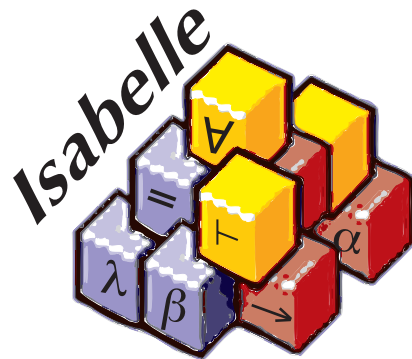


# Introduction to Isabelle

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Some  $\alpha$  | None

# Contents

- ▶ Intro & motivation, getting started with Isabelle
- ▶ Foundations & Principles
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- ▶ **Proof & Specification Techniques**
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# Types

# The Three Basic Ways of Introducing Theorems

## ▶ Axioms

**axioms** refl: " $t = t$ "

Normally only used when defining new object-logics.

## ▶ Definitions

**definition** "inj  $f \equiv \forall x y. f x = f y \longrightarrow x = y$ "

## ▶ Proofs

**lemma** "inj  $(\lambda x. x + 1)$ "

The harder, but safe choice.

# The Three Basic Ways of Introducing Types

- ▶ By name only

**typedecl** name

Introduces new type **name** without any further assumptions.

- ▶ By abbreviation

**types**  $\alpha$  rel = " $\alpha \Rightarrow \alpha \Rightarrow bool$ "

Introduces abbreviation **rel** for existing type.

Type abbreviations are immediately expanded internally.

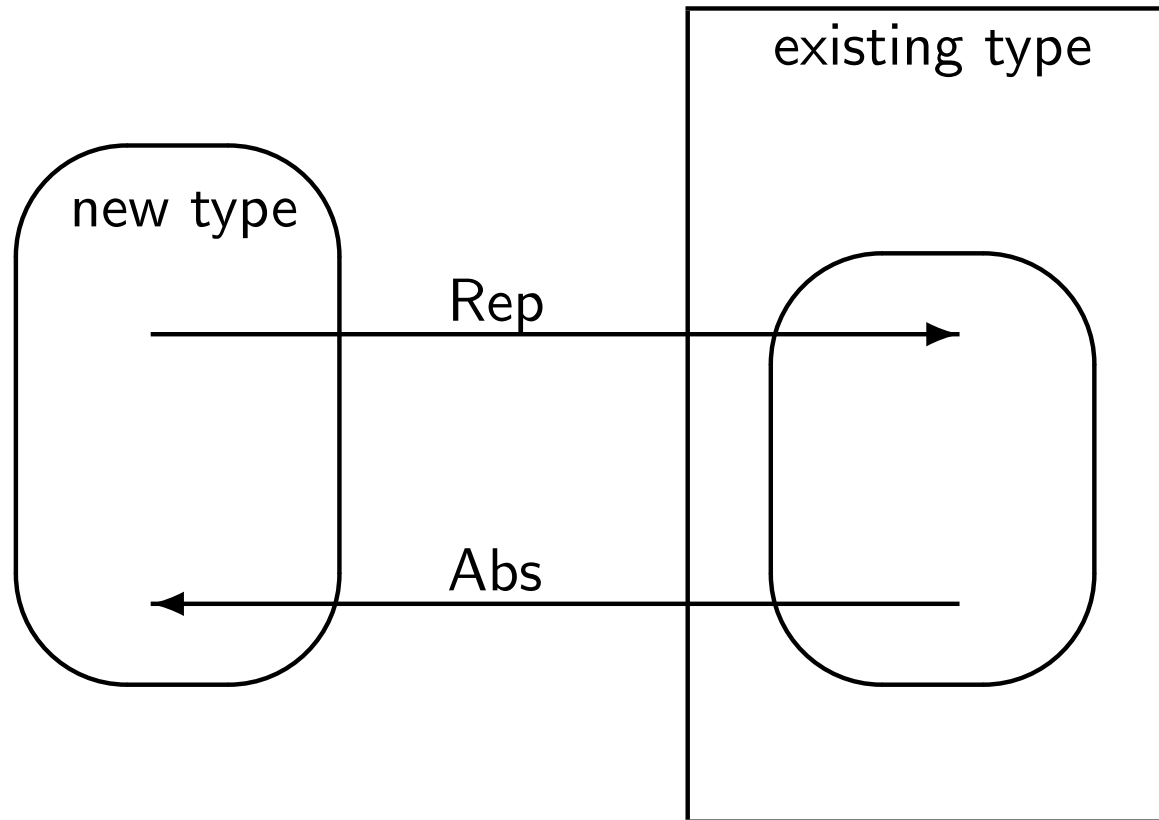
- ▶ By definition as a set

**typedef** new\_type = "{some set}"  $\langle proof \rangle$

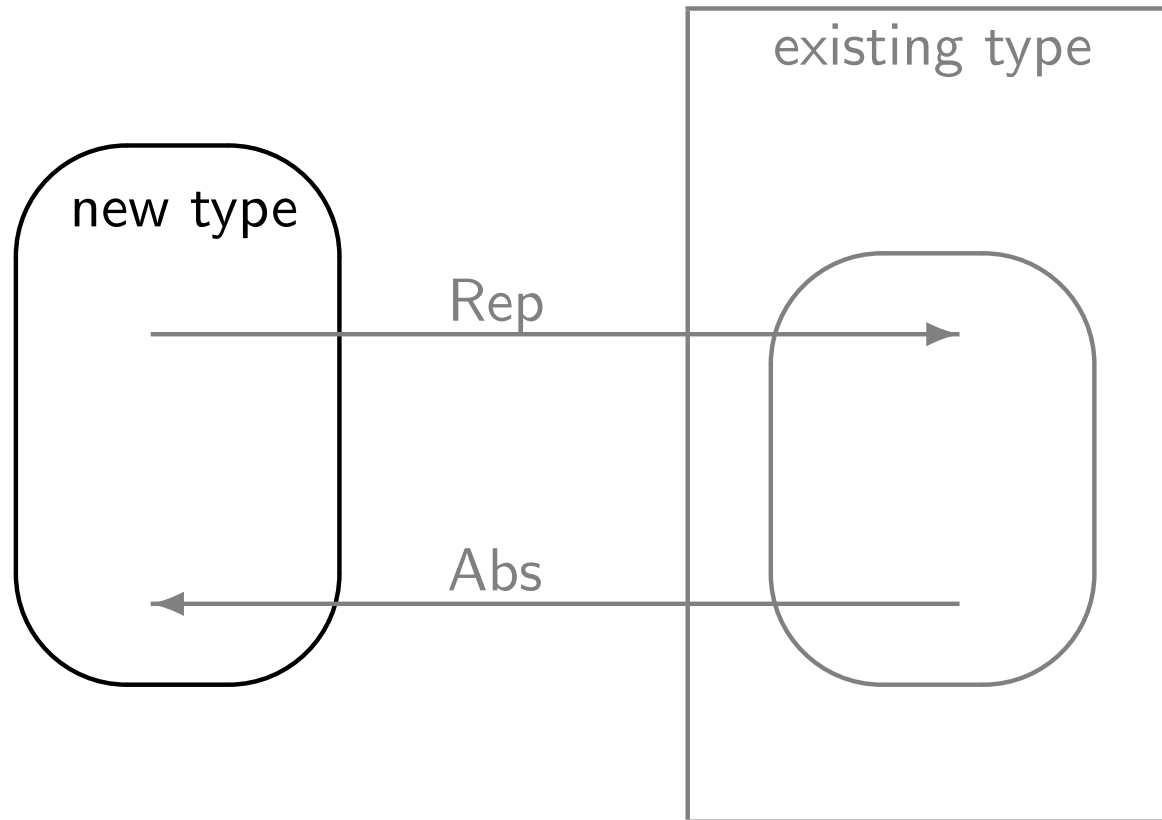
Introduces a new type as a subset of an existing type.

The proof shows that the set on the rhs is non-empty.

# How Typedef Works



# How Typedef Works



# Example: Pairs

$(\alpha, \beta)$  Prod

1. Pick existing type:  $\alpha \Rightarrow \beta \Rightarrow \text{bool}$

2. Identify subset:

$$(\alpha, \beta) \text{ Prod} = \{f. \exists a b. f = \lambda(x :: \alpha) (y :: \beta). x = a \wedge y = b\}$$

3. We get from Isabelle:

- ▶ functions Abs\_Prod, Rep\_Prod
- ▶ both injective
- ▶  $\text{Abs\_Prod} (\text{Rep\_Prod } x) = x$

4. We now can:

- ▶ define constants Pair, fst, snd in terms of Abs\_Prod and Rep\_Prod
- ▶ derive all characteristic theorems
- ▶ forget about Rep/Abs, use characteristic theorems instead

# Demo: Introducing New Types

# Datatypes

## Example:

```
datatype 'a list = Nil | Cons 'a "'a list"
```

## Properties:

▶ Constructors:

Nil :: 'a list

Cons :: 'a ⇒ 'a list ⇒ 'a list

▶ Distinctness: Nil ≠ Cons x xs

▶ Injectivity: (Cons x xs = Cons y ys) =  
(x = y ∧ xs = ys)

# The General Case

$$\text{datatype } (\alpha_1, \dots, \alpha_n) \tau = \begin{array}{c} C_1 \tau_{1,1} \dots \tau_{1,n_1} \\ \vdots \\ C_k \tau_{k,1} \dots \tau_{k,n_k} \end{array}$$

- ▶ Constructors:  $C_i :: \tau_{i,1} \Rightarrow \dots \Rightarrow \tau_{i,n_i} \Rightarrow (\alpha_1, \dots, \alpha_n) \tau$
- ▶ Distinctness:  $C_i \dots \neq C_j \dots$  if  $i \neq j$
- ▶ Injectivity:  
 $(C_i x_1 \dots x_{n_i} = C_i y_1 \dots y_{n_i}) = (x_1 = y_1 \wedge \dots \wedge x_{n_i} = y_{n_i})$

**Distinctness and injectivity applied automatically.**

# How is the Type Defined?

**datatype** 'a list = Nil | Cons 'a "'a list"

- ▶ Internally defined using typedef.
- ▶ Hence: describes a set.
- ▶ Set = lists with constructors as nodes.
- ▶ Inductive definition to characterize which lists belong to datatype.

**More detail: Datatype\_Universe.thy**

# Datatype Limitations

**Must be definable as set.**

- ▶ Infinitely branching OK.
- ▶ Mutually recursive OK.
- ▶ Strictly positive (right of function arrow) occurrence OK.

**Not OK:**

```
datatype t = C (t  $\Rightarrow$  bool)
           | D ((bool  $\Rightarrow$  t)  $\Rightarrow$  bool)
           | E ((t  $\Rightarrow$  bool)  $\Rightarrow$  bool)
```

Because of Cantor's theorem ( $\alpha$  set is larger than  $\alpha$ )

# Case

Every datatype introduces a **case** construct, e.g.

$$(\text{case } xs \text{ of } [] \Rightarrow \dots \mid y \#ys \Rightarrow \dots y \dots ys \dots)$$

**In general:** one case per constructor

- ▶ Same order of cases as in datatype
- ▶ No nested patterns (e.g.  $x\#y\#zs$ )  
(But nested cases allowed)
- ▶ Needs  $()$  in context

# Case Analysis and Induction

## cases and induct

- ▶ Rule selected according to type:  
(cases "*t*") (induct "*x*")
- ▶ Cases identified by constructor names.

# Demo: Structural Induction

# Recursion

# Why Non-Termination Can Be Harmful

How about  $f\ x = f\ x + 1$ ?

Subtract  $f\ x$  on both sides.

$$\implies \\ 0 = 1$$

All functions in HOL must be **total**!

# Primitive Recursion

**Primrec guarantees termination structurally.**

## Example

```
consts app :: "'a list  $\Rightarrow$  'a list  $\Rightarrow$  'a list"
```

```
primrec
```

```
  "app Nil ys = ys"
```

```
  "app (Cons x xs) ys = Cons x (app xs ys)"
```

## Old-style command

- ▶ Constant must be declared (**consts**).
- ▶ No use of **where** and **|**.

# The General Case

If  $\tau$  is a datatype (with constructors  $C_1, \dots, C_k$ ) then  $f :: \tau \Rightarrow \tau'$  can be defined by **primitive recursion**:

$$\begin{aligned} f (C_1 y_{1,1} \dots y_{1,n_1}) &= r_1 \\ &\vdots \\ f (C_k y_{k,1} \dots y_{k,n_k}) &= r_k \end{aligned}$$

The recursive calls in  $r_i$  must be **structurally smaller**  
(of the form  $f a_1 \dots y_{i,j} \dots a_p$ )

# How Does This Work?

Primrec just fancy syntax for a **recursion operator**

## Example:

$\text{list\_rec} :: "'b \Rightarrow ('a \Rightarrow 'a \text{ list} \Rightarrow 'b \Rightarrow 'b) \Rightarrow 'a \text{ list} \Rightarrow 'b''$

$\text{list\_rec } a \ f \ \text{Nil} \quad = \quad a$

$\text{list\_rec } a \ f \ (\text{Cons } x \ xs) \quad = \quad f \ x \ xs \ (\text{list\_rec } a \ f \ xs)$

$\text{append} \equiv \text{list\_rec } (\lambda ys. \ ys) \ (\lambda x \ xs \ xs'. \ \lambda ys. \ \text{Cons } x \ (xs' \ ys))$

**consts**  $\text{append} :: "'a \text{ list} \Rightarrow 'a \text{ list} \Rightarrow 'a \text{ list}''$

## primrec

"append Nil ys = ys"

"append (Cons x xs) ys = Cons x (app xs ys)"

## list\_rec

**Defined:** automatically, first inductively (set), then by epsilon

$$(\text{Nil}, a) \in \text{list\_rel } a \ f$$

$$\frac{(xs, xs') \in \text{list\_rel } a \ f}{(\text{Cons } x \ xs, f \ x \ xs \ xs') \in \text{list\_rel } a \ f}$$

$$\text{list\_rec } a \ f \ xs \equiv \text{SOME } y. (xs, y) \in \text{list\_rel } a \ f$$

Automatic proof that set definition indeed is total function  
(the equations for list\_rec are lemmas!)

# Predefined Datatypes

# Nat is a Datatype

**datatype** nat = 0 | Suc nat

Functions on nat definable by primrec!

**primrec**

$$\begin{aligned} f\ 0 &= \dots \\ f\ (\text{Suc } n) &= \dots f\ n \dots \end{aligned}$$

# Option

**datatype** 'a option = None | Some 'a

## Important application

'b  $\Rightarrow$  'a option  $\sim$  partial function  
None  $\sim$  no result  
Some  $a$   $\sim$  result  $a$

## Example

**consts** lookup :: 'k  $\Rightarrow$  ('k  $\times$  'v) list  $\Rightarrow$  'v option

**primrec**

lookup k [] = None

lookup k (x #xs) = (if fst x = k then Some (snd x)  
else lookup k xs)

# Demo: Primitive Recursion

# General Recursion

# The Choice

- ▶ Primitive Recursion (primrec)  
Limited expressiveness, automatic termination
- ▶ General Recursion (fun, function)  
High expressiveness, may need to prove termination manually

## fun — Examples

```
fun sep :: "'a ⇒ 'a list ⇒ 'a list"
```

```
where
```

```
  "sep a (x # y # zs) = x # a # sep a (y # zs)"
```

```
| "sep a xs = xs"
```

```
fun ack :: "nat ⇒ nat ⇒ nat"
```

```
where
```

```
  "ack 0 n = Suc n"
```

```
| "ack (Suc m) 0 = ack m 1"
```

```
| "ack (Suc m) (Suc n) = ack m (ack (Suc m) n)"
```

# fun

## The Definition

As in functional programming

- ▶ Free pattern matching
- ▶ Order of rules is important

## What it does . . .

- ▶ Checks patterns for completeness.
- ▶ Inductively defines graph of function.
- ▶ Tries to find lexicographic termination order.
- ▶ Defines the function.
- ▶ Generates induction principle.

fun

## Completing Patterns

$$\begin{array}{lcl} x \# y \# zs & \rightarrow & x \# y \# zs \\ xs & \rightarrow & [] \\ & \rightarrow & [x] \end{array}$$

## Induction Principle

sep.induct:

$$\begin{array}{l} \llbracket \bigwedge a \ x \ y \ zs. P \ a \ (y\#zs) \implies P \ a \ (x\#y\#zs); \\ \bigwedge a. P \ a \ []; \\ \bigwedge a \ w. P \ a \ [w]; \\ \rrbracket \implies P \ a \ xs \end{array}$$

# Termination

**Isabelle tries to prove termination automatically.**

- ▶ Works for many functions.
- ▶ If not, prove termination manually.

fun = function + termination

**fun**  $f :: \tau$  **where**  $\langle rules \rangle$       short hand for

**function** (sequential)  $f :: \tau$  **where**  $\langle rules \rangle$   
    **by** pat\_completeness auto  
**termination** by lexicographic\_order

## Proving Termination

- ▶ Lexicographic order  
    **by** (lexicographic\_order  
        add:  $\langle simps \rangle$  intro:  $\langle intros \rangle$  elim:  $\langle elims \rangle$ )
- ▶ Manual proof that termination relation is well-founded  
    → Wellfounded\_Relations.thy,  
    Wellfounded\_Recursion.thy

# We Have Seen so far . . .

- ▶ Datatypes
- ▶ Primitive recursion
- ▶ Case distinction
- ▶ Induction
- ▶ General recursion