
Modelling the Quality Economics of Defect-Detection Techniques

Stefan Wagner
Software & Systems Engineering
Technische Universität München
Germany
wagnerst@in.tum.de

May 21, 2006

Introduction

Motivation

Problem

Overview

Software Quality

Economics

Running Example

An Analytical Model

Conclusions

Introduction

- Analytical software quality assurance (Reviews, Tests, ...), also called defect-detection techniques, is still the most important method to improve the quality of software
- The costs for those techniques is significant. Myers (1979) estimates that 50% of the total development costs can be attributed to testing. Jones (1987) states that 30–40% of the development costs are for quality assurance and defect removal. The *National Institute of Standards and Technology* (2002) even assigns 80% to the detection and removal of defects.
- There is a huge opportunity for cost savings in that area.

- This results in three questions:
 1. Which techniques should be used?
 2. In what sequence?
 3. With what effort for each technique?
- Ntafos (2001): “Cost is clearly a central factor in any realistic comparison but it is hard to measure, data are not easy to obtain, and little has been done to deal with it.”
- Rai et al. (1998): “A better understanding of the costs and benefits of SQA and improvements to existing quantitative models should be useful to decision-makers.”
- Boehm, keynote this morning

Introduction

Motivation

Problem

Overview

Software Quality
Economics

Running Example

An Analytical Model

Conclusions

Introduction

Software Quality Economics

Running Example

An Analytical Model

Conclusions

Introduction

**Software Quality
Economics**

Quality Economics
Constructive and
Analytical QA

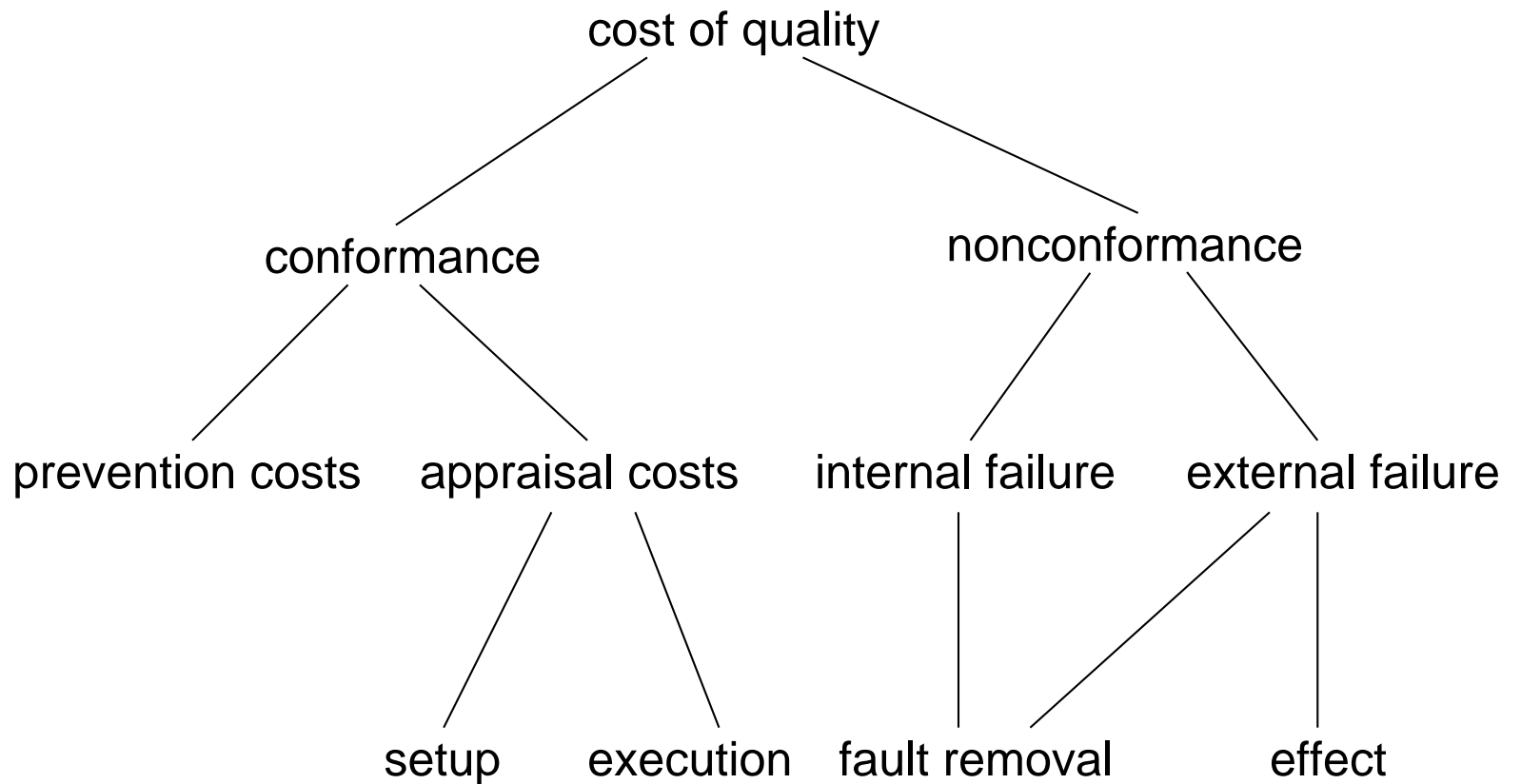
Running Example

An Analytical Model

Conclusions

Software Quality Economics

- Quality economics is the cost/benefit analysis w.r.t. quality
- The benefits are the saved costs



Introduction

Software Quality
Economics

Quality Economics

**Constructive and
Analytical QA**

Running Example

An Analytical Model

Conclusions

- Constructive quality assurance
 - ◆ Example: detailed architecture
 - ◆ Cause prevention costs
 - ◆ Prevent internal and external failure costs
- Analytical quality assurance
 - ◆ Defect-detection techniques: reviews, tests
 - ◆ Cause appraisal costs and internal failure costs
 - ◆ Prevent external failure costs

- Constructive quality assurance
 - ◆ Example: detailed architecture
 - ◆ Cause prevention costs
 - ◆ Prevent internal and external failure costs
- Analytical quality assurance
 - ◆ Defect-detection techniques: reviews, tests
 - ◆ Cause appraisal costs and internal failure costs
 - ◆ Prevent external failure costs
- Focus on *analytical* QA in the following

Introduction

Software Quality
Economics

Running Example

A Software Project
A Quality Assurance
Plan

An Analytical Model

Conclusions

Running Example

Introduction

Software Quality
Economics

Running Example

A Software Project

A Quality Assurance
Plan

An Analytical Model

Conclusions

- Suppose we have to do the quality assurance for a software project
- Maybe some control unit in an automobile
- It consists of 10 KLOC of C code

- Suppose we have to do the quality assurance for a software project
- Maybe some control unit in an automobile
- It consists of 10 KLOC of C code
- Usually we use the techniques
 - ◆ code inspection (A),
 - ◆ unit test (B),
 - ◆ integration test (C), and
 - ◆ system test (D)

- Suppose we have to do the quality assurance for a software project
- Maybe some control unit in an automobile
- It consists of 10 KLOC of C code
- Usually we use the techniques
 - ◆ code inspection (A),
 - ◆ unit test (B),
 - ◆ integration test (C), and
 - ◆ system test (D)
- *How do you plan the quality assurance?*

Introduction

Software Quality
Economics

Running Example

A Software Project

**A Quality Assurance
Plan**

An Analytical Model

Conclusions

- In 10 KLOC there are about 100–150 defects

Introduction

Software Quality
Economics

Running Example

A Software Project

**A Quality Assurance
Plan**

An Analytical Model

Conclusions

- In 10 KLOC there are about 100–150 defects
- *What is the best sequence of the techniques? (ABCD or BACD?)*

- In 10 KLOC there are about 100–150 defects
- *What is the best sequence of the techniques? (ABCD or BACD?)*
- *How much effort is spent on each technique?*
 - ◆ More on the inspection or the unit test?
 - ◆ How much on unit, integration, and system test?

- In 10 KLOC there are about 100–150 defects
- *What is the best sequence of the techniques? (ABCD or BACD?)*
- *How much effort is spent on each technique?*
 - ◆ More on the inspection or the unit test?
 - ◆ How much on unit, integration, and system test?
- *How effective are the techniques on the defects? (Do inspections detect more defects than unit tests?)*

- In 10 KLOC there are about 100–150 defects
- *What is the best sequence of the techniques? (ABCD or BACD?)*
- *How much effort is spent on each technique?*
 - ◆ More on the inspection or the unit test?
 - ◆ How much on unit, integration, and system test?
- *How effective are the techniques on the defects? (Do inspections detect more defects than unit tests?)*
- *How much does it cost to use the techniques? (How many people? Tools? Defect removal effort?)*

- In 10 KLOC there are about 100–150 defects
- *What is the best sequence of the techniques? (ABCD or BACD?)*
- *How much effort is spent on each technique?*
 - ◆ More on the inspection or the unit test?
 - ◆ How much on unit, integration, and system test?
- *How effective are the techniques on the defects? (Do inspections detect more defects than unit tests?)*
- *How much does it cost to use the techniques? (How many people? Tools? Defect removal effort?)*
- *What does it cost me not to detect and remove some of the defects? (Support, annoyed customers, ...)*

- In 10 KLOC there are about 100–150 defects
- *What is the best sequence of the techniques? (ABCD or BACD?)*
- *How much effort is spent on each technique?*
 - ◆ More on the inspection or the unit test?
 - ◆ How much on unit, integration, and system test?
- *How effective are the techniques on the defects? (Do inspections detect more defects than unit tests?)*
- *How much does it cost to use the techniques? (How many people? Tools? Defect removal effort?)*
- *What does it cost me not to detect and remove some of the defects? (Support, annoyed customers, ...)*
- *How probable is it that they occur at the customer?*

Introduction

Software Quality
Economics

Running Example

An Analytical Model

General

Important Concepts

Example: Direct
Costs

Usage

Sensitivity Analysis

Conclusions

An Analytical Model

Introduction

Software Quality
Economics

Running Example

An Analytical Model

General

Important Concepts
Example: Direct
Costs

Usage

Sensitivity Analysis

Conclusions

- For all types of defect-detection techniques
- Three components:
 - ◆ Direct costs d_A
 - ◆ Future costs t_A
 - ◆ Revenues / saved costs r_A
- Assumptions:
 - ◆ Found faults are perfectly removed
 - ◆ The effort for a technique can be freely varied
- Not covered:
 - ◆ Time to market
 - ◆ Net present value

Introduction

Software Quality
Economics

Running Example

An Analytical Model
General

Important Concepts

Example: Direct
Costs

Usage

Sensitivity Analysis

Conclusions

- By developing the software, a random number of faults is introduced
- (Fixed) setup and (variable) execution costs of techniques
- The difficulty function of Littlewood et al. (2000) denotes the difficulty for a specific technique to find a specific fault.
- We extend the function with the dimension *effort*
- Each fault has a probability of resulting in a failure in the field
- Defect removal costs for techniques and in the field

Introduction

Software Quality
Economics

Running Example

An Analytical Model

General

Important Concepts

**Example: Direct
Costs**

Usage

Sensitivity Analysis

Conclusions



Defect-detection technique application

- Costs that can be measured directly from the application of a technique

(1)

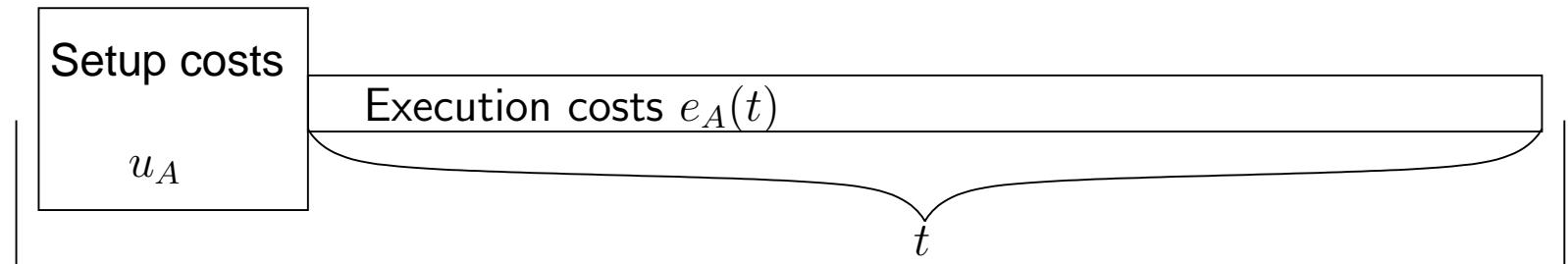
Setup costs

u_A

Defect–detection technique application

- Costs that can be measured directly from the application of a technique

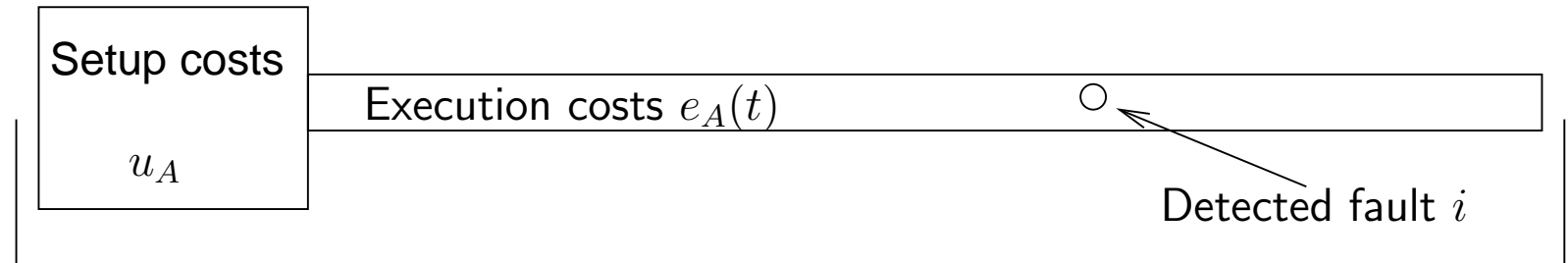
(1)



Defect–detection technique application

- Costs that can be measured directly from the application of a technique
- Dependent on the effort t

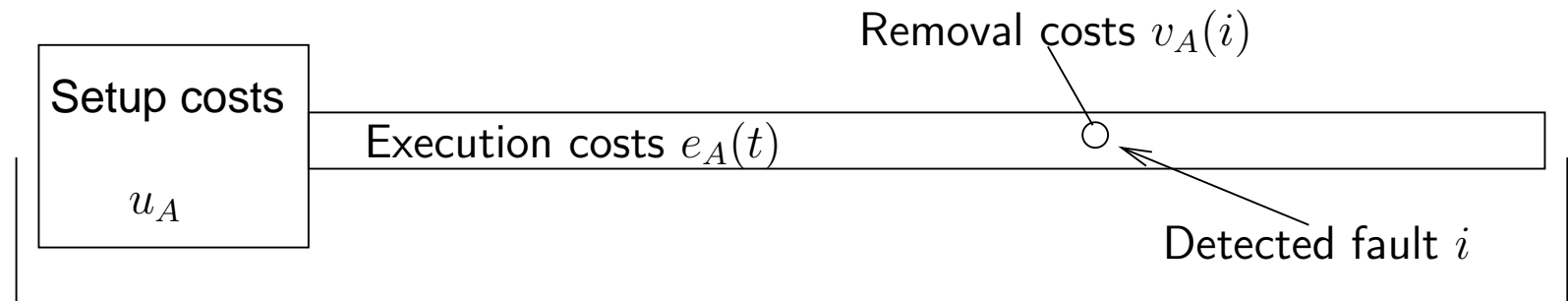
$$d_A = u_A + e_A(t) \quad (1)$$



Defect-detection technique application

- Costs that can be measured directly from the application of a technique
- Dependent on the effort t

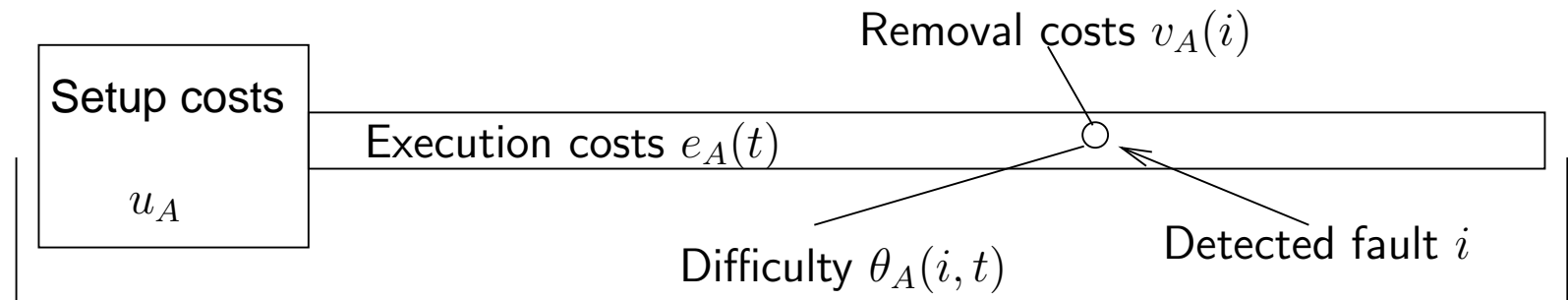
$$d_A = u_A + e_A(t) \quad (1)$$



Defect-detection technique application

- Costs that can be measured directly from the application of a technique
- Dependent on the effort t

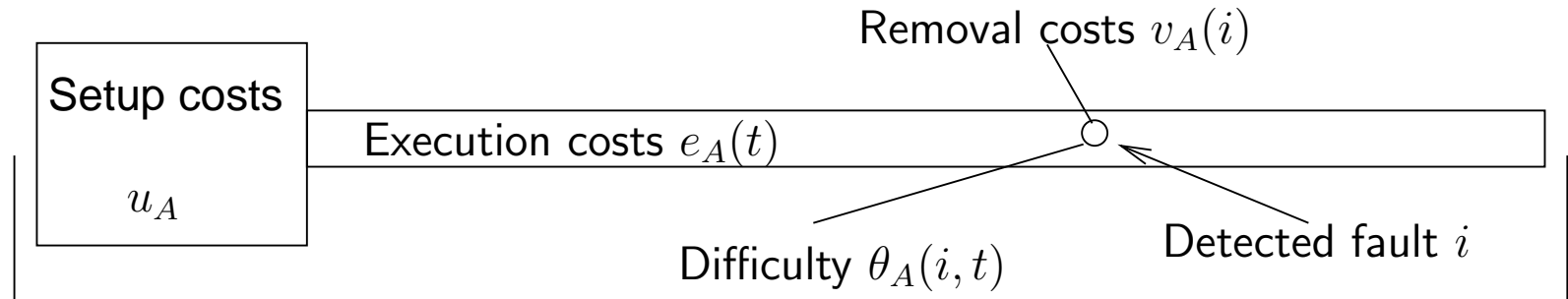
$$d_A = u_A + e_A(t) + \sum_i v_A(i) \quad (1)$$



Defect-detection technique application

- Costs that can be measured directly from the application of a technique
- Dependent on the effort t

$$d_A = u_A + e_A(t) + \sum_i (1 - \theta_A(i, t))v_A(i) \quad (1)$$



Defect-detection technique application

- Costs that can be measured directly from the application of a technique
- Dependent on the effort t

$$d_A = u_A + e_A(t) + \sum_i (1 - \theta_A(i, t))v_A(i) \quad (1)$$

- $\theta_A(i, t)$ derived from Littlewood et al. (2000)

Introduction

Software Quality
Economics

Running Example

An Analytical Model

General

Important Concepts
Example: Direct
Costs

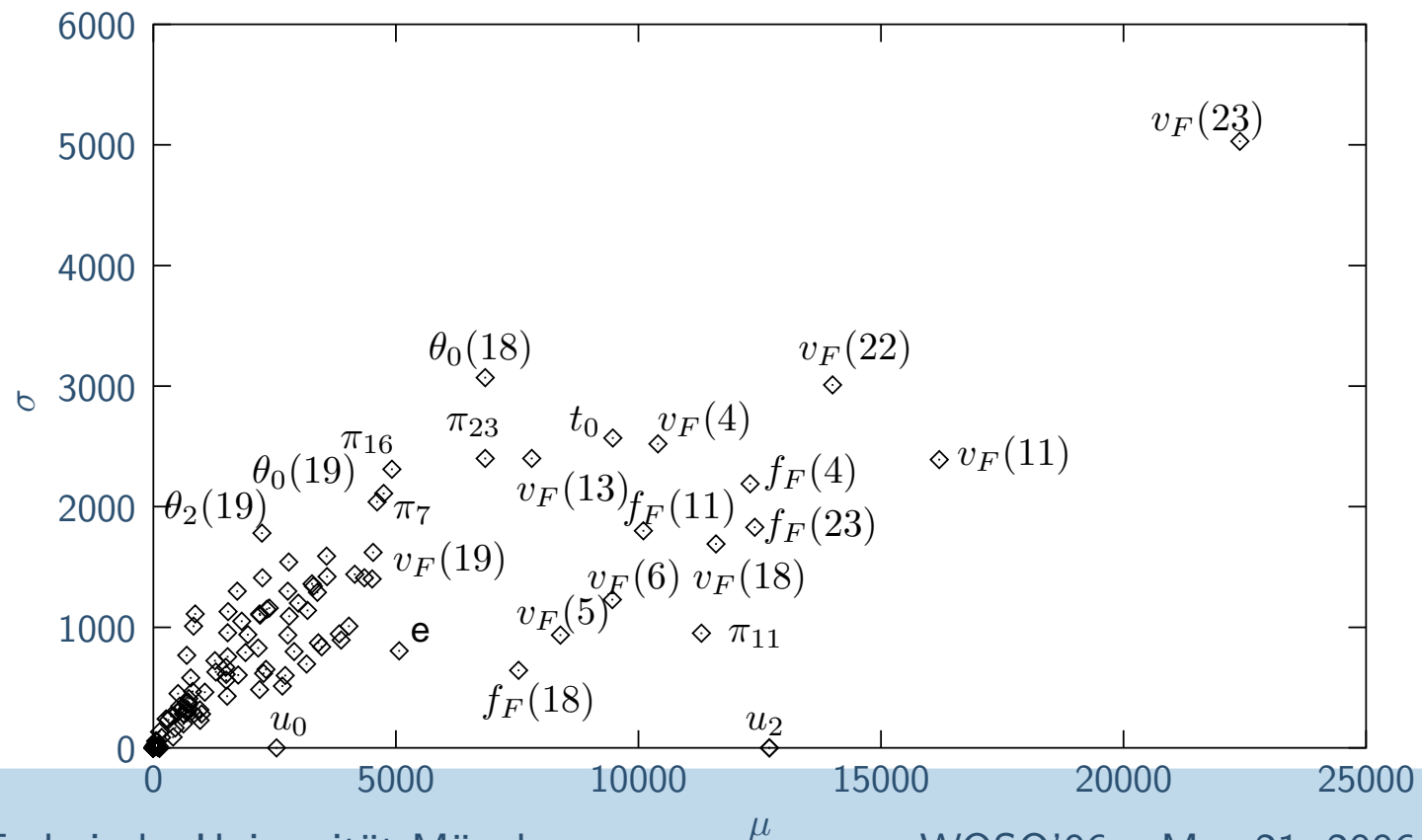
Usage

Sensitivity Analysis

Conclusions

- Theoretical analysis of defect-detection techniques and improvements
 - ◆ Which parts of the model are affected by improvements?
 - ◆ How do different techniques differ?
 - ◆ What are the most important factors?
- Practical optimisation with simplified model
 - ◆ Grouping of defects by defect types
 - ◆ Collecting data in a company
 - ◆ Predicting for new project based on old data
 - ◆ Using optimisation methods

- Using the example project on model-based testing of an automotive control unit in Pretschner et al. (2005)
- Removal costs have a strong influence on output variation
- Effect costs and failure probabilities
- Difficulty of the first technique



Introduction

Software Quality
Economics

Running Example

An Analytical Model

Conclusions

Summary

Future work

Conclusions

Introduction

Software Quality
Economics

Running Example

An Analytical Model

Conclusions

Summary

Future work

- Costs and benefits are a central factor in planning software quality assurance
- Models of those costs and benefits can help decision makers
- We propose an analytical model of the quality economics
- More detailed as common economical models
- But more general than specific inspection or reliability models
- Sensitivity analysis shows that the removal costs in the field, the effect costs, and the failure probability of the faults have the strongest effects on the output variation

Introduction

Software Quality
Economics

Running Example

An Analytical Model

Conclusions

Summary

Future work

- Evaluation of static analysis tools (bug finding tools) using the model with data from a company
- Evaluation of the predictive validity of the model
- Empirical research regarding the most important factors of the model