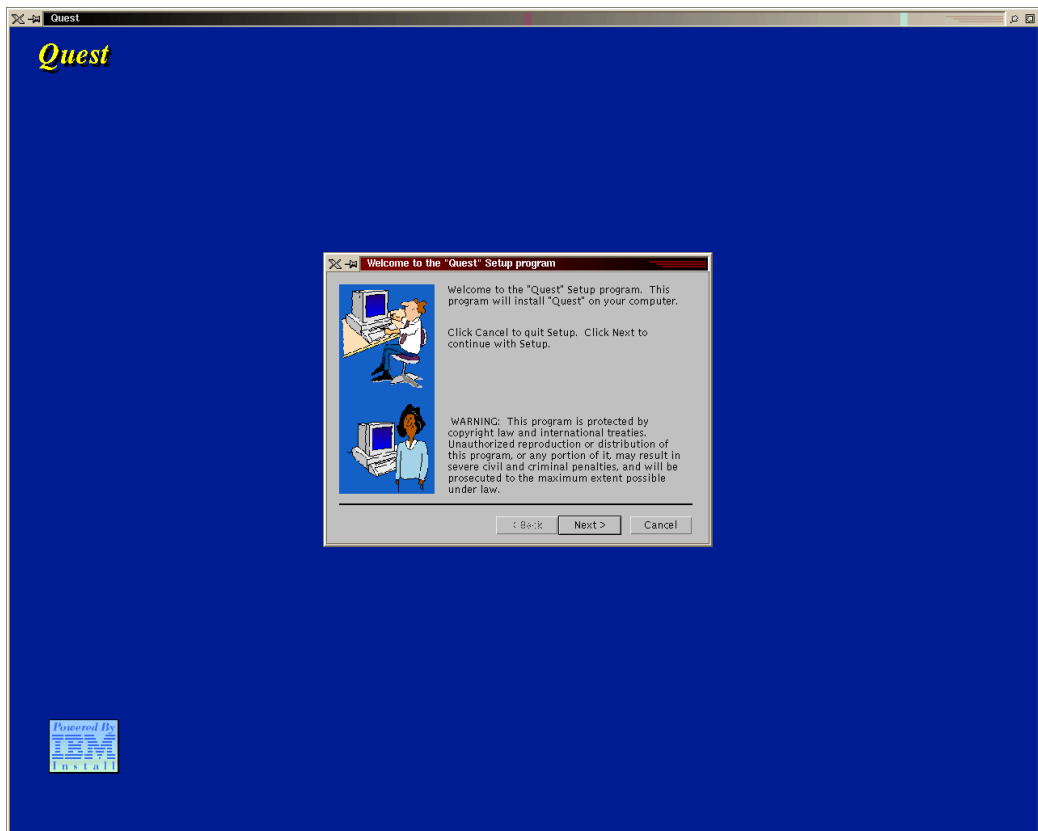


Figure 2.1: The welcome screen of the installer

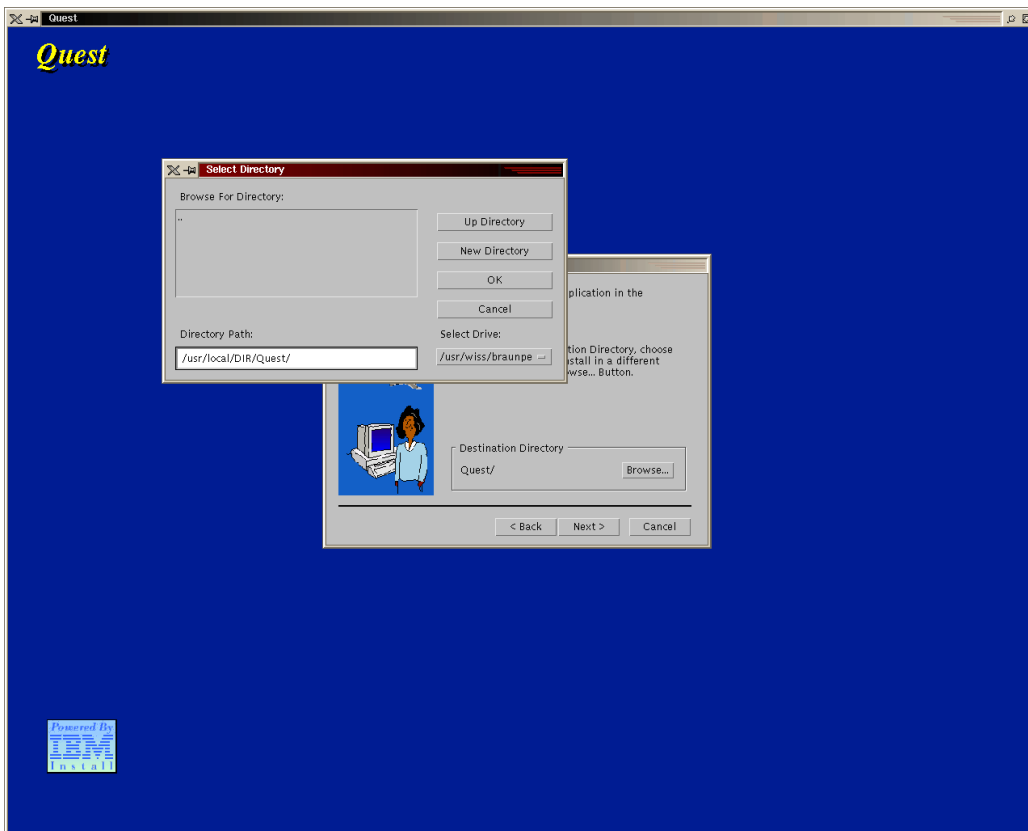


Figure 2.2: Choose a destination directory

```
export PATH=Quest-Home/bin:$PATH
```

A file named `.quest` with environmentvariable settings will be created in the Quest-Home directory and if Quest setup is not started as a user with root privileges, then it will be copied to the installers home directory. This configuration file is needed for the Quest tools.

7. If not already done, the other programs like VSE II, SATO, SMV, CTE, ECLIPSE needed for the validation tools, have to be installed now and should be added to your path-settings.
8. If another user wants to run the validation tools, she/he should expand her/his path-settings appropriate and she/he have to copy the file `.quest` to her/his home directory.

### 2.1.3 Configuration

There are two several to configure the Validator:

- via starting Options of Java (`-Doption=value`)
- via configuration file (`option=value`)
- interactively

The interactive settings are described in the description of the relevant features. The starting options can be defined in the starting scripts (see Section 2.1.3, and 2.1.3). The configuration file contains more general settings. The starting options have priority over the configuration options. The interactive options have the highest priority. If a configuration item is omitted a default value is assumed. The following options can be defined (*default values*):

- `validas.tkeclipse` (`C:\Programme\Validas\prolog\eclipse\lib_tcl`)
- `validas.prologgen` (*PathToPrologBin*)
- `validas.smvstart` (`smv -l -reorder`)
- `validas.MaxInt` (`15`)
- `validas.config` (`validas.cfg`)
- `validas.licence` (`Val.lic`)
- `validas.debuglevel` (`0`)

## Example Windows Starting File

The following File is an example for the startup of the Validator under window systems.

```
REM Validas Validator startup script for Win95/NT

REM Begin of configurable section
REM -----

SET JAVA_HOME=C:\Programme\JDK\jdk2.2
SET VALIDAS_HOME=C:\Programme\Validas
SET SMV=C:\Programme\Validas\SMV\smv2.5\
SET SATO=C:\Programme\Validas\Sato\
SET CTE=C:\Programme\Validas\CTE\

SET PROLOG=C:\Programme\Validas\prolog\eclipse\lib\i386_nt
SET TCL=C:\Programme\Validas\Tcl\bin

REM SET CYGWIN=C:\Programme\cygwin\usr\bin\
REM End of configurable section
REM -----

REM
REM Begin of Setting Section
REM -----

REM main option: Config-File
SET CONFIG_OPT="-Dvalidas.config=%VALIDAS_HOME%\Validator.cfg"
SET MAXINT_OPT="-Dvalidas.MaxInt=15"
SET DEBUG_OPT="-Dvalidas.debuglevel=2"

SET OPTIONS=%CONFIG_OPT% %MAXINT_OPT% %DEBUG_OPT%

SET PATH=%JAVA_HOME%\bin;%SMV%;%SATO%;%CTE%;%PATH%;%PROLOG%;%TCL%
SET CLASSPATH=.;%VALIDAS_HOME%\jars\quest.jar;%JAVA_HOME%\lib\classes.zip
SET CLASSPATH=%CLASSPATH%;%JAVA_HOME%\lib\tools.jar;%VALIDAS_HOME%\jars\sablecc.jar
SET CLASSPATH=%CLASSPATH%;%VALIDAS_HOME%\jars\sableutil.jar
REM -- SET JAVA_COMPILER=none
%JAVA_HOME%\bin\java %OPTIONS% -classpath %CLASSPATH% \
  quest.testing.application.TiniTest
Pause
```

## Example Unix Starting File

The following File is an example for the startup of the Validator under Unix systems.

```

#!/usr/bin/bash
export PATH=/opt/jdk1.3/bin:$PATH

# define options
CONFIG_OPT="-Dvalidas.config='pwd'/../Validator.cfg"
MAXINT_OPT="-Dvalidas.MaxInt=2"
DEBUG_OPT="-Dvalidas.debuglevel=0"
HELP_OPT="-Dquest.help=file: \
'pwd'../../Documents/UsersGuide/onlinehelp/onlinehelp.html"

OPTIONS=${CONFIG_OPT}" "${MAXINT_OPT}" "${DEBUG_OPT}" "${HELP_OPT}

SABLECC=../Tools/Sablecc-2.9/sablecc.jar
SABLEUTIL=../Tools/Sablecc-2.9/sableutil.jar
CLASSES=../classes
# CLASSES=../jars/quest.jar # to test quest.jar
ICEBROWSER=../Tools/ICEBrowser-4.08/icebrowserbean.jar

CLASSPATH=$CLASSES:$SABLECC:$SABLEUTIL:$ICEBROWSER

java -mx100m -classpath ${CLASSPATH} ${OPTIONS} \
quest.testing.application.TiniTest $1

```

## Example Configuration File

The following file is an example for configuration file. The path to this file should be specified with the `-Dvalidas.config` option, or it should be called `validas.cfg` in the execution directory.

```

validas.prologgen=/home/oscar/QUEST/quest/Software/Tools/PrologBin
validas.tkeclipse=C:\Programme\Validas\prolog\eclipse\lib_tcl
validas.smvstart=smv -l -reorder

```

To uninstall the validation framework tools on Unix platforms follow those steps:

1. Log in as the user who installed the validation framework.
2. Start the uninstall program:

```

juninst Quest-Home/UnInst

```
3. Follow the suggested steps.

After this you may remove `juninst` from your `/bin` directory.

To uninstall the validation framework on Windows platforms go to the Add/Remove Programs dialog in the Windows Control Panel and remove the program.

## 2.2 Using the Model Browser

The model browser is the core of the Validas Validation Framework. It is also called *Validator*. It is the starting point for all verification and testing activities. It enables you to

- load a repository
- view the repository
- edit the repository in a limited way and
- start the verification and testing tasks

The models are component-oriented models (similar to the UML-RT models), that are exported from the AUTOFOCUS tool.

### 2.2.1 Starting the browser

The browser does automatically come up when you start the validation framework. This is (under Unix) done by typing

```
startQuest
```

On Window systems you have to run the `Validator.bat` from a command shell. See Section 2.1 for further details on the installation.

### 2.2.2 Working with repositories

With the `Files` menu in the menubar you can open, close, save and import the repository.

**Open a repository:** There are two ways to open a repository. When opening a repository, an optional type check can be performed. If you want to leave out the type check use `open repository (fast)` to open the repository. After the repository is loaded the repository name and all projects will be displayed in the browser. If you load the repository with `open repository (check)` all terms in your model will also be type checked while the repository is loaded. Use

this if you want to type check the model. Type checking the model, especially the transitions of STDs cannot be performed in AUTOFOCUS.

If you are currently working on a repository and open a new one, the current repository will be closed before the new one is opened. So be patient and save the contents of the current repository before opening a new one.

**Close repository:** Closes the repository and throws away all changes since last save.

**Save repository:** Save the repository to the same file where it was loaded from. The data module files are also saved.

**Save repository as:** Save the repository (and the data modules) into a new file.

**Import repository:** Import all projects of the specified repository into the repository that is currently loaded into the browser. This function does not perform the type check.

**Import MSC:** This feature allows to import single MSCs into repositories (select a Component). The MSC has to be in .qml format and should have the extension .msc. This feature is useful for the importing of generated MSCs of third party tools, in the current version of the ECLIPSE connection this is the way in which the generated test case are imported.

MSC messages can be exported with the `Testdata` within the `Generate`, in a more compact format for further processing (see Section 4.4).

### 2.2.3 Viewing and editing nodes

If you want to view the attributes of the selected elements, check the box in `Options->Show Attribute Window`. All nodes of the current selection will be displayed in a separate window. The attributes of some nodes can be edited in the attributes window (e.g. messages).

## 2.2.4 Editing Models

You can edit models with the model browser. This is done with the menu `Edit`. Editing models is meaningful for prototyping (see Section 3.2), or doing some changes in the models.

### Inserting nodes

It is possible to develop models with the validator, this can be done using the browser and the `MSCEditor`. See the presentation `QuestModel.ppt` for an instruction how to build models with the `Validator` (prototyping features). For inserting new model elements, you have to select the corresponding model element (for example components can be inserted into projects and components). In the menu `Edit` there is a submenu `Insert new` with a list of supported elements.

- `Project`
- `Component`
- `new MSC`
- `Code`
- `Testdata`
- `Test`
- `Testcase`
- `PrologQuery`
- `Property`
- `Abstraction`

Furthermore it is possible to create new repositories (`create Repository`), or to insert new elements of data module (using the submenu `New Data`).

After the selection of a model element to insert, you are asked for a new name (if necessary), and the element is inserted.

## Renaming nodes

With the model browser nodes can be renamed. Select the model element and press the `Rename node`. Then you get a dialog that allows you to change the name of the node.

### 2.2.5 Deleting nodes

Nodes can also be deleted within the model browser. To delete a node first select the desired node and then click on `Delete node`. Be aware that all subnodes (i.e. the subtree of the selected node) will also be deleted instantaneously.

## 2.3 The Language QuestF: DTDs and Properties

In this section we describe the language QuestF. QuestF is a functional language, extended with some constructs for the description of system properties.

The functional part of QuestF is similar to ML [Pau91] or Gofer [Jon93], the temporal operations are like those in TLS [Mül98a], a subset of LTL. The operations for the system descriptions are close to the notations used in the AUTOFOCUS tool.

In the following subsections we provide syntax for the language QuestF, and explain it by some examples of a banking system (see Appendix A.2 or <http://www4.in.tum.de/proj/quest/> for a description of the example). Furthermore we characterize the subsets of QuestF, that can be used for model checking, and for the export to VSE.

### 2.3.1 Type Definitions

Type definitions are the central part in the DTDs of AUTOFOCUS/Quest. They define types and elements of QuestF. For example

```
data Message = Money(Int) | NoMoney | Balance | MailSent;
```

defines the type `Message` and the **elements** `NoMoney`, `Balance`, and `MailSent`, and the function `Money: Int -> Message` that constructs an element of type `Message` for each argument of type `Int`. The elements and functions defined within the `data` construct are called **constructors**, and can be used in **patterns** to define functions or transitions with **pattern matching** (see Section 2.3.3).

The defined types can be used in SSDs together with the default types `Bool`, `Int`, `Float`. The defined elements can be used in the transitions of STDs, for example in

```
m <= 0 ; x ? Money(m); s ! m, ans ! NoMoney; Msg = Money(m)
```

This transition reads from the channel `x` of type `Message`, and writes to the channels `s` of type `Int` and `ack` of type `Message`. The transition can only be executed, if the following conditions hold:

- a value is on the channel connected to the port `m`,
- the value matches the pattern `Money(m)`, and
- the recondition `m <= 0` holds.

For example the transition cannot be executed if `x` has no value, or `MailSent`, or `Money(100)`. In the case `x` has the value `Money(0)`, the transition can be executed and sends the value `0`<sup>2</sup> to the port `s`.

Type definitions can also be used to define polymorphic types, for example lists, by:

```
data List(a) = Nil | Cons(first:a,rest:List(a));
```

In this definition two **selector functions** are defined: `first: List(a) -> a` and `rest:List(a) -> List(a)`. Both are partial functions and can only be applied to list elements constructed with `Cons`. Therefore type definitions introduce predicates (discriminator functions) `is_Nil` and `is_Cons`. The semantics of discriminator and selector functions are (see Section 2.3.3 for function definitions).

```
// generated selector functions:
fun first(Cons(x1,x2)) = x1;
fun rest(Cons(x1,x2)) = x2;
// generated discriminator functions:
fun is_Nil(Nil)=True
  | is_Nil(x) = False;
fun is_Cons(Cons(x1,x2))=True
  | is_Cons(x) = False;
```

---

<sup>2</sup>The transition variable `m` is instantiated to the value `0` during the pattern matching of the conditions.

For model checking finite types are required. Therefore the type definitions must not be recursive, i.e. the defined type must not occur in the definition. For example the above type `List` is not finite. Furthermore polymorphic types cannot be used for model checking<sup>3</sup>.

The grammar for data definitions (*ddata*) is (in BNF-Syntax):

```

ddata ::= data string tvars? ≡ dconstr dconstrs* ;
tvars ::= ( tvar cotvar* )
cotvar ::= , tvar
tvar ::= string
dconstrs ::= | dconstr
dconstr ::= string
                | id ( karg kargs* )
                | ( karg infix karg )
kargs ::= , karg
karg ::= type
                | id : type

```

Type definitions can be used in DTDs to define additional functions (see Section 2.3.3).

## 2.3.2 Terms

In the language QuestF the following terms are allowed:

- atoms (constants, variables), like `2`, or `X`
- applications like `not(x)` or `mult(x, y)`
- infix-applications like `2+3` or `(x ^ y)`
- conditionals like `if x then y else z fi`, where the else branch can be omitted, and
- unnamed functions (“lambda abstractions”) like `param x y { t }`.

Around arbitrary infix operators there are brackets required. Predefined infix operators (see Section 5) have priorities, that allow to write terms like `a+b+c*d`.

The important grammar rules for building terms are:

---

<sup>3</sup>The only exception is the predefined polymorphic type `Channel(m)`, see Section 2.3.8.

```

cterm ::= cbase typean?
typean ::= ⋄ type
cbase ::= sign? id
          | param string+ { cterm }
          | ( cterm infix cterm )
          | if cterm then cterm else cterm fi
          | if cterm then cterm fi
          | unop ( cterm )
          | ( cterm )
sign ::= ±
          | =

```

Lambda abstraction is not supported for model checking and VSE generation. In the next section we show how to define functions in QuestF.

### 2.3.3 Function Definitions

In the language QuestF functions can be defined by pattern matching (like in functional languages). In the example of the banking system (see Appendix A.2), we have the following example:

```

data Card = Invalid
          | Valid(info:Cardinfo,dayAmount:Int,Date);
const maxAmount=2;
fun maxForToday(day,Valid(ci,amt,lastDay)) =
    if (lastDay == day)
    then maxAmount-amt
    else maxAmount
    fi;

```

It defines a constant `maxAmount` and a function `maxForToday`, using the constructor function `Valid` for pattern matching. Since the function `maxForToday` has no branch for invalid cards, it is undefined for this pattern.

Infix operations can also be defined, for example

```

fun (Nil # s) = s
  | (Cons(x,s) # t) = Cons(x,(s#t));

```

Defines a concatenation operator `#` for lists.

The grammar for constants and functions is:

```

decl ::= const id = cterm ;
      | fun cterm fundecls* ;
fundecls ::= | cterm

```

For function definitions the form  $left = right$  is required (see Section 2.3.6). On the left side only variables and constructors are allowed. All variables used in the right part of the function definition have to occur in the left part. Every variable may occur only once within the left part of the equation.

### 2.3.4 Module Definitions

The QuestF languages has a modular structure. Every project of AUTOFOCUS has a list of DTDs. A DTD is a module within the QuestF language, the name of the DTD is the name of the module. Every DTD has a tree of sub-DTDs, that correspond to imported modules. For each DTD (and the corresponding tree) the export into QuestF format generates a file with a module structure.

The user can create (imported) sub-DTDs using the “Navigate” menu of the DTD-Editor (see Figure 2.3), therefore it is not necessary to look at the grammar for modules in QuestF.

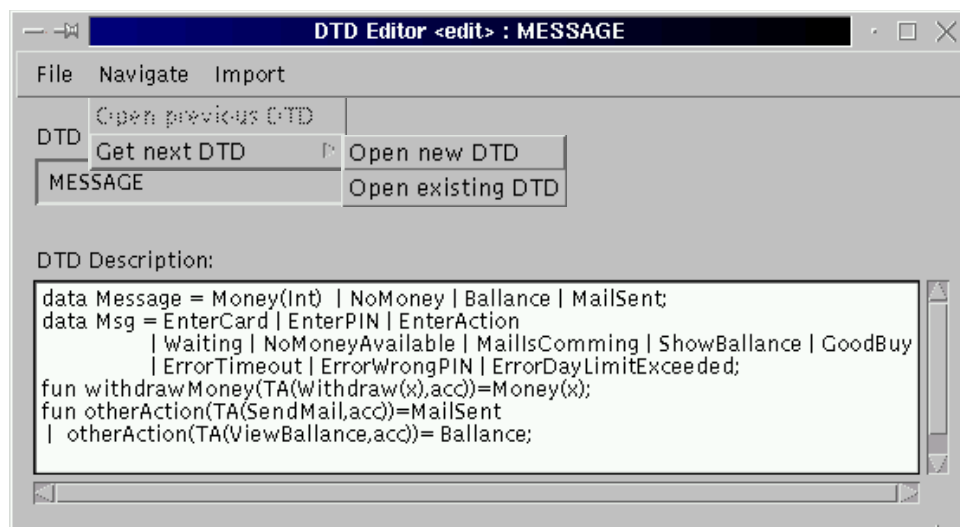


Figure 2.3: Importing a DTD Module

In the DTDs of AUTOFOCUS only tree structures are supported, whereas the QuestF language supports arbitrary imports.

### 2.3.5 Properties

The QuestF language allows to formulate properties. Properties can be defined for every component (see Section 2.2 for the user interface). Properties are temporal formulas over components. The following temporal operators are supported:

- $\square(f)$ :  $f$  is always true
- $\langle \rangle(f)$ :  $f$  is true sometimes in the future
- $\circ(f)$ :  $f$  is true in the next state

Formulas can be connected with the boolean operators for terms (see Section 5).

Formulas can refer to attributes of the component, and to the operations available on these model attributes:

- `StateName`: refers to a state named `StateName`, `@` is the current state. On states the only operations are
  - `=`: equality
  - `!=`: inequality
- `PortName`: refers to a port named `PortName`. On ports the following operations can be applied:
  - `p!x` port `p` sends the value `x`.
  - `p?x` port `p` receives the value `x`. `x` can also be a pattern, consisting of variables and constructor functions. Furthermore all functions available on the type `Channel` (see Section 2.3.8) can be applied, for example to state that a port `p` is empty by `is_NoVal(p)`.
- `LocVar`: refers to a local variable `LocVar` of this component. All operations available on the variable can be applied.
- `SubName`: refers to a subcomponent named `SubName`. On subcomponents all attributes can be referred using a `.` as delimiter.

With the possibility to refer to subcomponents, we have a qualification mechanism for properties.

Properties are automatically translated into a fully qualified form after they have been entered.

An example for a property of the component `Connection1` is:

```
[ ] (Answer?NoMoney => ( ) (CentralMsg!NoMoney))
```

It is expanded to the fully quantified property

```
[ ] (FM99.BankingSystem.Connection1.Answer?NoMoney =>
      ( ) (FM99.BankingSystem.Connection1
           .CentralMsg!NoMoney))
```

Since properties are built like usual terms (over temporal operators and model selectors), there is no additional grammar required for properties.

Note: Since identifiers in QuestF, must not contain blanks, properties must not contains identifiers with blanks. Since qualified names contain the name of the project, and the name of all components, these names must not contain blanks as well, if qualified properties should be stored or loaded.

### 2.3.6 Correctness Conditions

The most important correctness condition for expressions in QuestF is type correctness. Furthermore input and output ports must not be confused. An additional source of errors are reference problems, for example to non-existing attributes of components, or due to wrong qualified names. Some of these errors are detected when the model is used, i.e. when the model is exported or translated. This causes exceptions that point to the invalid references.

### 2.3.7 Integration and Application

The QuestF languages cannot be type checked in the AUTOFOCUS tool. However when importing a model into the model browser type checks can be applied.

### 2.3.8 Predefined Elements

In this section we describe the predefined elements of QuestF.

#### Types

The following data types are predefined in QuestF<sup>4</sup>

---

<sup>4</sup>Allowing alternative syntactic forms for some types and elements makes it easier to convert projects with from other languages to QuestF format.

- **Bool, boolean, Boolean** with **True, False** and **true, false**.
- **Int, int** with **0, -1, 1, ...**
- **Float, float** with **0.0, ...**
- $a \rightarrow b$  for functions from type  $a$  to type  $b$
- `Channel(m) = NoVal | Msg(Val:m);`

The type `Bool` can be translated to VSE and can be used for model checking. `Float` and higher order functions (like in ML) are not supported within SMV and VSE. The type `Int` is restricted to a finite domain for model checking, ranging from 0 to `MaxInt`, a value that can be defined in the model browser. The polymorphic type `Channel(m)` must not be used in AUTOFOCUS. In QuestF it is used within properties, for example to express  $x?$  by `is_NoVal(x)`. In the translations to SMV, SATO and VSE this type is used for a type correct representations of channels (see Sections 5.2.1, and 5.6).

## Operations

In this section we describe predefined operations (and their priority), that can be used to build terms. Priorities range from 1 (low) to 4 (high), and can have an associativity, for example `&& 2l` denotes that `&&` has priority 2 and associates to the left.

The following operations are allowed on all terms:

- equality: `= 1l`
- inequality: `!= 3l`
- receive: `? 3`
- send: `! 3`

The following operations are allowed on boolean terms:

- negation: `not`
- implication: `=> 1r`
- biimplication: `<=> 1r`

- disjunction: `||` 11
- conjunction: `&&` 21

The following operations are allowed on numeric (`Int` and `Float`) terms:

- subtraction: `-` 21
- addition: `+` 21
- greater: `>` 3
- greater or equal: `>=` 3
- less: `<` 3
- less or equal: `<=` 3
- multiplication: `*` 41
- division: `/` 41

Note that multiplication and division cannot be translated to SATO (see [Wim00]).

## 2.4 Integration in AUTOFOCUS

### 2.4.1 Exporting Projects from AUTOFOCUS

You can export an AUTOFOCUS project by simply pressing `Export Project` `-> Quest Format` from the `Projects` menu in AUTOFOCUS and choosing a file name. The exporter creates one file for model with the given file name and for each specified DTD module a special module file. Note that all generated files have to be in the same directory to read or import the repository.

### 2.4.2 Importing Projects in AUTOFOCUS

Importing projects to AUTOFOCUS is as easy as exporting them. Just click on `Import Project` `-> Quest Format` in the `Projects` menu and enter the file name that contains the model. Pay attention that the corresponding DTD module files are located in the same directory as the model file.

### 2.4.3 Limitations

Due to limitations of the quest data structures and the AUTOFOCUS data structures some information gets lost when converting a project from Validator (Quest format) to AUTOFOCUS or vice versa.

The Quest export can not handle the following items:

- refinement of axes in EETs (i.e. sub EETs for axes)
- textual annotations of all objects
- reuse of STDs in several components
- STD documents which are not associated with an SSD document
- combinations of input/output statements that are delimited with “ , ” can not be exported (use “ ; ” as delimiter)

Items that cannot be imported in AUTOFOCUS:

- all kinds of test data
- properties
- abstractions
- Java code

Therefore, when exporting an AUTOFOCUS projects to the Quest format and reimporting it again in AUTOFOCUS, you will automatically loose the refinement of axes in EETs.

## 2.5 Consistency Checks with OCL

This section describes the OCL consistency checking feature of the Validas Validation framework. The OCL consistency feature is still a prototype.

### 2.5.1 OCL Method

The OCL (Object Constraint Language) is a part of the UML (unified modelling language). It can be used to express constraints on models. Using an interpreter (and a connection to the models) these constraints can be evaluated. The OCL features are based on one of the first OCL interpreters that have been built worldwide [?].

The OCL feature allows the user to define consistency checks, as in AUTOFOCUS, but in contrast to the available checks of AUTOFOCUS, the OCL feature allows to define checks on all parts of the model, and it can easily use methods from the API, for example to check the type correctness of a transition.

For more information on the consistency checks see [?, BLSS00, HSE97].

### 2.5.2 OCL Usage

To start the OCL checks load a model into the validator, select a project and start the OCL environment with the command `start OCL` of the menu `Verify`. Then two windows appear:

- OCL constraint editor (see Figure 2.4), and
- OCL test environment (see Figure 2.5).

The constraint editor can be used to edit the consistency checks, the test environment executes the checks and reports the evaluation. The constraints are grouped to the model structures, in the example we have a constraint for `Component`, and one for `Channel`.

For the evaluation of the checks the types have to be selected in the upper left window of the test environment. After the selection of the types the available checks can be selected in the lower left window. The test can be started using the button `check`, and the result is displayed in the right window. Model elements that are consistent are marked green, inconsistent ones are marked red.

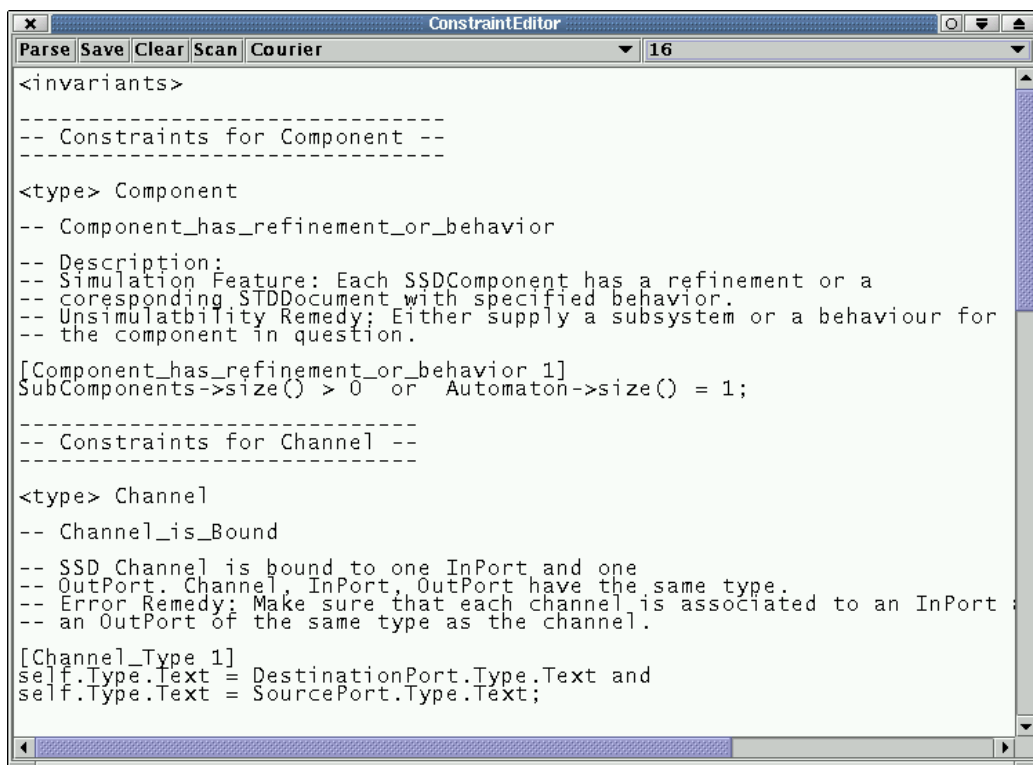


Figure 2.4: OCL Constraint Editor

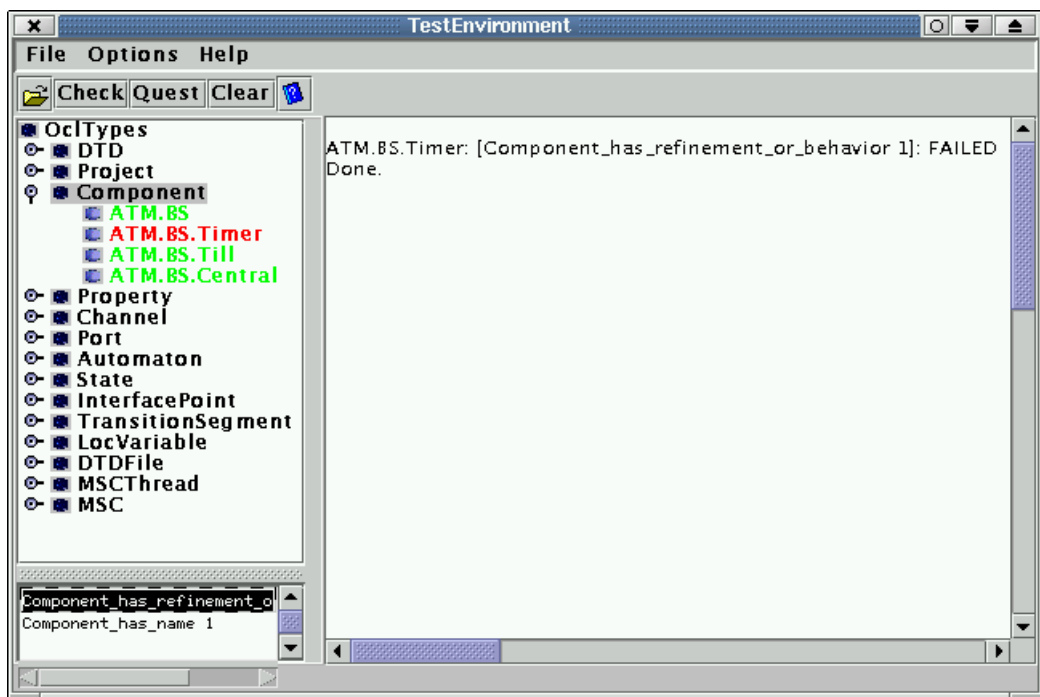


Figure 2.5: OCL Constraint Checker

# Chapter 3

## Development

### 3.1 MSC Editor

The MSC Editor is still a prootype. It can be started by double clicking on a MSC in the validator.

### 3.2 Prototyping: MSC to STD Translation

Generation of State Transition Diagrams (STDs) from Message Sequence Charts (MSC) is possible according to a patented algorithm. This you to create prototype automata from MSCs.

#### 3.2.1 Usage

This feature allows a user to generate STDs that behave according to given MSCs. The theory behind that mechanism can be found in [Kri00].

Select one or more MSCs and one component for which you want to generate an automaton. They have to be of the same tree branch since only components of the same branch are described by an MSC. You can also select only a component. Then all MSCs belonging to the component are used and STDs for all subcomponents are generated. You will have to do all further described steps for each of them.

To make a multiple selection hold the *control* key down and click on the desired MSC or component.

After the selection is done click on *Create Prototype Automaton* in the menu *Test-*

ing. Now a dialog appears in which some options can be adjusted. They will be described in section 3.2.2. After pressing the *Yes* button the generation starts. With *No* can be aborted. After the first automaton is generated the user is asked to select an initial state. Then the optimization process is started. After that is finished the new STD will appear as the automaton of the selected component. If the component already has an automaton the user will be asked for permission to override it.

### 3.2.2 Options

Figure 3.1 shows the option dialog. The first 4 options specify optimization algorithms, the last option how to insert time ticks. Here is what they do:

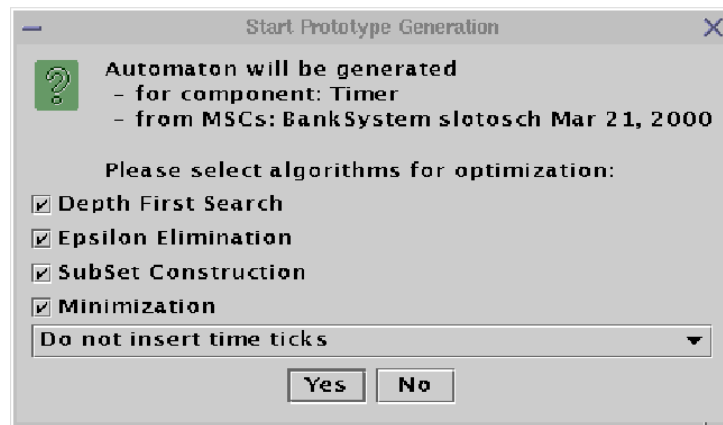


Figure 3.1: Prototyping Option Dialog

**Depth First Search:** If this is marked a depth first search is performed on the generated automaton starting with the initial state. All unreachable states are then cut off.

**Epsilon Elimination:** This option turned on causes the so called  $\epsilon$ -*elimination*. This algorithm eliminates “empty” transitions and generates an equivalent automaton.

**Subset Construction:** The subset-construction algorithm generates a deterministic automaton equivalent to the original automaton. However, this is only true for automata that only read input. In this case it eliminates undeterministic transitions with the same in- and output! Therefore the new automaton

maybe be still undeterministic. The algorithm requires an  $\epsilon$  - *free* automaton. Therefore if this feature is turned on an  $\epsilon$  - *elimination* is automatically performed.

**Minimization:** This option marked causes the automaton to be minimized. The algorithm is from Myhill and Nerode. It requires an deterministic,  $\epsilon$  - *free* automaton and final states. Therefore all last states of the used MSCs are used as final states of the automaton.

**Drop down box:** 3 possibilities:

**Do not insert time ticks:** No time ticks are inserted into the MSCs.

**Insert minimum time ticks:** The least possible time ticks are inserted starting from the top.

**Insert maximum time ticks:** A time tick is inserted after every message.

### 3.3 Java Code Generation

Java code generation allows to generate code from executable models. (models that can be simulated with AUTOFOCUS). The target directory and the package can be configured. Furthermore the generated code can be compiled and loaded. See Section 4.4 for a description of features from the code.

### 3.4 C Code Generation

C code generation can be started by selecting a component. It will start the generation window that allows to set option. The C code generator is also available as a plugin for AUTOFOCUS (see Figure 3.2). Compilation of C Code is system dependent. For Unix systems a compile command is printed to the stdout.

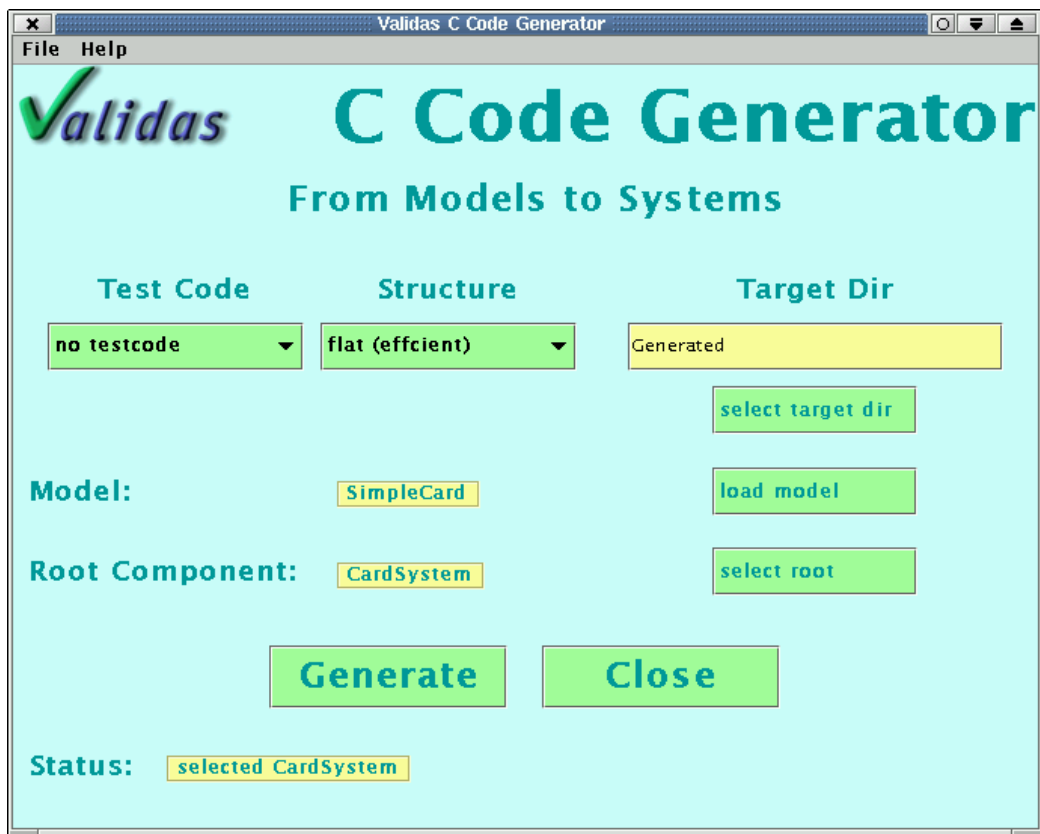


Figure 3.2: C Code Generator

# Chapter 4

## Testing

There is a rich set of testing features integrated into the Validas Validator, including the generation of test sequences, classifications, execution of tests and generation of test input data for batch tests.

In this chapter we first describe (Section 4.1) the testing features build within the project Quest:

- the transition tour for sequence generation,
- several classification methods based on CTE, and
- test execution with the test driver.

The following sections describe additional test features:

- Section 4.3: Transition Checker: generates Sequences for certain transitions (based on SATO - bounded model checking)
- Section 4.2: Constraint-based Search: searches with ECLIPSE-Prolog for Test sequences
- Section 4.4: Generation of test data for batch execution (C, Java)

### 4.1 The Quest Test Environment

In this section the test features build within the project Quest are described. All of these features are integrated into the Validator framework.

### 4.1.1 Introduction

The test environment of Quest enables you to perform the following tasks of the testing process:

- Derivation of test sequences from specifications
- Manual creation of test sequences
- Running the tests on test objects

Although the test environment has a test driver that allows you to perform the specified test sequences, the test environment focuses more on the creation of reasonable test sequences than on the execution of given tests. Fig. 4.1 shows an overview over all testing tasks of the Quest development process. The rectangles of the diagram are components of the Quest repository and the arrows are testing tasks that produce new components or alter existing components in the repository.

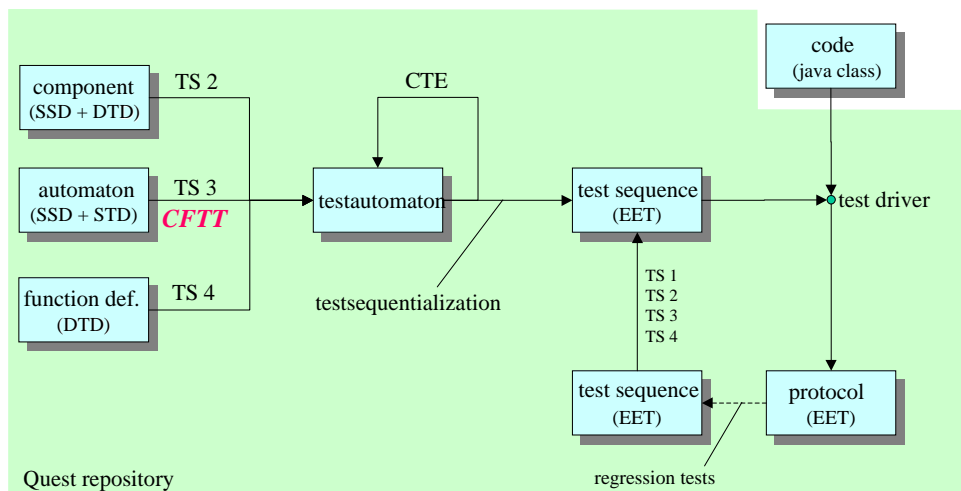


Figure 4.1: Overview about the test activities in Quest

### 4.1.2 Creating Test Sequences

There are several possibilities to create reasonable test sequences that can be used for testing the target software (the test object). The choice of the appropriate test sequence creation method is mainly determined by the type of the given specifications, i.e. the more detailed the specifications are, the more specific is the test sequence generation process. The kind of the given specification is called the test basis. In Quest, we distinguish the following test bases:

- component interfaces (i.e. specifications with SSDs and DTDs)
- components with behavior (i.e. SSDs, STDs and DTDs)
- function definitions (DTDs)
- sequence diagrams (EETs)

In the following sections we discuss the specific test creation methods depending on the given test basis. The central component is the test automaton. The test automaton is a temporary automaton that is used in the testing process. It represents the behavior or at least a part of the behavior of the test object. During the test case generation process the tester works with the test automaton. Quest's testing environments allows you to adapt the test automaton in a systematic way to resolve the needs for the software testing process. This task is supported by the classification tree editor (CTE) from DaimlerChrysler. Finally the tester can derive test sequences from the test automaton which will be displayed as sequence diagrams. Depending on the test automaton, the resulting sequence diagrams include the expected responses or not. If they do not include results, either a reference implementation is needed to produce the results or the tester can complement them manually.

### **Test Basis: SSDs**

The System Structure Diagrams in particular include the interfaces of the components described by the specification. These interfaces are a good starting point for test case generation. The test case generation from component interfaces works as follows:

- STEP 1: produce CTA file<sup>1</sup> from component interface and data type definitions
- STEP 2: start CTE with generated CTA-File and save test cases in CTE-File
- STEP 3: read CTE file and generate test automton for component

All three steps can be done in one guided procedure of the browser. First, mark the desired component in the browser window. Then click on `startCTE` in the `testing-menu` (see Fig. 4.2).

---

<sup>1</sup>The cta file contains the information for the classification tree assistant in the cte

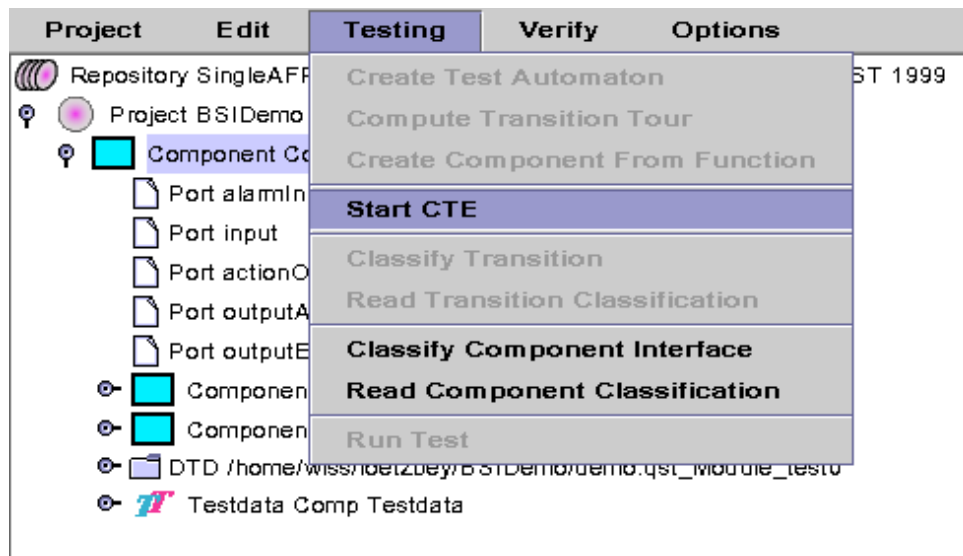


Figure 4.2: Starting the CTE

It is also possible to do the steps one by one. Step 1 is done by clicking on `Classify component interface`. This writes out the CTA information to a file. Then you can start the CTE and write the classification tree and test cases to an arbitrary CTE file. With `Read Component Classification` you can read back the test cases and generate the test automaton. Note that the appropriate component must be marked to read back the test cases from the CTE file.

The generated test automaton consists of a single state with one transition for each test case. The transitions contain only the input values or the classes of input values determined by the CTE. Concrete input data can be given in a later step (see Section 4.1.2). Of course it is not possible to derive the desired outputs from the component's interface. A reference implementation is needed to determine the outputs (see Section 4.1.2 and 4.1.3).

### Test Basis: SSD with STDs

If the specification includes a behavior description, the test case generation should include the given behavioral aspects in the generated test cases. We will differentiate the following two scenarios:

- one component with associated automaton (unit test)
- several communicating components with associated automata (integration

test)

**Unit Test** The unit test tests a single component of the system. Test cases are derived from the behavioral description of the component, i.e. the associated STD. Arbitrary STDs have not only a control state but also a data state. This makes the test sequence generation more complicated. If the data state of the automaton influences the change of the control state, i.e. influences the ability of some transitions to fire, not all transition sequences are feasible. Such automata should be transformed to simple automata where all transition sequences are feasible. Otherwise the test sequentialization produces transition sequences which have no corresponding trace of input/output values that is executable on the test object and on the specification.

The Quest development method provides the combined function and trace test (CFTT) [Sad98, Sad97] strategy for deriving suitable test cases for single components. This strategy includes a data partition that transfers a part of the data state into the control state of the automaton. Actually this task is not supported by the AUTOFOCUS/Quest tool and has to be done manually, but methodical support is provided in analysis paper of the quest project ([SK97]).

**Integration Test** The objective of the integration test is the detection of faults in the interaction of two or more previously tested components. AUTOFOCUS/Quest supports the integration test with the channel test. The channel test finds errors on a specific channel between two components. Possible sources of errors can be wrongly interconnected components or different implementations of used protocols. Actually AUTOFOCUS/Quest provides methodical support for generating a test automaton that fits the needs for the channel test. For details see analysis paper of quest ([SK97]).

**Classifying Transitions** One of the core AUTOFOCUS/Quest benefits is the creation of a classification proposal of the input data space of certain transitions. These proposed classifications are imported to the CTE-tool. The CTE-tool enables the tester to partition the input data according to the classification tree method ([GWG95]). As long as the tester sticks to some conventions, the marked tests can be reimported to the AUTOFOCUS/Quest tool.

Alike the classification of component interface, the classification of transitions can be either done in one guided procedure or three single steps:

- STEP 1: produce CTA-File from single transition and data type definitions

- STEP 2: start CTE with generated CTA-File and save test cases in CTE-File
- STEP 3: read CTE-File and insert new transitions in automaton

The guided procedure is started with `startCTE` in the `Testing` menu. Note that a transition node has to be selected because `startCTE` is sensitive with regard to the selected node. If a transition node is selected, `startCTE` will start the procedure to classify the input data of a transition. If a component node is selected, `startCTE` will classify the component interface. If you prefer to do the steps one by one, step 1 is initiated by pressing on `Testing->Classify Transition` and step 3 is done with `Testing->Read Transition Classification`. Be aware that the same transition is selected in the browser when doing step 1 and 3. Otherwise, the test cases cannot be reimported to `AUTOFOCUS/Quest` correctly.

### **Test Basis: Functions**

Function definitions are the third test basis. By selecting a function definition and executing `Testing->Create Component from Function` `AUTOFOCUS/Quest` creates a new component in the `Testdata` folder. The new component has the same name and interface as the function definition and its associated automaton consists of one single state and a loop transition for each defining equation. The automaton reflects the behavior of the function definition except for the sequence of the equations resp. transitions. In function definitions the order is significant whereas the choice for the transitions in an automaton may be non deterministic.

### **Deriving sequences from test automata**

For the derivation of suitable test sequences `AUTOFOCUS/Quest` provides the transition tour algorithm. The transition tour algorithm computes a path of minimal length that covers all transitions of the automaton. Note that the automaton must be strongly connected, i.e. all states must be reachable from each state, otherwise the algorithm fails. In order to start the transition tour algorithm, just mark the desired automaton and click on `Testing->Compute Transition Tour`. If the automaton is strongly connected, the transition tour algorithm produces an EET that represents a test which covers all transition of the automaton.

## **Test Basis: EETs**

All tests are stored as EETs. Therefore it is possible to use manually created EETs for testing. This is especially useful for the system test. EETs from use cases are a good source for creating tests for the system test. Beyond that, regression testing can be realized by using protocols from former test runs as inputs.

### **4.1.3 Performing Tests**

In order to perform a test an implementing class-file must be assigned to the component. The class-file must fulfill the conventions determined in Sect. 4.1.3. Then you can run the test as described in Sect. 4.1.3. Sect. 4.1.3 gives some hints how to interpret the results of the test run.

#### **The Test Object Interface**

see Reference Manual

#### **Running the Test**

Before running a test you have to associate a class file with the component under test. Therefore mark the component and click on `Edit->Create Subnode`. Then choose `Code` and enter the file name of the class. When determining the file name, use slashes (`/`) as delimiter and omit the ending `.class`. Example:

```
/home/QuestUser/ClassesUnderTest/Example
```

Then you can mark an arbitrary EET that is associated with the component and start the test with `Testing->Run Test`. The test driver will now load the class into the virtual machine and try to execute the I/O sequence that is contained in the EET. Note that boxes and loops are not yet supported by the test driver. After the test is finished, a protocol of the test run will be generated and stored as an EET of the component under test.

#### **Interpreting the Results**

There may be three possible types of errors in the protocol EET:

- `WRONG_VALUE_ERROR`: Message has a wrong value.

- `UNEXPECTED_MESSAGE_ERROR`: This is an additional message and does not exist in the original test.
- `NOT_RECEIVED_ERROR`: The test driver expected this message but did not receive it.

## 4.2 Constraint-based Testing

Constraint-based testing is a very powerful feature: It does use the formal semantics of the models, it can be applied to infinite systems, and searching can be tailored using user-defined heuristics. In this section we describe how to use constraint-based testing. For the general methods we refer to our scientific publications [?], for concrete instructions we recommend you some consulting.

In this section we describe installation and usage more detailed.

### 4.2.1 Installation

The generation of Prolog and the connection to ECLIPSE are part of the validator framework, and therefore within the `.jar` files. The Constraint-based testing uses the ECLIPSE tool and some Prolog sources. The Eclipse tools can be achieved from <http://www.icparc.ic.ac.uk/eclipse/> for research purposes. ECLIPSE is free, but not available for commercial use, therefore in the future there will be a connection to Sixtus-Prolog which is commercially available.

The Prolog sources for testing are in the directory `PrologBin` of your distribution and have to be copied to your system. The path to this directory has to be installed into the validator using the button `Prolog Path` of the menu `Testing`. This path is stored in the file `Prolog.src` permanently.

For running ECLIPSE it is important that eclipse is included into your path. Since ECLIPSE (and TKECLIPSE) are started (and working<sup>2</sup>) differently under Unix and window platforms, there is a difference in starting TKECLIPSE: for Unix it suffices if TKECLIPSE is in the path, for windows it is required that the validator knows the path to the file `tkeclipse.tcl` which is located in the directory `lib_tcl` of your windows eclipse installation. This path has to be selected using

---

<sup>2</sup>The main difference are path names: While Unix-ECLIPSE can read ordinary path names like `'/usr/Examples/ATM'`, the windows version requires a different format, instead of `'C:\usr\Examples\ATM'` the special form `'//C/usr/Examples/ATM'` has to be used. The Validator respects these differences automatically.

the button `TKECLIPSE Path` of the menu `Testing`. This path is also stored permanently in the file `Prolog.src`.

With these settings you can use the constraint based generation of test sequences.

## 4.2.2 Usage

The usage of constraint-based generation of test sequences is based on a generation of Prolog-code and constraint handlers for the system and the used datatypes. The working method with Prolog is

1. generate Prolog and constraints
2. start ECLIPSE and read the model
3. generate test sequences (according to your test goals)
4. export test sequences in msc format (same as `.qml` format)
5. import test sequences into the validator using the button `Import MSC` from the menu `File`

For the generation you have to select a component (in a loaded model) and to press the button `Export to Prolog` of the menu `Testing`. Then you are prompted to select a Prolog file name for the generation. Then the following files are generated:

- `<file>.pl`: Prolog code for the model.
- `<file>.pl.mpl`: meta Prolog code for the used data types. This file is used to generate the constraint solvers for the data types which results into the following files:
  - `pg_<file>.chr`: constraint handling rules.
  - `pg_<file>.pl`: constraint handling rules translated into Prolog.
  - `pg_<file>_functions.pl`: prolog code describing the available functions.

These files can be loaded into ECLIPSE to work with the model.

Starting ECLIPSE is done automatically if you select `Yes` in the starting window (see Figure 4.3).



Figure 4.3: starting ECLIPSE

For working with Prolog it is important to make a protocol from the interactive step that lead to the generated test sequences. Therefore a *Prolog Protocol Pad* (see Figure 4.4) is started together with TKECLIPSE (see Figure 4.5). The PPP allows you to copy and paste commands into the TKECLIPSE (which has only one small line to accept commands) in a comfortable way. The PPP is initialized with the commands to set ECLIPSE options and to load the model. Prolog Protocols should be saved for each component of the project separately.

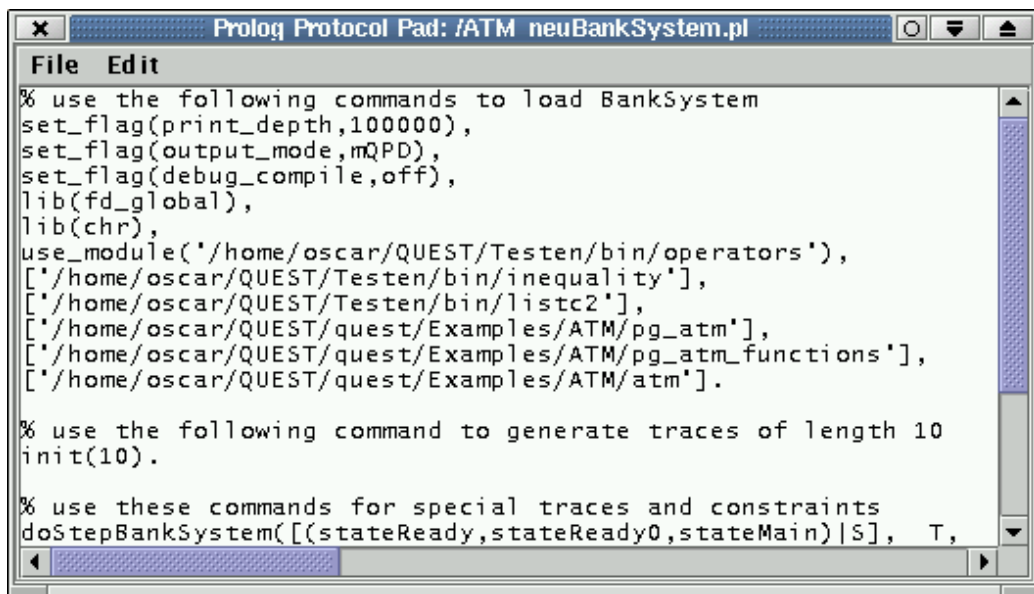


Figure 4.4: Prolog Protocol Pad (for ATM Example)

### 4.3 Transition Sequence Checker

The transition checker is fully atomic test sequence generation tool. The transition sequence checker is used to find input values for transition sequences (or MSCs)

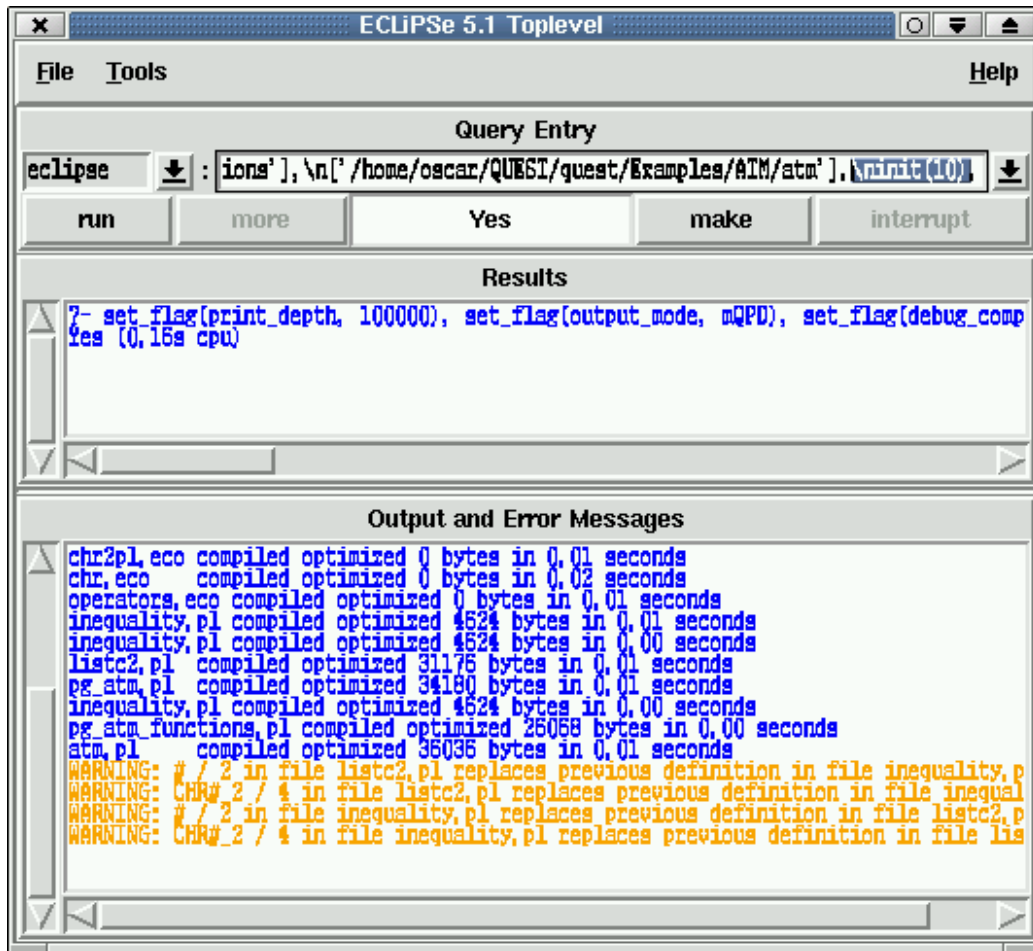


Figure 4.5: TKECLIPSE (for ATM Example)

which have variables. This is an automatic alternative to the manual mode using the CTE tool.

Test Sequence checker is based on bounded model checking and therefore restricted to finite models. For more information see [Wim00, ?].

### **4.3.1 Installation**

The transition checker is based on bounded model checking and requires therefore the installation of the SATO tool.

### **4.3.2 Method**

The transition checker finds input lead to the execution of certain transitions. It is important to select the model of interest due to two reasons:

- complexity
- scope

The complexity of hierachical models is a product of the complexity of the sub-models, therefore it is more efficient to generate test cases for smaller models.

The scope (i.e. the environment of an Automaton/ Component) detemines the results since it restricts the possible inputs. For example a timer component can be set to any value, whereas a time in a specific system is mostly used only with specific values. Therefore the results of the test generation can depent on the selected model.

There are several modes of the transition checker available:

- arbitrary transition
- transition sequence
- MSC

The arbitrary transition mode tries to find inputs from the initial state that lead to an execution of the selected transition. For this mode it is (like in bounded model checking, see 5.1) necessary to determine the maximal search depth, i.e the maximal length of the transition sequences.

The transition sequence mode allows you to construct a sequence from transitions that should be executed. These transitions have to be from one Automaton. The sequence can be build by adding selected transtions to the sequence.

The MSC mode works with a MSC (with variables)

### 4.3.3 Usage

Use the submenu `Transition Checker` of the menu `Verify`, and respect the tooltips of the buttons that explain what to do.

## 4.4 Export of Test data

Exporting test sequences into test data is a powerful alternative for interactive testing. It allows to generate test data for external systems, as well as for the generated code (C and Java).

### 4.4.1 Method

The generation of test data allows to export test data from simple MSCs. Simple MSCs must not contain variables on the messages, or loops, and the message have to have the form with `a?` and `!` on the messages. The test data export creates a test data file `.tdf` which has for each time interval one line of patterns of the following form `<port>?<value>;` or `<port>!<value>;`. Since in the semantics of `AUTOFOCUS` considers all messages between two ticks (of one line in the `tdf` file) as concurrent, the order of the patterns within a line does not matter. Empty lines denote empty the absence of messages.

A meaningful test (like the generated code) passes all input values of a line to a system and compares the output values of the line with the current values of the output ports. Then a step of the system is executed and the next line is processed. These semantics is not complete with respect to the outputs, i.e. there might be more outputs than described within the test data file.

For the generation of test data the messages can be filtered, for example only messages to one component of a sequence diagram might be relevant. Executing test data is useful for testing the external messages of a component. Therefore components and messages from MSC (which can contain also internal communication) have to be selected.

The following sequence demonstrates the round trip engineering for Java

1. Generate test sequences (for example using the transition checker)
2. Generate and compile Java code from the component
3. Generate test data into `test.tdf` (same directory). Select all messages of the component.
4. execute the test using a Java call like `java ACM.components.BankSystem test.tdf`

Note that this round trip engineering works only for deterministic code, since no backtracking is performed. If nondeterministic code with backtracking should be tested the Quest test driver has to be used (see Section 4.1.3). This driver loads the code and performs backtracking if necessary.

#### 4.4.2 Usage

For the export of test data s MSC has to be selected. The export is started with the button `Test data` of the menu `Generate`. Then the selection Menu appears



Figure 4.6: Component Selection for Test data Generation

(see Figure 4.6). The component for which the test data is generated should be selected in this dialog. The next selection box ((see Figure 4.7) allows for further filtering (for example in only input values are relevant, and the output should be ignored).

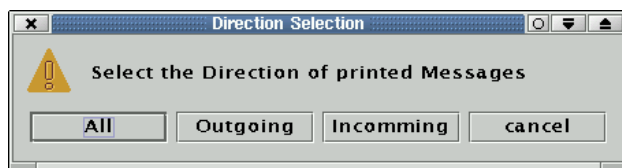


Figure 4.7: Message Selection for Test data Generation



# Chapter 5

## Verification

### 5.1 Bounded Model Checking: SATO Connection

### 5.2 Model Checking: SMV Connection

This section describes different formal verification techniques, that are supported by AUTOFOCUS. All techniques use other tools that are connected by generating input for them and by retranslating their outputs. The verification tools can be started using the `Verify` menu of the browser that is also explained in this section.

#### 5.2.1 Model Checking with AUTOFOCUS

In this section we describe how to use model checking with the models of AUTOFOCUS. The description of properties is in Section 2.3.5. After a general introduction (Section 5.2.1), we describe how counter examples can be visualized (Section 5.2.1). In the following (Section 5.2.2, and Section 5.2.2) we describe how the different checkers can be used. The technical details of the translations are described in other documents. See [PS99] for the SMV connection, and [Wim00] for the translation to SATO.

#### Introduction

In this section we give general information for model checking, such as parameter selections for model checking and bounded model checking.

For model checking only finite types are allowed. Since many models use integer

values, we decided to provide an ad hoc abstraction for them. We implemented the checking of QuestF integers within a range from 0 to the value of `MaxInt`. This can be used to benchmark different model checkers by changing the value of `MaxInt`. This can be done within the “Verify” menu of the model browser. Since `MaxInt` is an attribute of projects a project has to be selected. The default value for `MaxInt` is 15. See Figure 5.1 for a changing of `MaxInt`.

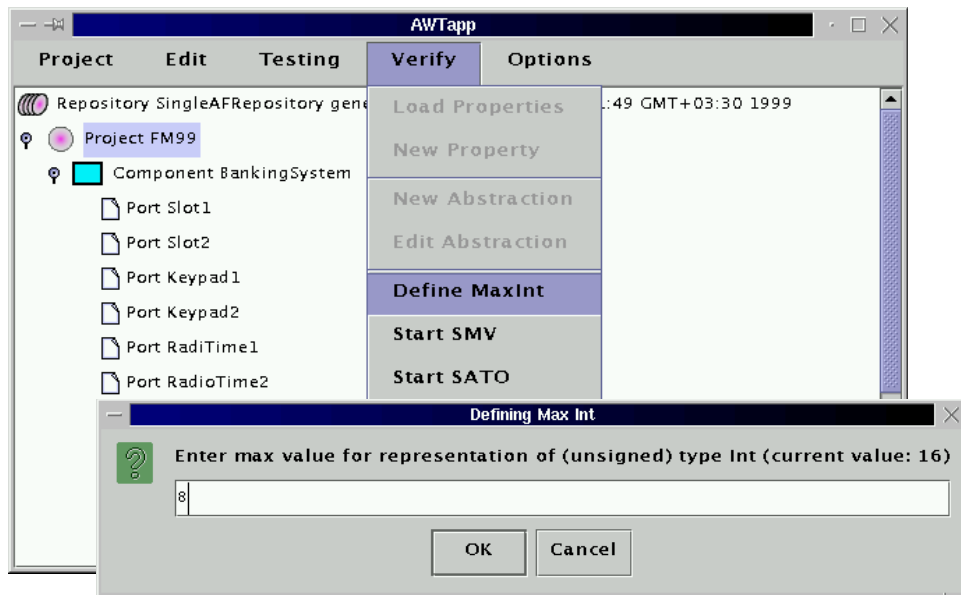


Figure 5.1: Changing `MaxInt`

Model checking (and bounded model checking) can be started for components and for projects. Be careful with this selection, since it can influence the truth of properties. Checking a component includes all subcomponents, but not the surrounding components. Model checking evaluates all properties of the selected components. Model checking assumes an arbitrary environment, that sends arbitrary inputs to the checked component. Therefore there can be counter examples that are no real counter examples, i.e. that are not possible for the complete system. Just think of two components, one generating the constant output true, the other, an identity component, just passes boolean values. A property of the identity component is that it does not sent always true, however this is not the case in this example, where only true arrives from the other component.

This effect can be exploited to reduce the search space of a system, by providing an “environment model” for a system. This will lead to faster checking results, even if the number of components if bigger. Experiments however have shown,

that a too detailed environment model increases the transition relation and thus can make checking more time consuming.

Since model checking (and bounded) model checking are of exponential complexity, it is possible to enter a maximum time bound for the checking time. If this time bound is exceeded, checking is aborted. In addition it is possible to cancel the model checking manually. The selection of the time bound is done after the `start SMV` or the `start SATO` in the verify menu. The relation from the passed time and the maximum time is displayed in a progress bar. Of course it is possible to run several checks in parallel, however not of the same component.

For bounded model checking a search bound is required. This reduces the search to counter examples of this length. If the search bound is greater than the diameter of a system, than the result of bounded model checking is equal to model checking, i.e. if no counter examples are found than he checked properties are verified. The diameter is the maximum of the shortest path to every reachable state.

### **Counter Examples**

Model checking and bounded model checking produce counter examples. They are inserted automatically into the model browser in form of a MSC. The name of the generated MSC corresponds to the property. The attributes like channels and messages can be inspected using the model browser.

To visualize the counter examples graphically (as EET views of the MSC models), the repository has to be saved and imported from the AUTOFOCUS tool.

## **5.2.2 The Verify Menue**

The `Verify` menu supports management of properties (properties, abstractions), tool connections (SMV, SATO, VSE) and description oriented tailoring (Sequence Checking).

### **Properties**

Properties describe additional features of the AUTOFOCUS models. We use a simple temporal language to formulate the properties (see Section 2.3.5). Properties are attributes of components, i.e. they belong to a component, they are stored, and verified together with components. In order to reuse Properties, they can be stored in separate files (extension: `.prop`).

The property editor supports the edition of properties, especially inserting specification patterns, inserting model elements, parsing, fixing (searching for unbound variables), and type checking.

**Load Property** If a component is selected this item is activated. After choosing a property to load, the property editor is started. Within the project Quest two checkers have been connected to AUTOFOCUS. SMV and SATO. In this section we describe some technical details of these connections, that are not relevant to the user of AUTOFOCUS/Quest, but they help to understand intermediate files that are generated (and not removed) for documentation. Furthermore it might be interesting for experts to see, and edit the generated files and to restart model checking.

**Using SMV** After the determination of the maximal time, a progress control window appears, that contains information of the current steps. This window disappears after the maximal time, or if cancel is pressed. An example for this window is in Figure 5.2.

For experts: the SMV Translation is done in four automatic steps, with three intermediate files, that can be inspected:

1. *name.names*: name mapping from AUTOFOCUS/Quest to SMV
2. *name.c*: the model with cpp macros (not always generated)
3. *name.smv*: the model with expanded macros
4. *name.counter*: the result of SMV (with counter examples)

where *name* is the name of the model concatenated with the name of the checked component.

**Using SATO** SATO works completely automatic and does not generate intermediate files.

## 5.3 Determinism Checking

The determinism checker allows you to detect possibly nondeterministic situations in your models. It is fully integrated into the validator and does not use third party tools.

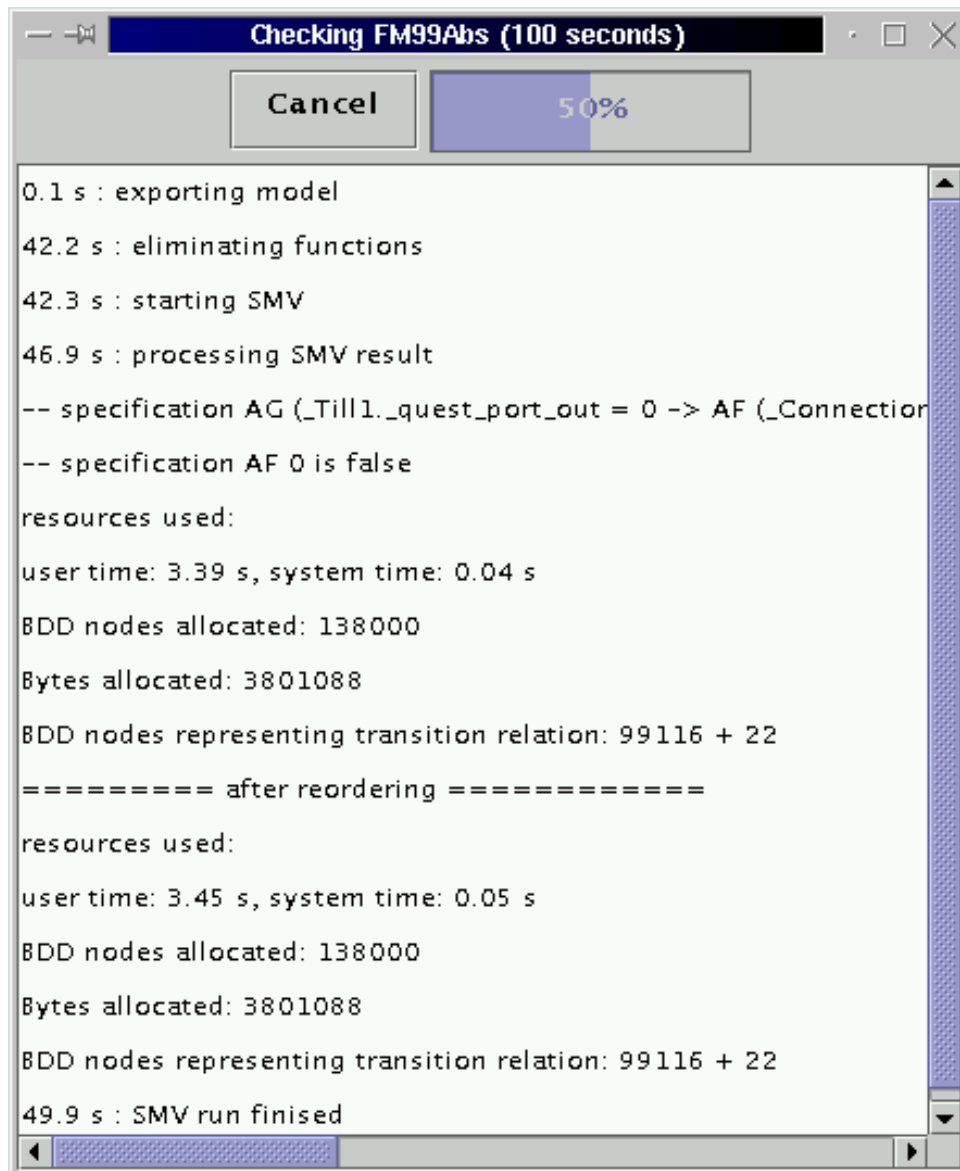


Figure 5.2: Model Checking Information

### 5.3.1 Method

The determinism check checks for possible nondeterminism in the model. For example one input might enable two transitions. Usually this is not desired during the design of systems, and therefore this check helps to detect such situations.

The determinism check compares all pairs of transitions in all states of the system. There are two modes available:

- pattern check
- complete

The pattern check compares only the patterns of the transitions, for example the transitions  $n > 0 ; i ? \text{Succ}(n)$  and  $i ? \text{Succ}(\text{Zero})$  would be found as nondeterministic pattern (even if they are not). The transitions  $i ? \text{Zero}$  and  $i ? \text{Succ}(n)$  would be detected to be deterministic with this check. The pattern check is an approximation that should be used if the complete check is impossible.

The complete check respects generates all possible input combinations for a component and evaluates the pairs of transitions. Furthermore it also checks all possible values for local variables. Due to this enumeration the complete check is restricted to finite (and not to large) models. If the model contains integers the maximal integer value can be set similar to model checking (see Section 5.2). Since not all combinations of local variables and states are possible even the complete check might find non-reachable situations.

To determine whether a situation is reachable model checking has to be used. To make this check more comfortable properties are generated that describe the situations, such that a counter example to these properties directly leads to the nondeterministic situation. If the properties are true the nondeterministic situations cannot be reached.

### 5.3.2 Usage

For deterministic check the following model elements can be selected

- two Transition Segments, or
- a State, or
- an Automaton, or
- a (hierarchic) Component.

The check is started using the button `deterministic check` of the menu `verify`. Then the mode choice appears (see Figure 5.3). Use button `Help` of this window for some help.

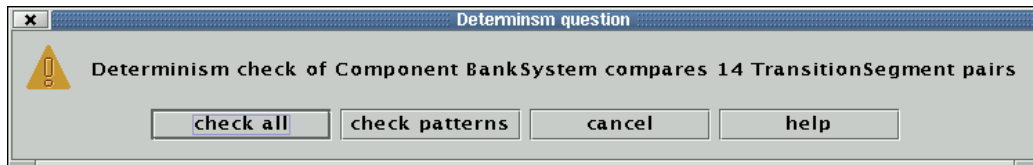


Figure 5.3: Selecting the Mode for Deterministic Check

At the end of the check the results are displayed (for example the Connection1 component of the FM99-Example) as in Figure 5.4. The last question is the

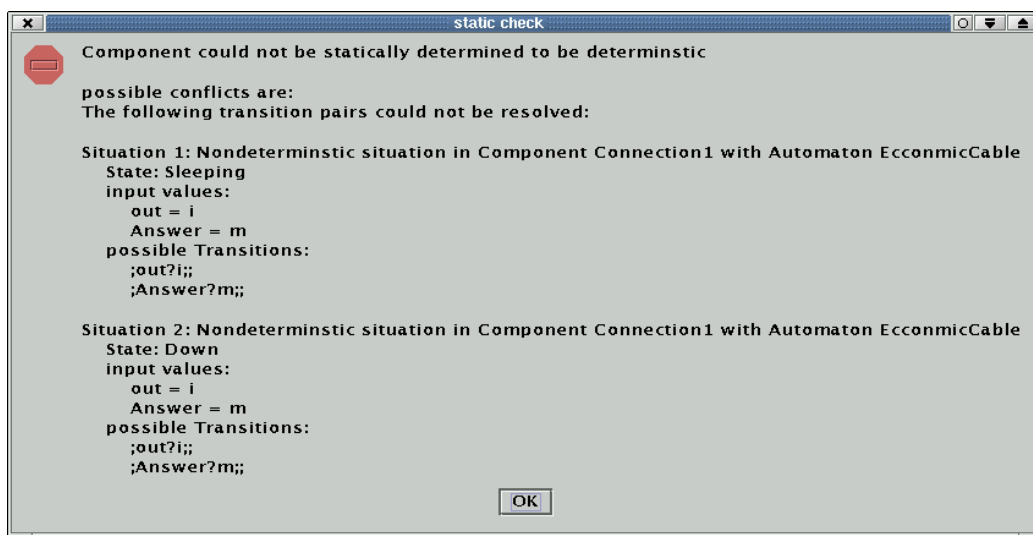


Figure 5.4: Results of Deterministic Check

Property insertion Question (see Figure 5.5). with allows to select

- `All` properties for nondeterministic situations
- `OK` the selected properties from the list of numbers
- `None` no property

The inserted property of the FM99-Example is not (`<> ( is_Msg ( Connection1.out ) && is_Msg ( Connection1.Answer ) )`)

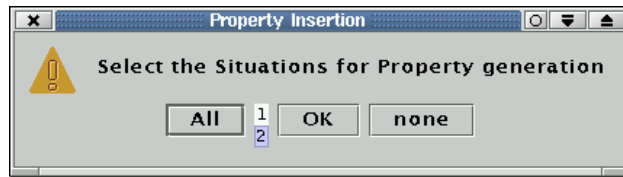


Figure 5.5: Property Selection for Deterministic Check

## 5.4 Abstraction Chooser

Model checking is completely automatic, but limited to systems of relatively small finite state space. Theorem proving, however, is in a sense complementary: it requires user interaction but can deal with systems of arbitrary size. Abstractions provide conditions that ensure the correctness of such simplifications, and so abstraction techniques [CGL92, LGS<sup>+</sup>95, GL93, Mül98b, Mer97, Kur87, DGG97, SLW95, Wol86, MN95, HS96] promise to combine the advantages of both approaches. Within the project Quest we decided to support abstractions related to certain properties, this gives us a very powerful tool for reducing complex systems, to their critical core.

First we will propose a customized abstraction methodology for the context of Quest. Then we describe tool support for defining abstractions (see Section 5.4.3). In Section 5.4.2, we present an example and the resulting proof obligations.

### 5.4.1 Methodology

The general idea of abstraction is depicted in Fig. 5.6: in a first step, the original system  $C$  is reduced to a smaller model  $A$ . If  $C$  is large or infinite, this step will in general require interactive proof techniques. In a second step, the smaller system  $A$  is analyzed using automatic tools.

Usually, the smaller system  $A$  is obtained by partitioning the state space of  $C$  via a function  $h$  between the two state spaces [CGL92]. If  $h$  is a homomorphism (*abstraction function*), the abstraction is guaranteed to be sound, i.e. if the abstract system satisfies a property so does the original system.

In the following we sketch how those abstraction techniques could be integrated into the process model and tool environment of Quest. We propose the following methodology, that allows to generate appropriate abstractions incrementally. It is an instance of the more general methodology for abstractions developed in [Mül98b].

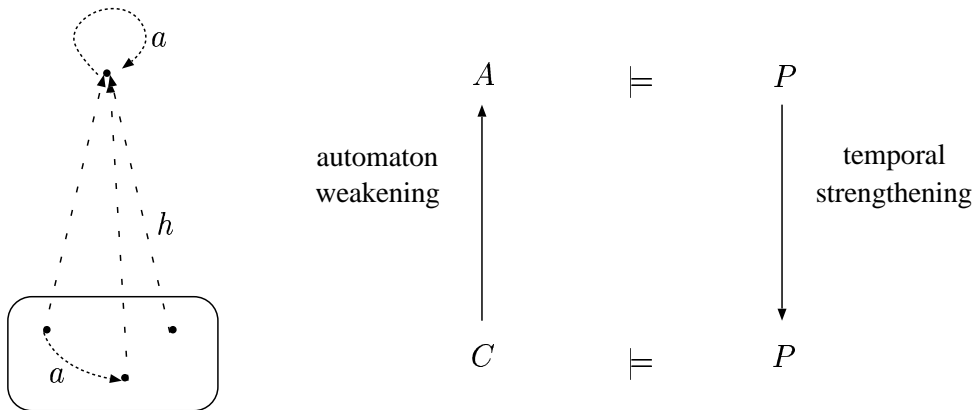


Figure 5.6: Abstraction

- Start with a primitive abstraction function for a safety property.
- If model checking fails, the reason may be either that the desired property is refuted already or that the abstraction is not sophisticated enough. This question should be answered by testing/simulating the generated counterexample w.r.t. the original automaton.
  1. If we feel that the generated counterexample is indeed a counterexample in the concrete system, we have to check this in the concrete system. Here, we have to consider that the generated counterexample is given in terms of the abstract system, i.e. in order to run it on the concrete system we first have to replace the objects in the abstract system by one of its preimages in the concrete system, i.e. we have to invert the abstraction function. Since the inversion of the abstraction function may lead to many preimages the test might require to backtrack among the different alternatives until the error is located within the concrete model.
  2. If no error can be found when simulating the system, the error is (if all relevant cases have been considered) in the abstraction function. In this case the abstraction should be improved. The simplest possibility is to come up with a completely new abstraction function that works in the desired way. However, there are several more refined possibilities for such an improvement. See [Mül98b] for details.

When repeating this step this results in an incremental process that finally reaches an appropriate abstraction.

- The correctness of this abstraction function then should be shown by theorem-

proving. In the context of Quest, this should be done by the VSE II tool component. The criteria for the correctness of the abstraction functions *abs* are

1. if  $s^c$  is an initial state the  $abs(s^c)$  is also an initial state, in  $abs(s^c)$ .
2. if  $t^c$  is a successor of  $s^c$  the  $abs(t^c)$  is a successor of  $abs(s^c)$ .

See [Mül98b] for the mathematical definitions of the correctness, and Appendix B.1 for an example.

This methodology enables the reuse of simple abstraction functions in order to come up with a powerful and sufficient abstraction concept and thus decreases the amount of intuition usually required for abstractions.

The abstraction function relates concrete and abstract components in AUTOFOCUS/Quest. This requires that the abstraction function relates

- control states,
- local variables,
- input and output ports,
- types with the following informations:
  - name of the type,
  - constants and functions, and
  - a mapping from concrete to abstract values
- the relevant properties.

Therefore abstractions functions consist of several parts, that all have to be defined, and that have to fit together, for example the mapping of the ports has to respect the mapping of the types.

According to our methodology, abstraction functions are an attribute of the concrete component, therefore abstraction functions are treated as attributes of the concrete component in the model browser.

To facilitate the input of abstraction functions Quest provides an “abstraction chooser” that helps in defining type correct abstractions. First (in Section 5.4.2) we shortly present a small example for abstractions, and in Section 5.4.3 we explain how to use the abstraction chooser.

## 5.4.2 Abstraction Example: Comparator

The comparator has been modeled as a part of the storm surge barrier case study. This comparator compares the inner water level with the outer water level, to determine whether the barrier can be opened. This is the case if the outer water level plus a fixed difference is lower than the inner water level. Water levels are measured by sensors sending integer values to the comparators. For the critical properties of the system, it suffices to differentiate only a few number of integer ranges. Therefore we defined the following type for the abstract system model.

```
data SensorSig = None | SNeg | S00 | S25 | S30;
```

The structure of both systems is in Figure 5.7. The type `Signal` has only one

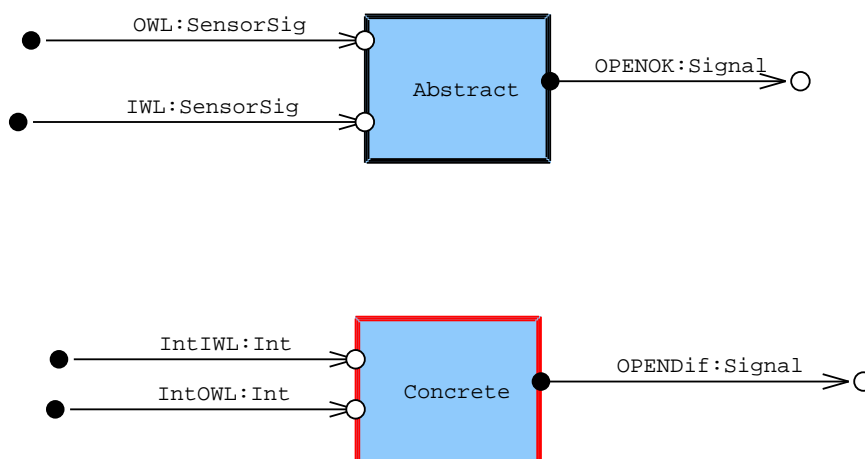


Figure 5.7: Interfaces of Abstract and Concrete Models

signal element (`Present`) to indicate that the comparison is positive.

The behaviour of the system is quite simple (see Figure 5.8 for the concrete and Figure 5.9 for the abstract model). It consists of an STD with only one state and one transition, however within the transition there are constants (`openDifference`), and operations (`+`, `<`) that have to be abstracted as well. Therefore we defined the following operations on the type `SensorSig`:

```
op << : SensorSig -> SensorSig-> Bool;
fun None << x = False
```

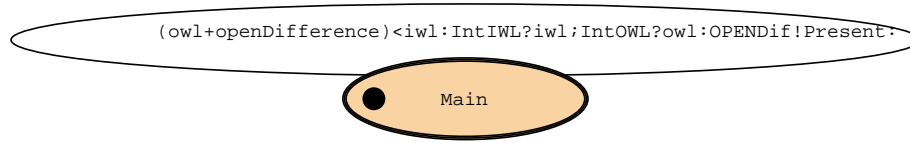


Figure 5.8: Concrete Behaviour

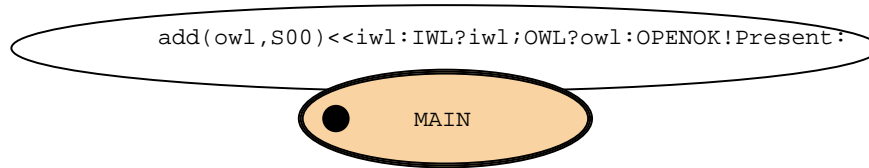


Figure 5.9: Abstract Behaviour

```
| x << None = False
| x << SNeg = False
| S00 << S00 = False
| S25 << S00 = False
| S25 << S25 = False
| S30 << x = False
| x << y = True;
```

```
add : SensorSig -> SensorSig -> SensorSig;
fun add(x,S00) = x
  | add(S00,x) = x
  | add(x,y) =None;
```

On the concrete system we want to check the property

```
[ ]((Val(IntOWL) < Val(IntIWL)) => <>(OPENDif!Present))
```

In terms of the abstract model this property is

```
[ ]((Val(OWL) << Val(IWL)) => <>(OPENOK!Present))
```

To ensure that the concrete property holds, we have to show that the abstract property implies the concrete one, i.e. that it is stronger. The homomorphism property

of the abstraction function (the relation between the concrete and abstract states and transitions) states that every concrete step (including the idle steps) has a corresponding abstract step. We generate one VSE II theory for the definition of the abstraction (see Appendix B.1), one theory for the homomorphism predicate, and one for the satisfies relation (see Appendix B.2). Our experience with similar proofs in the Isabelle system [Ham98, Mül98b] showed, that the correctness conditions for the homomorphism predicate can be proved easily mainly using simplification. With the abstraction chooser of Section 5.4.3 it is very easy to define correct abstractions.

The homomorphism property allows us to eliminate the temporal operators, and to concentrate on the correctness of the pure formulas for proving strengthenings.

To show the strengthening, of an implication, we have to strengthen the correctness conditions for this implication is a strengthening of the right conclusion  $\text{OPENOK!Present} \Rightarrow \text{OPENDif!Present}$  and a weakening of the conditions  $\text{Val(OWL)} \ll \text{Val(IWL)} \leq \text{Val(IntOWL)} < \text{Val(IntIWL)}$ .

For the definition of strengthenings in VSE II (see Appendix B.3) the definition of the elements mapping from concrete to abstract elements is required. The correctness conditions are in a separate theory, as well as the satisfies statement (see Appendix B.3).

Our experiences showed, that proving strengthenings mostly involves simple reasoning on data structures, for which the VSE II system is very appropriate. However, it takes much time to realize that an abstraction is not correct, especially on the level of theorem provers. Therefore the most important step is to define correct abstractions. This is done on the modelling level and not within the theorem prover.

### 5.4.3 Using the Abstraction Chooser

To define an abstraction in Quest, the concrete component has to be selected. If an abstraction already exists it is stored as an attribute of this component, and can be edited. In this section we show how to define a new abstraction. After the concrete component is marked, in the “Verify” menu the button “New Abstraction” is enabled, that starts the abstraction chooser.

In general there are two possibilities to define abstractions:

1. to compute the abstract component from an abstraction function, and
2. to define the abstraction function between a concrete and an abstract model.

In Quest (up to now) only the second way is supported, because designers mostly have the abstract model (in mind) and want to find a homomorphism between the concrete and the abstract one. Defining such an abstraction function in general is more difficult (due to the consistency conditions), and therefore Quest supports difficult task with the abstraction chooser. The feature of computing abstraction functions is future work.

To select the abstract component for the abstraction the abstraction chooser shows all components in the system (see Figure 5.10). This requires that both components (the concrete and the abstract) are specified within one SSD.

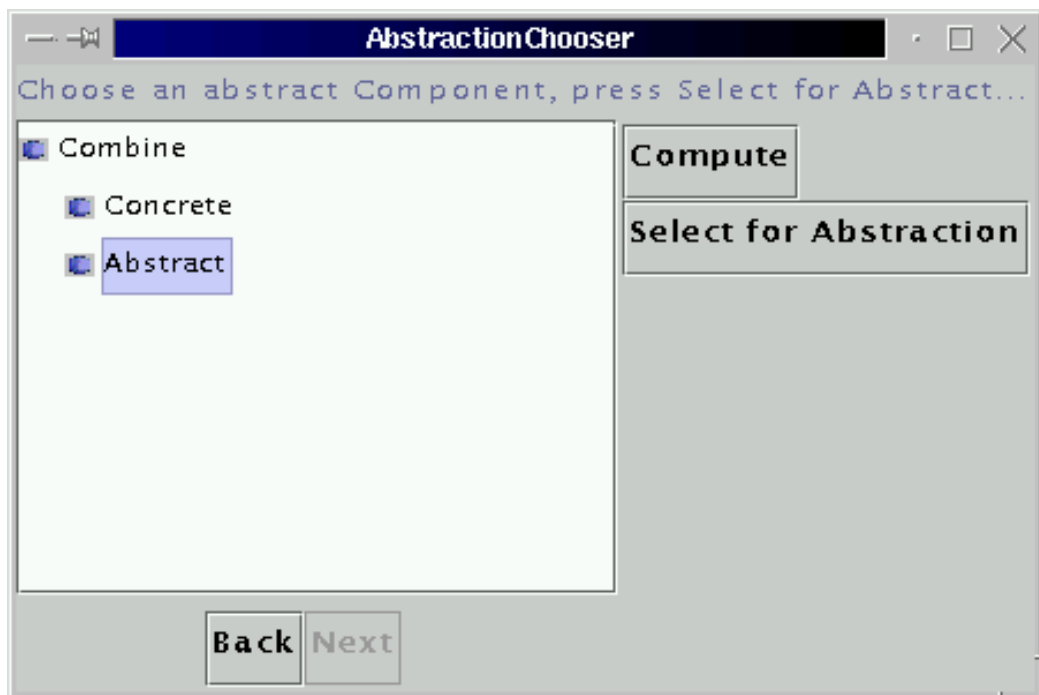


Figure 5.10: Selecting the Abstract Component

After the selection of the abstract component all elements (Variables, Ports, Types, ..) that can be related are defined, and the definition window of the abstraction chooser appears (see Figure 5.11). It suggests an order for the definition of the abstraction functions, but allows for arbitrary orders as well. Furthermore suggestions are made that consider the previous definitions. In Figure 5.11 the abstraction chooser suggests to map the concrete state `Main` to the abstract state `MAIN`. In this example this is the only possible choice, and pressing “Define” defines the abstraction function for states. After the definition of the mapping between local variables (in the example there is also no choice), the chooser tries to make a

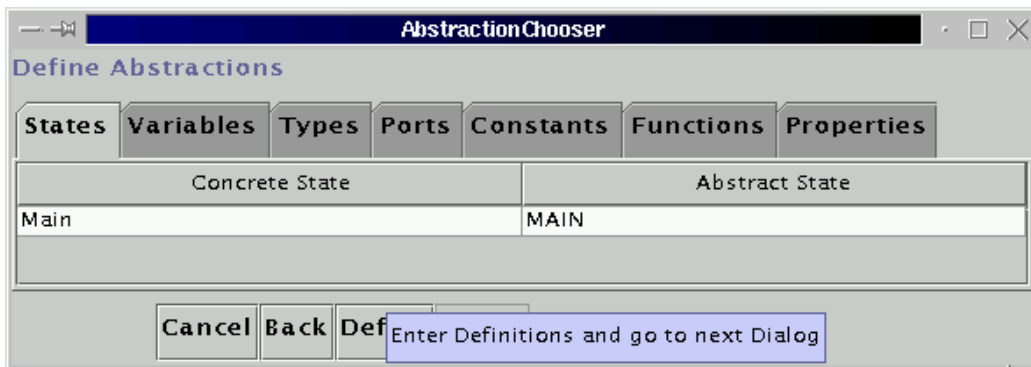


Figure 5.11: Definition Window of Abstraction Chooser

suggestion for the abstraction of types that matches to the abstracted types of the ports. (see Figure 5.12). If there are inconsistencies, for example if the type of

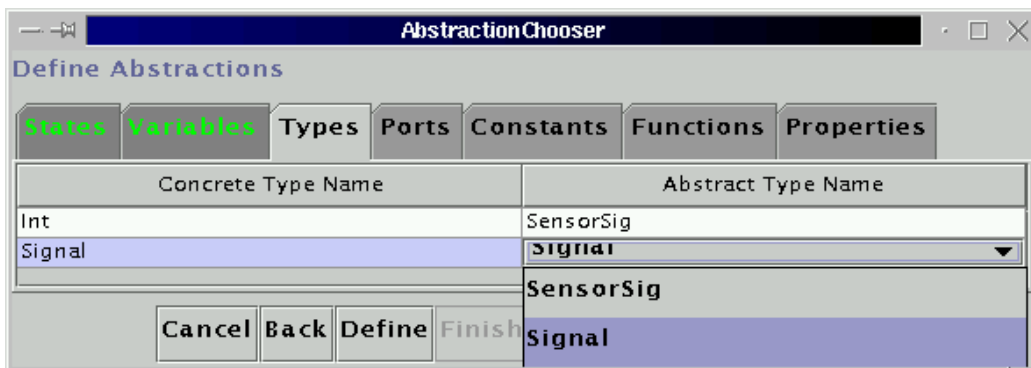


Figure 5.12: Selecting the Abstract Types

a function abstraction does not match the type define for local variables, the error is reported, and the inconsistent fields are marked with red colors in the definition window (see Figure 5.13).

The functions that map the values of the concrete type to the abstract (in our case `Int2SensorSig`) are defined in the “Functions” window of the chooser, that allows to enter a text that defines the abstraction function. Pattern matching for abstraction functions is not supported in this version.

An important part of the definition of the abstraction functions is the relation of properties. The correctness of the abstraction function is defined only for the related properties. For them strengthening proof obligations are generated. In

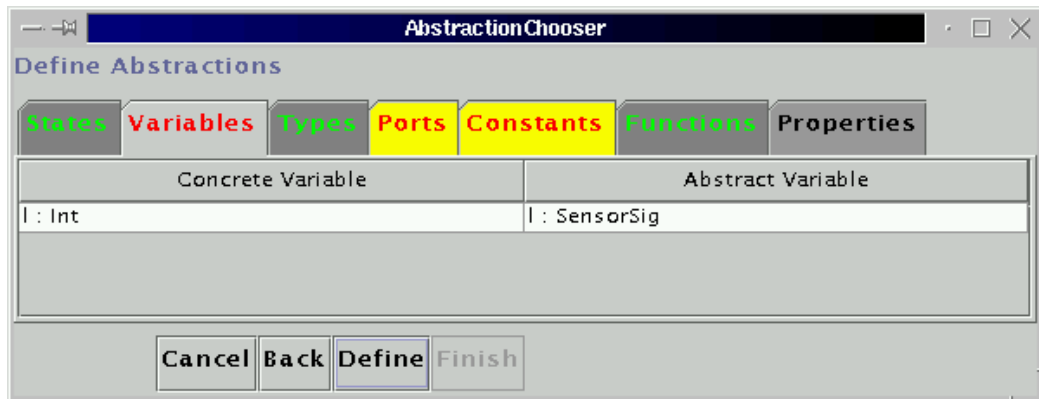


Figure 5.13: Inconsistencies are marked with red color

principle, every concrete property could be abstracted to arbitrary abstract properties, however a similar temporal structure is recommended, i.e. an  $[ ] ( \dots \Rightarrow \langle \rangle ( \dots ) )$  property should be related to a property with a similar structure, otherwise the strengthening proof obligation can become very difficult.

The abstraction chooser displays for each property of the concrete component a choose box with all properties entered to the abstract component (and the value **not related**). The abstraction chooser allows to enter a related property for each concrete, in our example only one property is related (see Figure 5.14). If all parts of the abstraction function are defined correctly, the “Finish” button is enabled. Pressing it stores the abstraction to the concrete component. The proof obligations for the correctness are generated, when the repository is exported to VSE II.

## 5.5 Modal Model Checking: Mucke Export

### 5.6 Connection to VSE

In this section we describe the translation from AUTOFOCUS to VSE and the retranslation. We show how the different views of AUTOFOCUS are translated and how the retranslation works in detail.

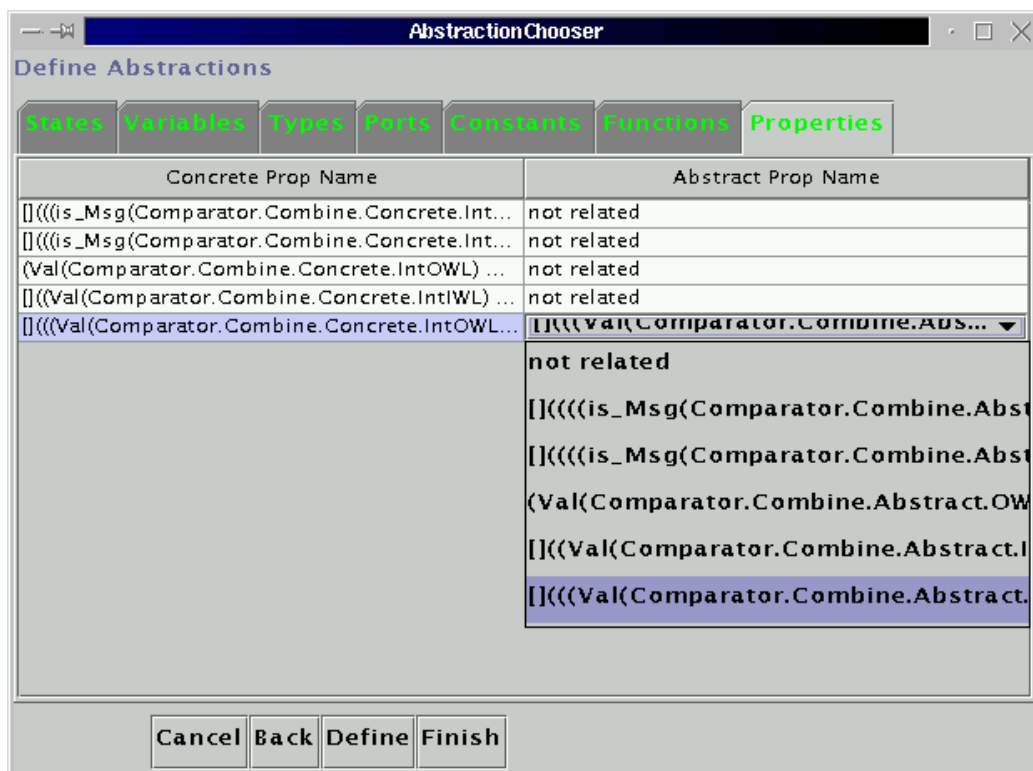


Figure 5.14: Relating the Properties

## 5.6.1 Introduction

In AUTOFOCUS there are four different views on systems. System structure diagrams (SSDs) describe the static aspects of a distributed system by a network of connected components, exchanging data over channels. State transition diagrams (STDs), which are similar to finite automata, are used to describe the dynamic aspects, i.e. the behavior, of the components. Extended event traces (EETs) are used to describe exemplary runs of a system from a component based view. Datatype definitions (DTDs) define the types of data processed by a system and are used in the other views. The used views are hierarchical. For a further description of AUTOFOCUS and its views see [HS97] and [HMS<sup>+</sup>98] and [HEea96].

In AUTOFOCUS/Quest we use these views to model systems, which are based on a synchronous execution model where time is divided into a sequence of intervals. In each interval, a channel in the system can carry at most one value, and each component executes a reaction, where input values are read from the component's input ports, and output values are written on the component's output ports. Data values on ports that are not read by a component are lost. Output written by a component in one reaction is visible to other components only in the next interval. If for a component no reaction is explicitly specified, it remains in its current state and produces no output. This default behavior is referred to as an idle transition.

TLA, which is used in the VSE II system, is based on an asynchronous interleaving semantics. This mismatch between synchronous and interleaving semantics can be solved by augmenting the system with a scheduling algorithm. In order to keep the translated specifications as modular as possible we use a hierarchic scheduling algorithm. The order in which parallel components executes their reaction is arbitrary. So a well known scheduling algorithm, the barrier synchronization algorithm is used.

The connection to VSE uses only SSDs, STDs and DTDs. The datatype defined in DTDs are translated directly to VSE and are retranslated in a similar way from VSE. The (hierarchical) structure of a system will be presented in VSE completely. Behavior of an AUTOFOCUS component will be present in the appropriate VSE component.

Each scheduled component in a group of concurrently executing scheduled components perform their reaction in a sequence of phases. It is required that no scheduled component begin executing its  $(p + 1)^{th}$  phase until all scheduled components in its group have completed their  $p^{th}$  phase. Each scheduled component has a variable `schedulePhase`, which stores the phase which the scheduled component would enter. For the synchronization only two different phases are needed. Internally each interval is split in two phases, the compute phase, where

the input is read and the output is computed, and the copy phase, where the computed output is published to the other components. Each scheduled component of a system must either finish its compute phase or its copy phase before a component can enter the next phase. The activation of these two phases is done by the barrier. The barrier has an internal variable `phase`, which stores the current phase of the system and a counter, which stores the number of components which have not finished the current phase. The barrier has two methods `BeginSync` and `EndSync`. The general scheme of the barrier synchronization algorithm is shown below<sup>1</sup>:

```

PROCESS Component
  DATA
    schedulePhase : boolean INITIAL true
  SPEC
    WHILE true DO
      Barrier.BeginSync(schedulePhase)
      IF schedulePhase = true THEN
        Compute
      ELSE
        Copy
      FI
      Barrier.EndSync()
      schedulePhase := ~schedulePhase
    OD
END

PROCESS Barrier
  DATA
    phase : boolean INITIAL true
    compCounter : nat INITIAL N /* number of
                                synchronized components */
  ACTIONS
    BeginSync(schedulePhase : boolean) ::=
      phase = schedulePhase
    EndSync ::=
      IF compCounter = 1 THEN
        phase := ~phase
        AND compCounter := N
      ELSE

```

---

<sup>1</sup>We use a pseudo language to shorten the description

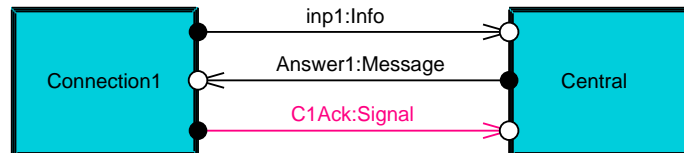


Figure 5.15: Banking System with two components

```

    compCounter := compCounter - 1
  FI
END

```

## 5.6.2 Translation from AUTOFOCUS to VSE

In this section the way how AUTOFOCUS/Quest specifications are translated to VSE is shown. As mentioned above we translate SSDs, STDs and DTDs to VSE<sup>2</sup>.

### SSD

At first we show the translation of SSDs. SSDs are somehow the main part of the translation, because the components contain or include all other translated parts. As mentioned above in AUTOFOCUS we use a synchronous execution model, while VSE is based on an asynchronous interleaving semantics. So we have to use the barrier synchronization scheduling algorithm, which was described above.

In the following examples we show how this algorithm is used concretely.

The first example shows a simple SSD with two components `Connection1` and `Central` (see Fig. 5.15. This is a clipping of the example presented in Section A.2. In this example we translated the SSD as if both components had an associated automaton. The automaton is not shown in the code example presented below.

---

<sup>2</sup>Properties entered in the Quest-Browser are also translated to VSE

First the BASIC type `AF_Sync`<sup>3</sup> is defined. `tsync` is used for the communication between the barrier and the schedulers.

After this the global synchronization barrier `BankingSystem0_Barrier`<sup>4</sup> is defined. As in its scope there are two components, its internal variable `compCounter` is initialized with 2. The global phase is initialized with T, which means that the compute phase is active. For the communication with the schedulers the shared inout variables `sync_Connection1` and `sync_Central` and the in variables `phase_Connection1` and `phase_Central` are used. The `sync` variables are set to `BSync` and `ESync` from the schedulers and are reset to `Ok` by the barrier. With `BSync` and `ESync` a scheduler signals, that it wants to begin a new synchronization phase or that it wants to end its current phase. In the barrier this leads to the execution of the appropriate action `BeginSync` and `EndSync`. Note that the `BeginSync` action is guarded by `phase = ph`, which means that the global phase must be the same as the phase which the scheduled component wants to enter. The `Sync` and the `SyncAll` actions are only abbreviations used to make the code more compact.

```

BASIC AF_Sync
    tsync = BSync |
           ESync |
           InitSync |
           Ok
BASICEND

/*
 * AutoFocus Barrier for BankingSystem
 */

TLSPEC BankingSystem0_Barrier

    PURPOSE "AutoFocus Barrier for
            Component BankingSystem0 "

    USING Boolean; Natural; AF_Sync

    DATA
        SHARED INOUT sync_Connection1 : tsync
        IN phase_Connection1 : bool

```

---

<sup>3</sup>Note: Prefixes `AF_` and `AFi_` are used to mark Quest internal objects

<sup>4</sup>Note, that sometimes numbers are appended to a name, to avoid name clashes.

```

SHARED INOUT sync_Central : tsync
IN phase_Central : bool

INTERNAL compCounter : nat
INTERNAL phase : bool

ACTIONS
BeginSync(ph : IN bool) ::=
    phase = ph
    AND UNCHANGED(compCounter, phase)

EndSync ::=
    IF compCounter = 1 THEN
        phase' = ~phase
        AND compCounter' = 2
    ELSE
        compCounter' = compCounter - 1
        AND UNCHANGED(phase)
    FI

Sync(s : OUT tsync, ph : IN bool
, s1 : OUT tsync) ::=
    (s = BSync AND BeginSync(ph) AND s' = Ok
    OR s = ESync AND EndSync AND s' = Ok)
    AND UNCHANGED(s1)

SyncAll ::= Sync(sync_Connection1,
                phase_Connection1,
                sync_Central)
            OR Sync(sync_Central,
                phase_Central,
                sync_Connection1)

SPEC
INITIAL
    compCounter = 2
    AND phase = T /* ComputePhase */
    AND sync_Connection1 = InitSync
    AND sync_Central = InitSync

```

```

TRANSITIONS [ SyncAll ]
  {compCounter, phase, sync_Connection1,
   sync_Central}
FAIRNESS WF(SyncAll)
  {compCounter, phase, sync_Connection1,
   sync_Central}

```

```
HIDE compCounter, phase
```

```
TLSPRECEND
```

Next the scheduler for the component `Connection1` and the component itself is shown. In this example there are two separate TLSPecs but these two could also be merged to one component (see Section 5.6.4 and the notes on `-useinclude`). In the scheduler `Connection1_Scheduler` it can be seen, that the description of the component `Connection1` is included. The scheduler has an out variable `schedulePhase`, which presents the value of the current phase, i.e. T (compute) or F (copy). Also a shared inout variable `sync` is used for the communication with the global barrier. The scheduler has three actions, `WaitOk`, `Copy` and `Compute`. The `WaitOk` action waits for an `Ok` signal on `sync`, which can only be set from the barrier. The `Copy` and the `Compute` action are executed in the appropriate phase. Note, that in the example below the `Compute` action is “empty”, because for simplicity reasons no automaton for `Connection1` is included.

Initially the `schedulePhase` is set to T as the global phase. `sync` is set to `InitSync`, so that there is a defined value. The scheduler then enters an endless loop, where it tries to enter the next phase by setting `sync` to `BSync`. It waits for a `Ok` from the barrier and then executes the appropriate action. After this it signals the end of his phase to the barrier.

In TLSPec `Connection1` the ports `Answer`, `inp`, and `CAck` of its component are defined. The type of these ports is derived from the appropriate channel type (see Section 12). For all out ports an internal port is defined, which is used as a buffer of length one. The `NextStep` action and the coding of the automaton of `Connection1` is missing in the example below (see Section 12). This action uses the internal ports for storing the computed results. The `CopyPorts` action copies these results to the external ports, which can be seen from other components.

```

/*
 * AutoFocus Scheduler for Component: Connection1
 */

```

```

TLSPEC Connection1_Scheduler

PURPOSE "AutoFocus Scheduler for
        Component Connection1"

USING
    /* ImportDataTheories */

    Natural
    ; Boolean
    ; AF_Sync
    ; MAINCHANNEL

INCLUDE
    AF_Component = Connection1

DATA
    OUT schedulePhase : bool
    SHARED INOUT sync : tsync

ACTIONS
    WaitOk ::= sync = ok
            AND UNCHANGED(schedulePhase, sync)

    /* CopyPorts */
    Copy ::= AF_Component.CopyPorts
            AND schedulePhase' = T
            AND UNCHANGED(sync)

    /* Compute */
    Compute ::= schedulePhase' = F AND UNCHANGED(sync)

SPEC
    INITIAL BEGIN
        schedulePhase := T; /*Compute*/
        sync := InitSync
    END

    TRANSITIONS BEGIN
        WHILE (TRUE) DO
            sync := BSync;

```

```

        WaitOk;
        IF schedulePhase = T THEN
            Compute
        ELSE
            Copy
        FI;
        sync := ESync;
        WaitOk
    OD

    END {schedulePhase, sync,
        AF_Component.AFi_ControlState,
        inp, AFi_inp, CAck, AFi_CAck}
    TLSPECEND

/*
 * AutoFocus Component: Connection1
 */

    TLSPEC Connection1

        PURPOSE "AutoFocus Component Connection1"

        USING
            /* ImportDataTheories */

            Natural
            ; MAINCHANNEL

        DATA
            /* PortDeclarations */

            IN Answer : Channel_Message.ChannelBase.Channelm
            OUT inp1 : Channel_Info.ChannelBase.Channelm
            OUT CAck : Channel_Signal.ChannelBase.Channelm

            /* PortDeclarations (internal-ports) */

            INTERNAL AFi_inp :
                Channel_Info.ChannelBase.Channelm
            INTERNAL AFi_CAck :
                Channel_Signal.ChannelBase.Channelm

```

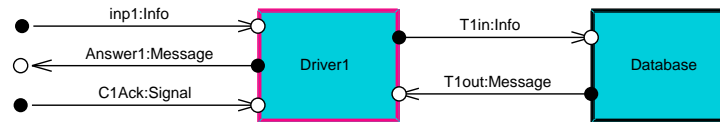


Figure 5.16: Central

ACTIONS

```
CopyPorts ::=
    inp1' = Afi_inp1 AND CAck' = Afi_CAck
    AND UNCHANGED(Afi_inp1, Afi_CAck)
```

```
/* Automaton definition is missing
   for simplicity reasons */
```

TLSPRECEND

The component Central is decomposed in two components Driver1 and Database (see Fig. 5.16). So the component Central is a “combination” of those two components, as the root component BankingSystem is a combination of Connection1 and Central\_Combine. In components, which are decomposed, the ports are inlined in the TLSPREC of the Combine component. In the Combine components all “external” variables are connected. Beside the in and out variables for component ports, like `inp1` and `inp5`, the communication channels between the schedulers and the barriers are connected.

```
/*
 * Combine for AutoFocus Component: Central
 */
```

TLSPREC Central\_Combine

PURPOSE "AutoFocus Combine for Component Central"

USING

---

<sup>5</sup>The port `inp1` of the component Central is connected to the port `inp` of the subcomponent Driver1 through the channel `inp1`. The names of the ports are not shown in Fig. 5.16

```

/* ImportDataTheories */

Natural
; AF_Sync
; MAINCHANNEL

DATA
INTERNAL sync_Driver1 : tsync
INTERNAL sync_Database : tsync
SHARED INOUT sync : tsync
OUT schedulePhase : bool

/* PortDeclarations */

IN inpl : Channel_Info.ChannelBase.Channelm
IN ClAck : Channel_Signal.ChannelBase.Channelm
OUT Answer1 : Channel_Message.ChannelBase.Channelm

COMBINE
Central_Barrier [
  Central_Barrier.phase
    -> Central_Combine.schedulePhase,
  Central_Barrier.phase_Driver1
    <- Driver1_Scheduler.schedulePhase,
  Central_Barrier.phase_Database
    <- Database_Scheduler.schedulePhase
] SHARED [
  Central_Barrier.upsync
    <- Central_Combine.sync,
  Central_Barrier.sync_Driver1
    <- Central_Combine.sync_Driver1,
  Central_Barrier.sync_Database
    <- Central_Combine.sync_Database
]

;Driver1_Scheduler [
  Driver1_Scheduler.AF_Component.inp
    <- Central_Combine.inpl,
  Driver1_Scheduler.AF_Component.CAck
    <- Central_Combine.ClAck,
  Driver1_Scheduler.AF_Component.Answer

```

```

        -> Central_Combine.Answer1,
        Driver1_Scheduler.AF_Component.Tout
        <- Database_Scheduler.AF_Component.Tlout ]
    SHARED [
        Driver1_Scheduler.sync
        <- Central_Combine.sync_Driver1 ]

;Database_Scheduler [
    Database_Scheduler.AF_Component.Tlin
    <- Driver1_Scheduler.AF_Component.Tin ]
    SHARED [
        Database_Scheduler.sync
        <- Central_Combine.sync_Database ]

    HIDE Central_Combine.sync_Driver1,
        Central_Combine.sync_Database

    TLSPECEND

/*
 * Combine for AutoFocus Component: BankingSystem
 */

    TLSPEC BankingSystem0_Combine

    PURPOSE "AutoFocus Combine for
        Component BankingSystem0"

    USING
        /* ImportDataTheories */

        Natural
        ; AF_Sync
        ; MAINCHANNEL

    DATA
        INTERNAL sync_Connection1 : tsync
        INTERNAL sync_Central : tsync

    COMBINE

```

```

BankingSystem0_Barrier [
    BankingSystem0_Barrier.phase_Connection1
        <- Connection1_Scheduler.schedulePhase,
    BankingSystem0_Barrier.phase_Central
        <- Central_Combine.schedulePhase
] SHARED [
    BankingSystem0_Barrier.sync_Connection1
        <- BankingSystem0_Combine.sync_Connection1,
    BankingSystem0_Barrier.sync_Central
        <- BankingSystem0_Combine.sync_Central
]

;Connection1_Scheduler [
    Connection1_Scheduler.AF_Component.Answer
        <- Central_Combine.Answer1 ]
SHARED [
    Connection1_Scheduler.sync
        <- BankingSystem0_Combine.sync_Connection1 ]

;Central_Combine [
    Central_Combine.inp1
        <- Connection1_Scheduler.AF_Component.inp,
    Central_Combine.ClAck
        <- Connection1_Scheduler.AF_Component.CAck ]
SHARED [
    Central_Combine.sync
        <- BankingSystem0_Combine.sync_Central ]

HIDE BankingSystem0_Combine.sync_Connection1,
      BankingSystem0_Combine.sync_Central

```

TLSPRECEND

As we use a hierarchic barrier synchronization algorithm, the component `Central` has its own barrier. This barrier communicates with the global barrier like a normal scheduler. It acts to the local schedulers like the global barrier. But internally it differs from the global barrier. It has an additional internal variable `endcomp` which is T when all of its scheduled components have performed their step and the barrier itself signals the end of its step to his super-barrier. In contrast to the root barrier, which only performs `SyncAll` actions, sub-barriers have to synchronize with their super-barriers. So they have a `TRANSITIONS` section like schedulers.

```

/*
 * AutoFocus Barrier for Central
 */

TLSPEC Central_Barrier

PURPOSE "AutoFocus Barrier for Component Central"

USING Boolean; Natural; AF_Sync

DATA

    SHARED INOUT sync_Driver1 : tsync
    IN phase_Driver1 : bool

    SHARED INOUT sync_Database : tsync
    IN phase_Database : bool

    INTERNAL compCounter : nat
    INTERNAL endcomp : bool
    OUT phase : bool
    SHARED INOUT upsync : tsync

ACTIONS

BeginSync(ph : IN bool) ::=
    phase = ph
    AND UNCHANGED(compCounter, phase, endcomp, upsync)

EndSync ::=
    IF compCounter = 1 THEN
        phase' = ~phase
        AND compCounter' = 2
        AND endcomp' = T
        AND UNCHANGED(upsync)
    ELSE
        compCounter' = compCounter - 1
        AND UNCHANGED(phase, endcomp, upsync)
    FI

WaitOk ::= upsync = ok AND
    endcomp' = F AND
    UNCHANGED(compCounter, phase, upsync,
        sync_Driver1, sync_Database)

```

```

Sync(s : OUT tsync, ph : IN bool
    , s1 : OUT tsync) ::=
    (s = BSync AND BeginSync(ph) AND s' = Ok
    OR s = ESync AND EndSync AND s' = Ok)
    AND UNCHANGED(s1)

SyncAll ::= Sync(sync_Driver1, phase_Driver1,
                sync_Database)
    OR Sync(sync_Database, phase_Database,
            sync_Driver1)

SPEC
INITIAL BEGIN
    compCounter := 2;
    endcomp := F;
    phase := T; /* ComputePhase */
    sync_Driver1 := InitSync;
    sync_Database := InitSync
END

TRANSITIONS BEGIN
    WHILE TRUE DO
        upsync := BSync;
        WaitOk;
        WHILE (endcomp = F) DO
            SyncAll
        OD;
        upsync := ESync;
        WaitOk
    OD
END {compCounter, phase, upsync, endcomp,
     sync_Driver1, sync_Database}

HIDE compCounter, endcomp

TLSPECEND

```

The missing TLSPECs for the components Driver1, and Database of the example are similar to the TLSPECs of the component Connection1 and are therefore not presented here.

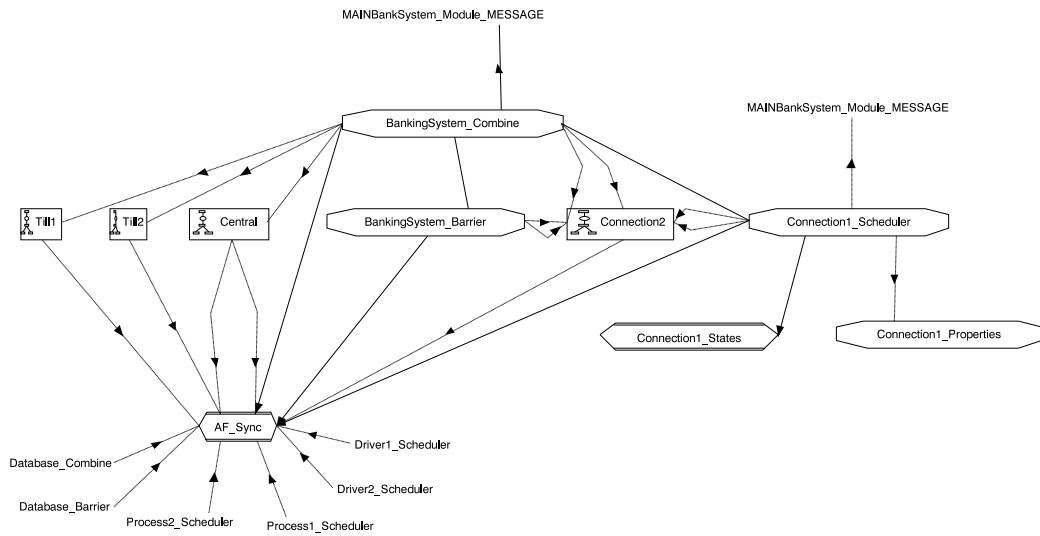


Figure 5.17: Development Graph of the Banking System

In Fig. 5.17 the development graph of the whole example like presented in Appendix A.2 is shown.

## STD

In this section we explain the translation of components with automatons to VSE-SL. As in the example above we use the `withincludes` option, so that the scheduler and the automaton of a component are split into two separate TLSPECS. This is simpler for understanding and editing the generated specification, but it may be more unhandy when doing proofs.

In the following example the component `Driver1` is shown. In Fig. 5.16 the SSD of the component `Central` with the component `Driver1` is shown. In Fig. 5.18 the automaton, which describes the behavior of `Driver1` is presented. Below the VSE-SL specification of the scheduler and the component itself is shown. The scheduler is similar to the scheduler of component `Connection1`. The component `Driver1` is included in the scheduler as `AF_Component`. The `Compute` action calls the `NextStep` action of the component. This action performs one step of the automaton or, if no transition is possible, then the `IdleStep` is taken. The `Copy` action calls the `CopyPorts` action of the component, which copies the values of the internal buffers to the out variables.

In `Driver1_States` the states of the automaton `Waiting` and `Driving` are defined. These are used as values for the `AFi_ControlState`, which stores

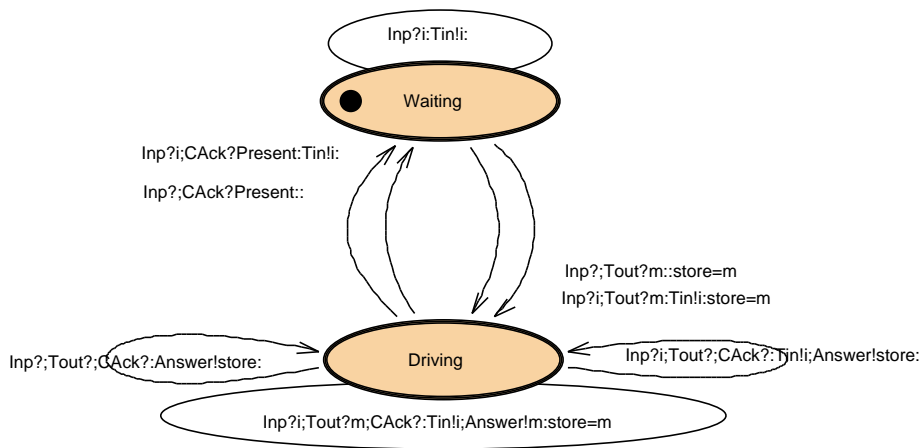


Figure 5.18: Automaton of Component Driver1

the current state of the automaton. As described above, besides the in and out variables of the component, internal buffer variables for the out variables are defined in the component (for a description of the used channel type see Section 12). The result of a compute action is stored in their internal buffers, so that they cannot be seen outside the component. In the `COPYPORTS` action these internal values are copied to the external out variables. For every transition of the automaton an action, which describes the transition is generated. The action is named by the start state and the end state of a transition. For hierarchical automata the transition segments are merged, so that there is only one transition and so that the resulting automaton is flat. In a transition first the control state is checked and set appropriate, and after this the precondition, the input-pattern, the outputs, and the actions are added. An extra transition, the `IdleStep` is added after the other transitions. If for a compute phase no transition could be taken, then the automaton stays in its current state, the local variables are unchanged and the out variables are set to `NoVal`. So the condition for an `IdleStep` is the negotiation of all preconditions and all input patterns of all transitions. The `NextStep` action calls one of the transitions or the `IdleStep` and so performs one reaction of the automaton. Initially the control state, and the local variables, and the out variables of the component are set appropriate.

```

/*
 * AutoFocus Scheduler for Component: Driver1
 */

TLSPEC Driver1_Scheduler

    PURPOSE "AutoFocus Scheduler for Component Driver1"

    USING
        Natural
        ; Boolean
        ; MAINBankSystem_Module_MESSAGE
        ; AF_Sync

    INCLUDE
        AF_Component = Driver1

    DATA
        OUT schedulePhase : bool
        SHARED INOUT sync : tsync

    ACTIONS
        WaitOk ::= sync = ok AND UNCHANGED(schedulePhase, sync)

        /* CopyPorts */
        Copy ::= AF_Component.CopyPorts
                AND schedulePhase' = T AND UNCHANGED(sync)

        /* Compute */
        Compute ::= AF_Component.NextStep
                AND schedulePhase' = F AND UNCHANGED(sync)

    SPEC
        INITIAL BEGIN
            schedulePhase := T; /*Compute*/
            sync := InitSync
        END

        TRANSITIONS BEGIN
            WHILE (TRUE) DO
                sync := BSync;
                WaitOk;
                IF schedulePhase = T THEN

```

```

        Compute
    ELSE
        Copy
    FI;
    sync := ESync;
    WaitOk
OD

END {schedulePhase, sync,
    AF_Component.AFi_ControlState,
    store, Tin, AFi_Tin, Answer, AFi_Answer}
HIDE AF_Component.AFi_ControlState, store,
    AF_Component.AFi_Tin, AF_Component.AFi_Answer

TLSPECEND

BASIC Driver1_States
    tDriver1_States = sWaiting | sDriving
BASICEND

/*
 * AutoFocus Component: Driver1
 */

TLSPEC Driver1

    PURPOSE "AutoFocus Component Driver1"

    USING
        Natural
        ; MAINBankSystem_Module_MESSAGE
        ; Driver1_States

    DATA

        /* PortDeclarations */

        IN Tout : Channel_Message.ChannelBase.Channelm
        IN Inp : Channel_Info.ChannelBase.Channelm
        IN CAck : Channel_Signal.ChannelBase.Channelm
        OUT Tin : Channel_Info.ChannelBase.Channelm
        OUT Answer : Channel_Message.ChannelBase.Channelm

```

```

/* PortDeclarations (internal-ports) */

INTERNAL AFi_Tin : Channel_Info.ChannelBase.Channelm
INTERNAL AFi_Answer : Channel_Message.ChannelBase.Channelm

/* LocVariables */

INTERNAL store : Message

/* ControlState */

INTERNAL AFi_ControlState : tDriver1_States

ACTIONS
CopyPorts ::=
  Tin' = AFi_Tin AND Answer' = AFi_Answer
  AND UNCHANGED(AFi_ControlState, AFi_Tin, AFi_Answer, store)

/* Transitions */

Waiting2Driving ::= AFi_ControlState = sWaiting
  AND AFi_ControlState' = sDriving
  AND Channel_Info.ChannelBase.is_Msg( Inp )
  AND Channel_Message.ChannelBase.is_Msg( Tout )
  AND ( AFi_Tin' = Channel_Info.ChannelBase.Msg(
    Channel_Info.ChannelBase.Val( Inp ) ) )
  AND AFi_Answer' = Channel_Message.ChannelBase.NoVal
  AND ( store' = Channel_Message.ChannelBase.Val( Tout ) )

Waiting2Waiting ::= AFi_ControlState = sWaiting
  AND AFi_ControlState' = sWaiting
  AND Channel_Info.ChannelBase.is_Msg( Inp )
  AND ( AFi_Tin' = Channel_Info.ChannelBase.Msg(
    Channel_Info.ChannelBase.Val( Inp ) ) )
  AND AFi_Answer' = Channel_Message.ChannelBase.NoVal
  AND UNCHANGED( store )

Waiting2Driving_1 ::= AFi_ControlState = sWaiting
  AND AFi_ControlState' = sDriving
  AND Channel_Info.ChannelBase.is_NoVal( Inp )

```

```

AND Channel_Message.ChannelBase.is_Msg( Tout )
AND AFi_Tin' = Channel_Info.ChannelBase.NoVal
AND AFi_Answer' = Channel_Message.ChannelBase.NoVal
AND ( store' = Channel_Message.ChannelBase.Val( Tout ) )

Driving2Driving ::= AFi_ControlState = sDriving
AND AFi_ControlState' = sDriving
AND Channel_Info.ChannelBase.is_Msg( Inp )
AND Channel_Message.ChannelBase.is_Msg( Tout )
AND Channel_Signal.ChannelBase.is_NoVal( CAck )
AND ( AFi_Tin' = Channel_Info.ChannelBase.Msg(
    Channel_Info.ChannelBase.Val( Inp ) ) )
AND ( AFi_Answer' = Channel_Message.ChannelBase.Msg(
    Channel_Message.ChannelBase.Val( Tout ) ) )
AND ( store' = Channel_Message.ChannelBase.Val( Tout ) )

Driving2Driving_1 ::= AFi_ControlState = sDriving
AND AFi_ControlState' = sDriving
AND Channel_Info.ChannelBase.is_Msg( Inp )
AND Channel_Message.ChannelBase.is_NoVal( Tout )
AND Channel_Signal.ChannelBase.is_NoVal( CAck )
AND ( AFi_Tin' = Channel_Info.ChannelBase.Msg(
    Channel_Info.ChannelBase.Val( Inp ) ) )
AND ( AFi_Answer' = Channel_Message.ChannelBase.Msg(store) )
AND UNCHANGED( store )

Driving2Driving_2 ::= AFi_ControlState = sDriving
AND AFi_ControlState' = sDriving
AND Channel_Info.ChannelBase.is_NoVal( Inp )
AND Channel_Message.ChannelBase.is_NoVal( Tout )
AND Channel_Signal.ChannelBase.is_NoVal( CAck )
AND ( AFi_Answer' = Channel_Message.ChannelBase.Msg(store) )
AND AFi_Tin' = Channel_Info.ChannelBase.NoVal
AND UNCHANGED( store )

Driving2Waiting ::= AFi_ControlState = sDriving
AND AFi_ControlState' = sWaiting
AND Channel_Info.ChannelBase.is_Msg( Inp )
AND Channel_Signal.ChannelBase.is_Msg( CAck )
AND is_Present( Channel_Signal.ChannelBase.Val( CAck ) )
AND ( AFi_Tin' = Channel_Info.ChannelBase.Msg(
    Channel_Info.ChannelBase.Val( Inp ) ) )
AND AFi_Answer' = Channel_Message.ChannelBase.NoVal

```

```

AND UNCHANGED( store )

Driving2Waiting_1 ::= AFi_ControlState = sDriving
AND AFi_ControlState' = sWaiting
AND Channel_Info.ChannelBase.is_NoVal( Inp )
AND Channel_Signal.ChannelBase.is_Msg( CAck )
AND is_Present( Channel_Signal.ChannelBase.Val( CAck ) )
AND AFi_Tin' = Channel_Info.ChannelBase.NoVal
AND AFi_Answer' = Channel_Message.ChannelBase.NoVal
AND UNCHANGED( store )

IdleStep ::= UNCHANGED(AFi_ControlState, Tin, Answer)
AND NOT(Channel_Info.ChannelBase.is_Msg( Inp )
AND Channel_Message.ChannelBase.is_Msg( Tout )
AND NOT(Channel_Info.ChannelBase.is_Msg( Inp )
AND NOT(Channel_Info.ChannelBase.is_NoVal( Inp )
AND Channel_Message.ChannelBase.is_Msg( Tout )
AND NOT(Channel_Info.ChannelBase.is_Msg( Inp )
AND Channel_Message.ChannelBase.is_Msg( Tout )
AND Channel_Signal.ChannelBase.is_NoVal( CAck )
AND NOT(Channel_Info.ChannelBase.is_Msg( Inp )
AND Channel_Message.ChannelBase.is_NoVal( Tout )
AND Channel_Signal.ChannelBase.is_NoVal( CAck )
AND NOT(Channel_Info.ChannelBase.is_NoVal( Inp )
AND Channel_Message.ChannelBase.is_NoVal( Tout )
AND Channel_Signal.ChannelBase.is_NoVal( CAck )
AND NOT(Channel_Info.ChannelBase.is_Msg( Inp )
AND Channel_Signal.ChannelBase.is_Msg( CAck )
AND is_Present( Channel_Signal.ChannelBase.Val( CAck ) )
AND NOT(Channel_Info.ChannelBase.is_NoVal( Inp )
AND Channel_Signal.ChannelBase.is_Msg( CAck )
AND is_Present( Channel_Signal.ChannelBase.Val( CAck ) )

/* set output-ports to NoVal */

AND AFi_Tin' = Channel_Info.ChannelBase.NoVal
AND AFi_Answer' = Channel_Message.ChannelBase.NoVal

/* local variables stay unchanged */

AND UNCHANGED( store )

```

```

NextStep ::= (Waiting2Driving OR Waiting2Waiting
              OR Waiting2Driving_1 OR Driving2Driving
              OR Driving2Driving_1 OR Driving2Driving_2
              OR Driving2Waiting OR Driving2Waiting_1 OR IdleStep)
              AND UNCHANGED(Tin, Answer)

```

SPEC

```

AFi_ControlState = sWaiting
AND store = NoMoney
AND Tin = Channel_Info.ChannelBase.NoVal
AND AFi_Tin = Channel_Info.ChannelBase.NoVal
AND Answer = Channel_Message.ChannelBase.NoVal
AND AFi_Answer = Channel_Message.ChannelBase.NoVal

```

TLSPRECEND

## DTD

In this section we describe the translation of data types and functions from the Quest language (see Section 2.3) to the VSE II specification language. Furthermore we describe the translation of properties to VSE.

Since data types and functions are executable within Quest, we translated using the “executable parts” of VSE II. Some concepts, like pattern matching or parameterization are different between Quest and VSE, and therefore they cannot be translated directly. In this section we explain the principle translation scheme, and the translation the special constructs. We explain the translation algorithms by means of examples.

The translation of identifiers is as directly as possible, i.e. if the translated function is no VSE keyword, it is used for the translation. For VSE keywords similar names are generated, however these generated identifiers are retranslated directly. For a round trip engineering the VSE keywords should therefore be avoided.

**Translation of Simple Data Types** A simple data type in Quest, has no type arguments (like `List(a)`). An example for a simple data type is:

```
data Nat = Zero | Succ(pred:Nat);
```

Simple data types are translated into BASIC specifications. Since data types in Quest have canonical discriminators `is_Zero`, `is_Succ`, these are also generated. The resulting VSE specification is:

```

BASIC NatBase
  Nat =
      Zero WITH is_Zero
    |   Succ(pred:Nat) WITH is_Succ
BASICEND

```

For every data definition one basic specification is generated.

**Translation of Structured Data Types** Structured data types are DTDs that import other DTDs (see Figure 2.3), or data type definition that use data type definitions of the same DTD. For example the DTD TRANSACTION of Appendix A.2 contains:

```

data Action = SendMail | Withdraw(Int) | ViewBallance;
data Info = TA(Action,account:Int);

```

The translation of this structured specification to VSE II is:

```

BASIC ActionBase
  USING
    INTEGER
  Action =
    SendMail WITH is_SendMail
  |   Withdraw(WithdrawSell:Int) WITH is_Withdraw
  |   ViewBallance WITH is_ViewBallance
BASICEND

```

```

BASIC InfoBase
  USING
    ActionBase ;
    INTEGER
  Info =
    TA(TASell:Action, account:Int) WITH is_TA
BASICEND

```

This results into a more detailed structure of data types in VSE II compared with the structures of DTDs in Quest.

**Translation of Function Definitions** Functions can be defined in Quest using pattern matching. Pattern matching is expanded using explicit case distinctions

with the generated discriminator and selector functions. This allows us to generate (executable) algorithms for functions using the `FUNCDEF` keyword. Since functions cannot be defined in BASIC theories, every DTD is translated into a THEORY that includes the BASIC theories for the data types. Functions with result type `Bool` are translated into predicates. An example from the banking system (see Section A.2) is:

```
module TRANSACTION =
data Action = SendMail | Withdraw(Int) | ViewBallance;
data Info = TA(Action,account:Int);
fun isMoneyRequest(TA(Withdraw(x),acc))=True
  | isMoneyRequest(x)=False;
end
```

It is translated into

```
THEORY TRANSACTION
  USING
    BOOLEAN;
    INTEGER;
    ActionBase ;
    InfoBase ;
  PREDICATES
    isMoneyRequest : Info
  VARS
    VlisMoneyRequest : Info
  AXIOMS
  FOR isMoneyRequest :
    DEFPRED isMoneyRequest(VlisMoneyRequest) <->
      IF is_TA( VlisMoneyRequest )
        AND is_Withdraw( TASell( VlisMoneyRequest ) )
      THEN TRUE
      ELSE FALSE
    FI
  THEORYEND
```

The name of this theory is the name of the DTD.

**Translation of Polymorphic Data Types** Polymorphic data types are types that have arguments (type variables) like `List(a)`, `Set(a)`. Within Quest the

translation of polymorphic data types is supported, even if the concept of parameterization in VSE II is slightly different. The most important example of polymorphic data types is

```
data Channel(m)= NoVal | Msg(Val:m);
```

It is in the VSE II translation of every AUTOFOCUS/Quest component, since the channels, and ports of AUTOFOCUS can be empty (represented by NoVal. For polymorphic data types the are parameter theory generated, that contain all parameters of the defined and imported data types. Therefore different parameters have to be used if they should not be unified. The translation of the type Channel(m) are the following VSE II specifications:

```
THEORY mParam
  TYPES          m
THEORYEND
```

```
BASIC ChannelBase
  PARAMS mParam
  Channelm =
    NoVal WITH is_NoVal
  |    Msg(Val:m) WITH is_Msg
BASICEND
```

```
THEORY CHANNEL
  PARAMS mParam
  USING
    BOOLEAN;
    INTEGER;
    ChannelBase [ m ]
THEORYEND
```

The type channel is instantiated for every defined data type in the main module of the translation, that is imported from the translations of the AUTOFOCUS/Quest components.

```
THEORY MAINFM99_Module_MESSAGE
  USING
    MESSAGE;
    TRANSACTION;
    SIGNAL;
```

```

Channel_Bool = CHANNEL [ Bool ];
Channel_Int = CHANNEL [ Int ];
Channel_Message = CHANNEL [ Message ];
Channel_Msg = CHANNEL [ Msg ];
Channel_Action = CHANNEL [ Action ];
Channel_Info = CHANNEL [ Info ];
Channel_Keys = CHANNEL [ Keys ];
Channel_Cardinfo = CHANNEL [ Cardinfo ];
Channel_Card = CHANNEL [ Card ];
Channel_Date = CHANNEL [ Date ];
Channel_Signal = CHANNEL [ Signal ]

```

THEORYEND

**Translation of Properties** Properties in AUTOFOCUS/Quest hold at the specified states. No intermediate states are possible due to the synchronous semantics of AUTOFOCUS. Due to the differences in the interaction semantics (synchronous, asynchronous) schedulers are generated for the representation of AUTOFOCUS models in VSE II (see Section 5.6.1). In VSE II there are intermediate states that are not reachable in AUTOFOCUS. Therefore AUTOFOCUS/Quest properties hold only in these states and the properties have to be expanded. This allows also for a translation of the next state relation.

The example of Section 2.3.5 the following property has been given:

```

[] (FM99.BankingSystem.Connection1.Answer?NoMoney =>
    ) (FM99.BankingSystem.Connection1.CentralMsg!NoMoney)

```

It is translated into the following VSE II specification:

```

TLSPEC Connection1_Properties
INCLUDE      PropertyBase = Connection1_Scheduler
SPEC
[] PropertyBase.schedulePhase = T
  AND PropertyBase.schedulePhase' = F
  AND ( ( Channel_Message.ChannelBase.is_Msg(
          PropertyBase.AF_Component.Answer )
        AND Channel_Message.ChannelBase.Val(
          PropertyBase.AF_Component.Answer )
        = NoMoney )
    -> ( PropertyBase.AF_Component.CentralMsg'
        = Channel_Message.ChannelBase.Msg(NoMoney) )
  )

```

TLSPRECEND

Again the patterns are eliminated, as described for function definitions.

### 5.6.3 Translation from VSE to AUTOFOCUS

In this section the retranslation of VSE specifications to AUTOFOCUS/Quest is discussed. The retranslation of specifications is only suggestive for specification or parts of specifications which are generated by Quest. This is due the fact, that AUTOFOCUS/Quest is based on a synchronous execution model, while VSE II uses an asynchronous interleaving semantic. Up to now there is no useful presentation of such specifications in AUTOFOCUS/Quest. So it is only possible to retranslate specifications which are structured like shown in Section 5.6.2.

So usually a specification of a system is started in AUTOFOCUS/Quest and translated to VSE II later, if some properties have to be shown. In VSE II the specification could be modified, but if it or parts of it should be retranslated the structure of the specification should follow the structure of the generated constructs. Usually only the behavior of a component will be changed.

#### SSD

For the retranslation of SSDs only the following parts are recognized:

Location	Specification part
Combine, Component, Scheduler	components and SSDs
Component	local variables, i.e. internal variables, except those beginning with <code>AFi_</code>
Component	initial values of local variables
Component, Combine	in and out variables, which are retranslated to in and out ports
Combine	channels

The structure of the SSDs will be the same as those used by the translation. All view informations, e.g. positions of the drawn rectangles and so on, are lost.

It is not checked, if the scheduling algorithms fit to those used by the translation, i.e. the “internal” specification of the barriers and the schedulers are not checked. Changes there will be ignored without warnings.

## STD

For the retranslation of STDs only the following parts are recognized:

Location	Specification part
Component, States	States
Component	Transitions, with preconditions, input patterns, output actions, and actions

All retranslated automatons are flat, even if the original automaton was an hierarchic one. All view informations, e.g. positions of the drawn ellipsoids, are lost.

## DTD

The retranslation of data types is restricted to the subset of the generated constructs, and requires that the theories have the structure as described in the generation in Section 12. Furthermore pattern matching definitions cannot be inferred from the case distinctions. Compared which the large possibilities VSE II offers to define data types this is quite restricted, however the retranslation can be used to integrate changes into the Quest data definitions, or as a starting point for further developments that can be used for round trip engineering.

Due to the semantical differences (synchronous vs. asynchronous) between AUTOFOCUS/Quest and VSE II property translation generates very complex terms that correspond to the properties. Up to now there is no method in VSE II to deal with such “synchronous properties”. Therefore no retranslation of properties is supported.

### 5.6.4 Integration in VSE

One part of Quest is the connection to the VSE [Pla97] [KBRS98] tool. In this section we give a short overview, how VSE is integrated in AUTOFOCUS/Quest. Then we describe how projects or part of projects are imported to VSE or exported from VSE. At last some limitations are shown.

#### Overview

The integration of VSE is loose, which leads to the fact, that the user has to follow some rules. But the user is guaranteed the greatest flexibility. Figure 5.19 shows the process from AUTOFOCUS/Quest to VSE II and back. As it can be seen,

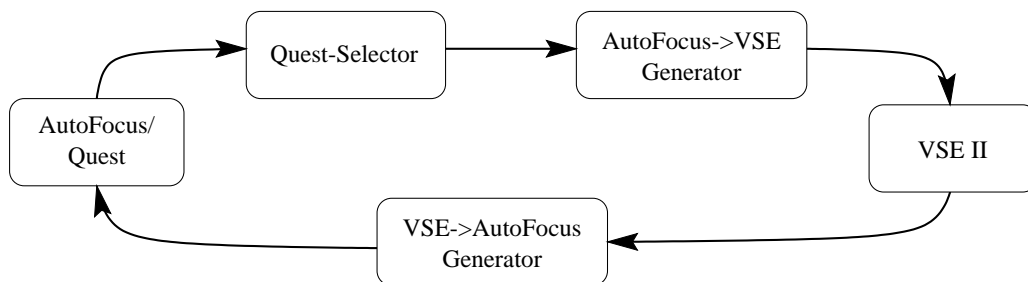


Figure 5.19: Connection AUTOFOCUS-VSE

there are three programs involved. For the translation of AUTOFOCUS/Quest-specifications to VSE the Quest-Selector can be used to select some parts of a project, which should be translated. After this the appropriate generator can be started to generate a VSE-SL [UBR<sup>+</sup>99] file which contains all the information. This file can be imported to VSE. For the retranslation the VSE-specification has to be exported and the appropriate generator has to be started. The resulting Quest repository can be imported to AUTOFOCUS/Quest afterwards.

### Importing Projects in VSE

If you want to import an AUTOFOCUS-project to VSE, you have to export it first from AUTOFOCUS. For further help on exporting a project in the Quest-format see 2.4.1. Now the selector can be used to choose a part of the project, which should be translated to VSE or the translation can be started on the whole project.

**Translation of a whole project** To generate a VSE-SL file for the whole project start the following command in a shell<sup>6</sup>:

```
af2vse [-useinclude] [-withoutsubgraphs]
      <QML-filename> <VSE-filename> [<PropertyFilename>]
```

You have to specify the filename of the AUTOFOCUS/Quest repository and the filename of a VSE-SL file in which the translated code should be saved. Also a file with properties (in text-format) may be specified which then will be translated to VSE. There are two other optional arguments which control the structure of the generated VSE-SL code. The option `-useinclude` controls if the translation

<sup>6</sup>Note: The start of `af2vse` should be possible from the Quest-Browser in later versions.

of an AUTOFOCUS component with its automaton will be inlined or included in the scheduled component wrapper (see Section 5.6 for further details).

**Translation of selected parts** To select parts of a specification, which should be exported to VSE II, the selector<sup>7</sup> has to be started.

```
af2vse -s[elect] [-useinclude] [-withoutsubgraphs]
      [<QML-filename> [<VSE-filename> [<PropertyFilename>]]]
```

Note, when using the selector it is not necessary to specify any filename on the command line. An AUTOFOCUS/Quest specification filename can be selected in a file requester later by clicking on the button **Load** or by selecting the **Load** in the **File** menu. If properties are stored in a separate file, they can load with **Add Prop**.

The selector is presented in Fig. 5.20. There is a menu bar and a toolbar, where some actions can be started. All actions, besides **Exit**, which is only present in the **File** menu, are available via the menus and via the toolbar. Below the toolbar there are two panels<sup>8</sup> with tabs in it. On the left side objects, like components, automata, data types and properties, can be selected on the right side the actual selection is shown. The right panel is there only to control the current selection, modifications always have to be done on the left side.

For maximal flexibility and usability there are two main selection modes. The automatic selection mode tries to select always a complete, consistent model, while the user has maximal freedom in the manual selection mode. First we describe the automatic selection mode.

The automatic selection mode can be started by clicking on the toolbar button **AutoSelect** or by selecting the corresponding menu entry. If the automatic selection mode is on, the button **AutoSelect** is enlightened.

**Automatic selection mode:** The component tree will be folded to the root component. If the root component has an automaton, then it will be selected, otherwise their subcomponents will be unfolded. Again for each subcomponent, which has an automaton it will be selected. Each subcomponent without an behavior will be unfolded and so on. So at the beginning the most abstract system with a complete behavioral description and the necessary data type definitions will be selected. After this subtrees can be unfolded to

---

<sup>7</sup>Note: This will be possible from the Quest-Browser in a later version.

<sup>8</sup>Panels are “windows”, which are contained in a real window

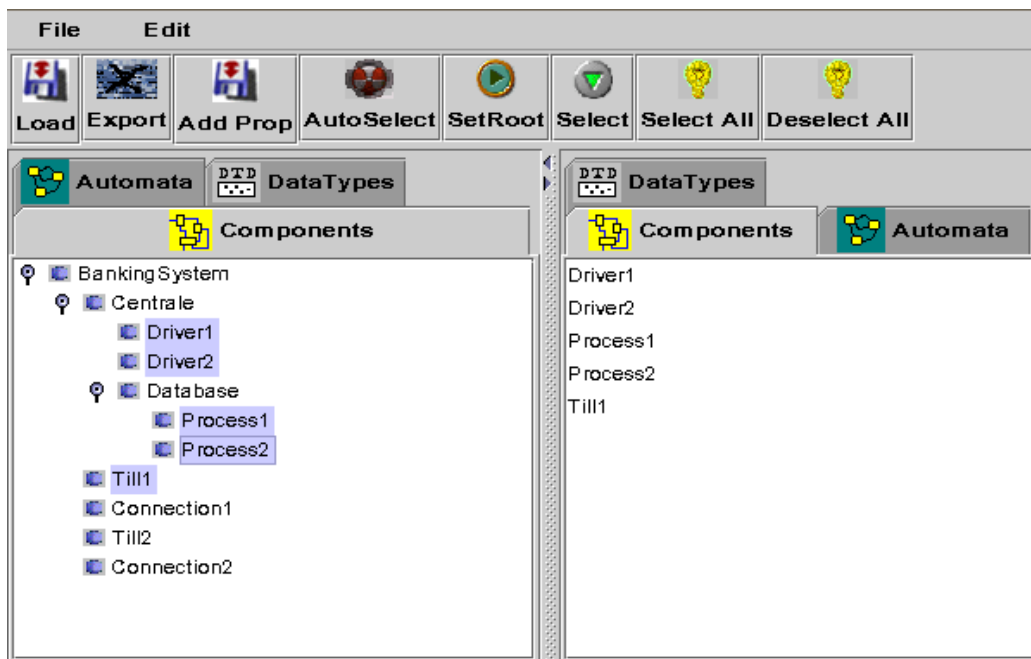


Figure 5.20: The Selector

get a more concrete selection. A left mouse button click, deletes the selection and starts the selection algorithm from the component, on which was clicked. A right mouse button click adds the selected component.

**Manual selection mode:** A left mouse button click deletes the selection and adds the component, on which the mouse was positioned. A right mouse button click selects or unselects the current object under the mouse. If a tree was folded all objects in it will be unselected.

With the `Select` button the current selection can be activated. So the selected objects in the left panel will be presented in lists in the right panel. These lists in the right panel can only be viewed to control the selection, no operation on the right side is possible.

With the `Select All` button the whole specification can be selected. The current selection can be deleted with the button `Deselect All`.

If you want to export only a subtree of the whole project you have to select one component (left click) and then you have to choose `Set Root`. Afterwards only the selected subtree will be presented. To reset this operation unselect all selected objects, e.g. with right mouse button clicks, and then click on `Set Root`.

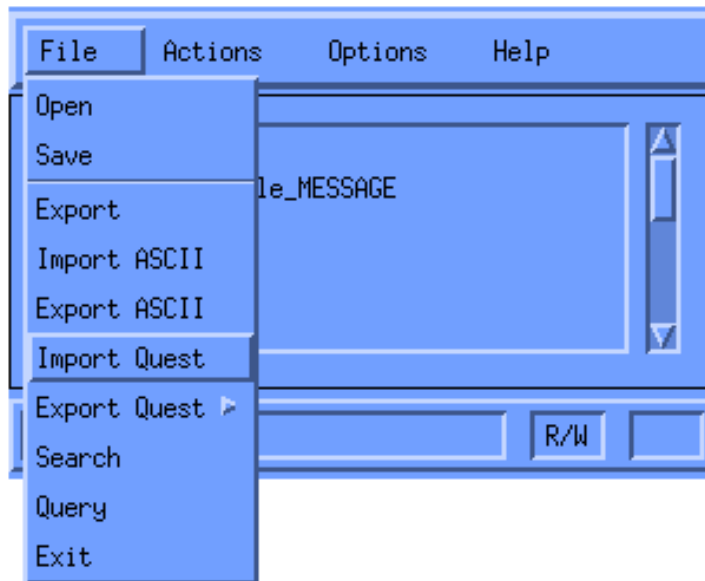


Figure 5.21: VSE: Import Quest

To export the current selection presented in the right panel, choose **Export**. Afterwards a filename for the VSE-SL specification can be chosen and then the translation to VSE II starts.

**Import in VSE** After the appropriate VSE-SL file has been generated, it can be imported to VSE. To start the import use the menu **Import Quest** in the **File** menu of the VSE main window (see Figure 5.21]. Then the following steps are performed:

1. If the current VSE repository is no new one, i.e. it is already saved in a `.gti` file, then the user can choose to create a new empty project.
  - (a) If **Yes** is selected, then a new project with the name " `noname` " will be created and used.
  - (b) If **No** is selected, then the state of the current project will be saved to `/tmp/quest.bck` and all changes will be made to the current project.
2. The **AUTOFOCUS/Quest** repository can be chosen with the file-browser (default suffix is `.out`).

- (a) Choose  to import the file.
  - (b) Choose  to cancel the import operation.
3. The AUTOFOCUS/Quest repository will now be read. All actions, particularly error messages, will be stored in a log-file (Quest.log in the start directory).
  4. If errors occurred during the import and the import is done in an existing VSE repository, then the user can choose to restore the previous version.

Some notes on VSE internals:

**Import of new nodes** If no node with the representation of a specification exists, then a new node with the appropriate name/class will be created. The initial node attributes are set like in a “normal” ASCII-import, in particular `Checked = No`.

**Change of existing nodes** If an existing specification will be imported, the status information of the node and dependent nodes will be recomputed from the original state and the kind of the change:

Original state	Action
Unchecked	the node will be changed without a request
Checked	the node will be changed without a request, the node and dependent nodes become Unchecked
Invalid	the node will be changed without a request, the node and dependent nodes become Unchecked
Suspended, Verified	the node will be type-checked automatically and if necessary a warning with the possibility to cancel the action will be shown. If the warning is ignored dependent nodes become Unchecked or Invalid.
In-Verification	the node won't be changed. This may lead to inconsistencies, because then parts of a AUTOFOCUS-specification are imported and other parts aren't.

## Exporting Projects from VSE

If you want to export a project or parts of a project from VSE and reimport it to Quest you have to select the appropriate VSE objects, and you must choose `Export` from the `File` menu of the VSE main window. Note that the retranslation to AUTOFOCUS/Quest can be started with `Call Transformer` from the VSE main window (see 5.23) automatically. After that the resulting specification can be read from the Quest-tools or from AUTOFOCUS.

**Selection** Before the export to Quest can be started, the VSE development objects, which should be exported, have to be selected. To select some nodes you have to double click on a node and to choose a selection operation. Selected nodes are displayed inverted. Select operations add nodes to the already selected nodes. So more than one select operation may be used and the operations to describe the set of objects to be exported may be mixed. There are two special operations for the use with Quest. The popup menu of `Theory` and `Tlspec` contains a submenu `Select Quest` with the operations `Restricted` and `All` (see Figure 5.22). With `All` all objects are selected, with `Restricted` all objects in the graph which are referenced from the actual object (including the actual object itself) are selected. Since it is not always obvious, which nodes are selected you may want to choose `Deselect All` in `Actions` menu of the VSE main window, before you begin your selection.

**Export** After you have chosen all objects you want to reimport to Quest, the the export can be started with `File only` or `Call Transformer` in the `Export Quest` submenu of the VSE main window (see Figure 5.23). With `File only` you have to start the VSE to AUTOFOCUS translation yourself, if you choose `Call Transformer` then the retranslation to Quest is started automatically. Before the export itself is started, some heuristic checks are done:

- Type correctness: every selected node is type checked
- Completeness: all nodes used by a selected node are selected too.
- Coherence: only one root node is selected. All other nodes are reachable from the root node.

If a check fails the user is warned, but may proceed anyway.

The start of the retranslation program can be configured via the environment variables `VSE2AF_BIN` and `VSE2AF_PAR`. The translation program will be started as:

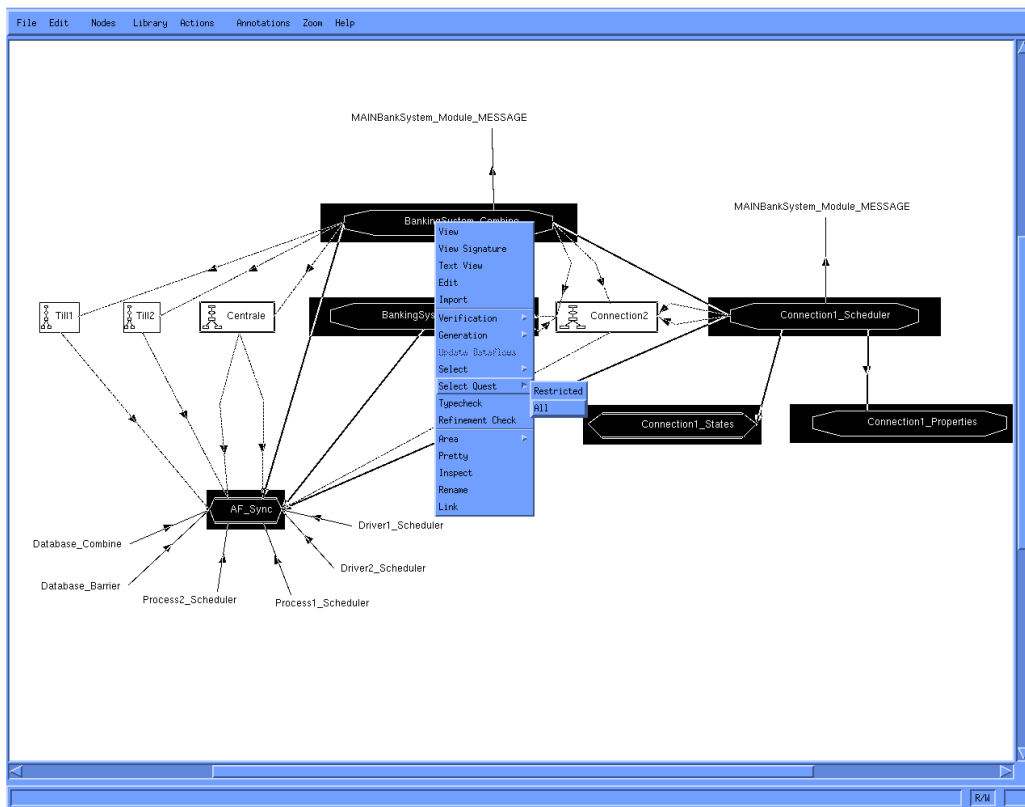


Figure 5.22: VSE: Select Quest

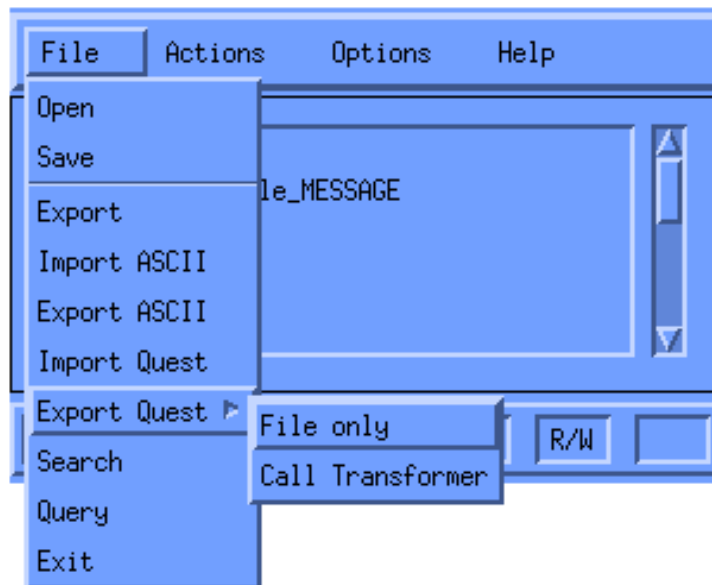


Figure 5.23: VSE: Export Quest

```
$VSE2AF_BIN $VSE2AF_PAR /tmp/vse2af.out
```

See below and Section 2.1 for further information on the translation program and how the environment variables should be configured.

**Retranslation** If you have exported VSE objects with `File only` or you want to retranslate an existing VSE-SL file to Quest you can use the command:

```
vse2af <VSE-filename> <QML-filename>
```

The filename of a VSE-SL file and the filename to which the translation should be written have to be specified.

**Import** After these steps the resulting AUTOFOCUS repository file can be imported to AUTOFOCUS or to the Quest-tools.

### Limitations

The retranslation from VSE to Quest is limited to VSE-SL specifications, which are similar structured like those generated from AUTOFOCUS/Quest specifica-

tions. For more details see Section 5.6.

# Appendix A

## Case Studies and Examples

In this section we present the examples / case studies that have been modeled and checked within the project Quest. These examples are part of the distribution and can be used to test the tool. There are three case studies, each of them has some examples. To run an example the QML representation has to be loaded from the `Examples` directory of Quest.

1. Storm Surge Barrier: A model of the emergency closing system of storm surge barrier in the Oosterschelde. The presented models have been used in 1998 to improve the specification of the system, which now has evolved further.
  - `StormSurgeBarrier/SSB_neu` Version without initialization (close to original specification)
  - `StormSurgeBarrier/QSS_neu` a version with initialization (like the running FriscoF simulation)
  - `StormSurgeBarrier/Comparator_neu` two models of a comparator with different types

See Appendix A.1 for a description of this case study.

2. The Banking System (presented at the FM99) with two examples:
  - The complete model `FM99/FM99_neu` contains the complete specification
  - The abstract model `FM99/BankAbs_neu` contains a version with only single valued types. This is an ad hoc abstraction of the model and can be used to model check the complete system.

See Appendix A.2 for a description of this case study.

3. A Traffic Light Control System: The old AUTOFOCUS example of the traffic light system for a pedestrian crossing over a street [HMS<sup>+</sup>98] has been re-modelled using the expressive power of functional data types.
  - TrafficLights/QuestTL\_neu contains the model.

The case studies are stored within the new QuestML format <sup>1</sup> and can be loaded into AUTOFOCUS and into the model browser. Furthermore there are some properties available, that can be loaded into the model browser to check something. The files are located in the Examples-directory (see installation 2.1), and can be loaded into the model browser as described in Section 2.2.2. Figure A.1 shows the subdirectories of the Examples directory, each containing a case study:

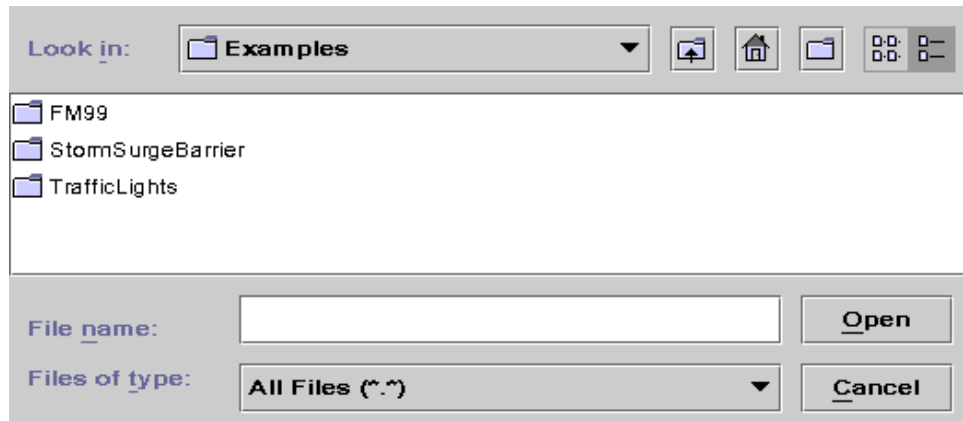


Figure A.1: Content of the Examples directory

## A.1 Emergency Closing System of Storm Surge Barrier

The emergency closing system of the storm surge barrier in the Oosterschelde is the biggest case study. It has been analyzed with different methods and several models have been build. In the directory StormSurgeBarrier there are the following files:

---

<sup>1</sup>Note that this format has changed with Version 1.2 (and the AUTOFOCUS Version 0.4.1), such that old files cannot be imported, however there is a converter available. Therefore most examples have a new .qml file \_neu.

- `SSB`: the original model, see Section A.1.1
- `QSS`: an improved model, see Section A.1.2
- `Comparator`: a comparator for sensor signals, see Section A.1.3

Starting point of our activities was an internal paper of the Dutch SIMTECH company [vdMvdW98] that should be the basis for a public offer for building a new emergency closing system, because the old system (running on a PDP11) is outdated. However, since several flaws have been found, and due to several other reasons, the emergency closing system is designed in a different way. Therefore the case study, (in the presented version) can be published (see [vdMC99]).

### **A.1.1 The Original Model**

The original model is contained within the file `SSB`. The model correspond to the original specification from the SIMTECH company in [vdMvdW98]. It contains the unexpected behaviour, that at the system is started, the output of the channel `notCLOSE` is not present. This denotes that the close signal is given and the barrier closes. With an initialization phase, this unexpected behaviour could be avoided. This unexpected behaviour was found during simulation of the system. Furthermore the model contains the ‘famous’ [vdMC99] bug in the statemachine that can be found using model checking.

### **A.1.2 An Improved Model**

The improved model is contained within the file `QSS`. In this model we integrated an initialization phase and fixed the bug of the statemachine using a boolean variable `closeHappened` to store whether a close signal has occurred in the system run. This model has been described very detailed in [Gie99].

### **A.1.3 A Comparator for Sensor Signals**

To illustrate the abstraction mechanisms and the generation of proof obligations for the correctness of the abstraction functions a comparator for sensor signal has been modelled. The abstract model of the comparator is identical to the comparator modelled within the above models of the storm surge barrier. Using abstractions and the verified abstract model we can insure the correctness of the concrete

model. The correctness proofs have not been carried out using VSE, due to limited resources within the project. However similar proofs have been carried out using the Isabelle system [Ham99]. The essence of this work has been that it is much easier to discharge the proof obligations, compared with the finding of correct (and useful) abstractions. To ease this process we integrated the abstraction chooser within our framework.

The comparators are described within Section 5.4.2, the generated correctness conditions can be found in Appendix B.

## A.2 FM99 Banking System

On the Formal Method World Congress 1999 in Toulouse there was a tool exhibition with a competition. Every participating tool was invited to model a banking system with tills and transactions to withdraw money from accounts. Critical properties had to be formulated and verified. We participated with our tool and modelled the banking system. Due to the simple modelling language and the rich possibilities to verify properties our tool AUTOFOCUS with Quest won the first price.

Descriptions of the requirement document, and a paper describing our solution can be found under <http://autofocus.in.tum.de>. More information on the formal method world conference and the competition can be found in <http://www.fmse.cs.reading.ac.uk/fm99/>.

In the `Examples` directory there is a subdirectory `FM99` containing

- `FM99` the complete model in QuestML format
- `BankAbs` an abstract model in QuestML format

Both Files contain some critical properties of the system.

### A.2.1 Complete Model

We modeled the banking system with different hierarchic graphical description techniques for structure and behavior. The model is based on a finite, functional description of datatypes for example for credit cards and operations likes `max-ForToday`.

The model includes two tills (user interaction, simple checks, communication with the system), two lossy connections, and a central including drivers (for the lossy

connections) and a database. We did not model the database in detail, but we used nondeterminism of AUTOFOCUS to decide whether money can be withdrawn or not. This level of abstraction allowed to prove many interesting properties, especially on the lossy connections and the drivers.

The model is hierarchic, reuses several state transition diagrams (for example both tills have the same behaviour), and it is based on a rich set of data definitions describing the information on credit cards and transactions. This made the model very complex, such that it could not be model checked completely, but bounded model checking could be applied. To model check the complete system we built an abstraction (see Section A.2.2).

### A.2.2 Abstract Model

The file `BankAbs` contains an abstraction of the system that has been generated by replacing all data types of the system by single valued types. For example a the new type `Card` for credit cards contains only the element `AnyCard`. Furthermore the file contains two properties of the complete system, stating that the connection between the tills and the central is working, i.e. if the till sends any message, sometimes there will be a response. Of course in this model no statements concerning the content of the messages hold, however model checking the complete system only takes about one minute (and one minute for the generation).

## A.3 Traffic Light Control System

The traffic light control system is the smallest case study in the `Examples` directory. It contains the following files:

- `QuestTL`: The model in QuestML Format (including the DTD-Files `QuestTL_Module_Signal`, `QuestTL_Module_Lights`, `QuestTL_Module_Keys`, `QuestTL_Module_TimeConstants`)
- `Timer.prop`: a true property of the timer
- `System.prop`: a true property of the system

The model can be used for demonstrations, because it is very small (fast) and understandable. The model describes a small traffic light system, similar to the traffic light system described in [HMS<sup>+</sup>98]. However, it has more components (for example a timer) and it uses functional datatypes for the Lights. This reduces

complexity of the state transition diagrams and increases understandability of the model. The model contains several “unexpected behaviours”, that can be found out using model checking, for example the timer does not necessarily produce timeouts, if it has been set. The reason (counter example) is that it can be set again before it produces the timeout. In this case the timer starts again. In the complete model however, this situation does not occur, since the timer is used correctly.

The timer can also be used to benchmark model checking, because there are (in the File `QuestTL_Module_TimeConstants`) constants that determine the length of the phases. Setting them to high values and increasing the `MaxInt` value in the browser can lead every system to its limit.

# Appendix B

## Correctness of Abstractions

This section contains all proof obligations that are generated for the correctness of the abstraction defined in Section 5.4.2.

### B.1 Definition of the Abstraction Function

Since the generation of proof obligation involves the application of the abstraction function, it is not necessary to explicitly define (in terms of VSE II) the abstraction for all elements of the model (Port, Types etc.), however since the relation between the states is essential the abstraction function has to be defined for states. In the example this is:

```
/* specification of state abstractions */
THEORY OpenBarrier_AbsState_Definition
  USING
    Concrete_States ;
    Abstract_States

  FUNCTIONS
    AbsStates : tConcrete_States -> tAbstract_States

  PREDICATES
    AbsInitial : tAbstract_States ;
    ConcInitial : tConcrete_States

  VARS  Abs      : tAbstract_States ;
        Conc     : tConcrete_States
```

```

AXIOMS

FOR AbsInitial : DEFPRED AbsInitial(Abs) <->
  IF Abs = Abstract_States.sMAIN THEN TRUE
  ELSE FALSE FI
FOR ConcInitial : DEFPRED ConcInitial(Conc) <->
  IF Conc = Concrete_States.sMain THEN TRUE
  ELSE FALSE FI
FOR AbsStates : DEFFUNC AbsStates(Conc) =
  IF Conc = Concrete_States.sMain
  THEN Abstract_States.sMAIN
  FI
THEORYEND

```

## B.2 Homomorphism Proof Obligation

To express the proof obligation that ensures that the abstraction function is an homomorphism within VSE II we use the following theory:

```

/* correctness of the abstraction function */
TLSPEC OpenBarrier_AbsState_Correctness
  INCLUDE Conc =Concrete ;
  Abs = Abstract

  SATISFIES OpenBarrier_AbsState_Property
TLSPECEND

```

The important proof obligation is in the following theory.

```

TLSPEC OpenBarrier_AbsState_Property
  USING OpenBarrier_AbsState_Definition
  INCLUDE Conc =Concrete ;
  Abs = Abstract

  VARS Conc : tConcrete_States ;
  vl : Int

  SPEC
  [ ] ALL Conc :
    ConcInitial(Conc) -> AbsInitial(AbsStates(Conc)) ;
  /* correctness for transition

```

```

((owl + openDifference) < iwl) ;
IntIWL?iwl ;
IntOWL?owl ;
OPENDif!Present; */
[] ALL V1 :
<> (Conc.AFi_ControlState = Conc.Concrete_States.sMain
    AND V1 = Conc.l) ->
((Conc.AFi_ControlState = Conc.Concrete_States.sMain AND
  Channel_Int.ChannelBase.is_Msg( IntIWL ) AND
  Channel_Int.ChannelBase.is_Msg( IntOWL ) AND
  ( ( Channel_Int.ChannelBase.Val( IntOWL ) + openDif-
ference )
    < Channel_Int.ChannelBase.Val( IntIWL ) ) AND
  Conc.AFi_ControlState' = Conc.Concrete_States.sMain
) ->
(AbsStates(Conc.AFi_ControlState) =
  AbsStates(Conc.Concrete_States.sMain) AND
  Channel_SensorSig.ChannelBase.is_Msg( IWL ) AND
  Channel_SensorSig.ChannelBase.is_Msg( OWL ) AND
  ( add( Channel_SensorSig.ChannelBase.Val( OWL ) , S00 )
    << Channel_SensorSig.ChannelBase.Val( IWL ) ) AND
  AbsStates(Conc.AFi_ControlState') =
    AbsStates(Conc.Concrete_States.sMain)
)
) ;

/* correctness for idle transition in state Main */
[] ALL V1 :
<> (Conc.AFi_ControlState = Conc.Concrete_States.sMain
    AND V1 = Conc.l) ->
((Conc.AFi_ControlState = Conc.Concrete_States.sMain AND
  NOT(Channel_Int.ChannelBase.is_Msg( IntIWL ) AND
  Channel_Int.ChannelBase.is_Msg( IntOWL ) AND
  ((Channel_Int.ChannelBase.Val( IntOWL )
    + openDifference )
    < Channel_Int.ChannelBase.Val( IntIWL ))
) AND
  Conc.AFi_ControlState' =
    Conc.Concrete_States.sMain
) ->
(AbsStates(Conc.AFi_ControlState) =
  AbsStates(Conc.Concrete_States.sMain) AND
  NOT(Channel_SensorSig.ChannelBase.is_Msg( IWL ) AND

```

```

        Channel_SensorSig.ChannelBase.is_Msg( OWL ) AND
        ( add( Channel_SensorSig.ChannelBase.Val( OWL ) , S00 )
          << Channel_SensorSig.ChannelBase.Val( IWL ) )
      ) AND
    AbsStates(Conc.AFi_ControlState') =
      AbsStates(Conc.Concrete_States.sMain)
  )
)

```

TLSPRECEND

### B.3 Strengthening Proof Obligation

The strengthening proof obligation defines the mappings between the concrete and the abstract types in the following theory:

```

THEORY OpenBarrier_Strengthen_Definition
  USING   OpenBarrier_AbsState_Definition ;
          MAINComparator_Module_HWSignal

  FUNCTIONS

      Int2SensorSig : SensorSig -> SensorSig;
      Signal2Signal : Signal -> Signal

  VARS
/* variables for function Int2SensorSig */
    V1Int2SensorSig : Int;
/* variables for function Signal2Signal */
    V1Signal2Signal : Signal;
    cInt : Int ;
    cSignal : Signal

  AXIOMS

/* axiom for the function Int2SensorSig :
fun Int2SensorSig(x) = S00;
*/
    FOR Int2SensorSig :
      DEFFUNC Int2SensorSig(V1Int2SensorSig) = S00

/* axiom for the function Signal2Signal :
fun Signal2Signal(x) = x;
*/

```

```

*/
FOR Signal2Signal :
  DEFFUNC Signal2Signal(V1Signal2Signal) =
    V1Signal2Signal

```

THEORYEND

The corectness condition of the abstraction function with respect to the selected properties (the strengthening) is

```

THEORY OpenBarrier_Strengthen_Property
  USING   OpenBarrier_Strengthen_Definition
  VARS    IntIWL : Int ;
          IntOWL : Int ;
          l : Int

  AXIOMS

/* Strengthening for Property:
  Concrete:
    [ ] ( ( ( Val(Comparator.Combine.Concrete.IntOWL)
              < Val(Comparator.Combine.Concrete.IntIWL) ) =>
            <> ( Comparator.Combine.Concrete.OPENDif ! Present ) ) ) )
  Abstract:
    [ ] ( ( ( Val(Comparator.Combine.Abstract.OWL)
              << Val(Comparator.Combine.Abstract.IWL) ) =>
            <> ( Comparator.Combine.Abstract.OPENOK ! Present ) ) ) )
*/
( ( Int2SensorSig( IntOWL ) << Int2SensorSig( IntIWL ) )
  -> ( IntOWL < IntIWL ) )
AND
( ( Signal2Signal( OPENDif ) = Present )
  -> ( OPENDif = Present ) )

```

THEORYEND

again the fact that this condition is true is expressed in a theory with a SATISFIES section:

```

THEORY OpenBarrier_Strengthen_Correct
  USING   OpenBarrier_Strengthen_Definition
  SATISFIES
    OpenBarrier_Strengthen_Property

```

THEORYEND

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